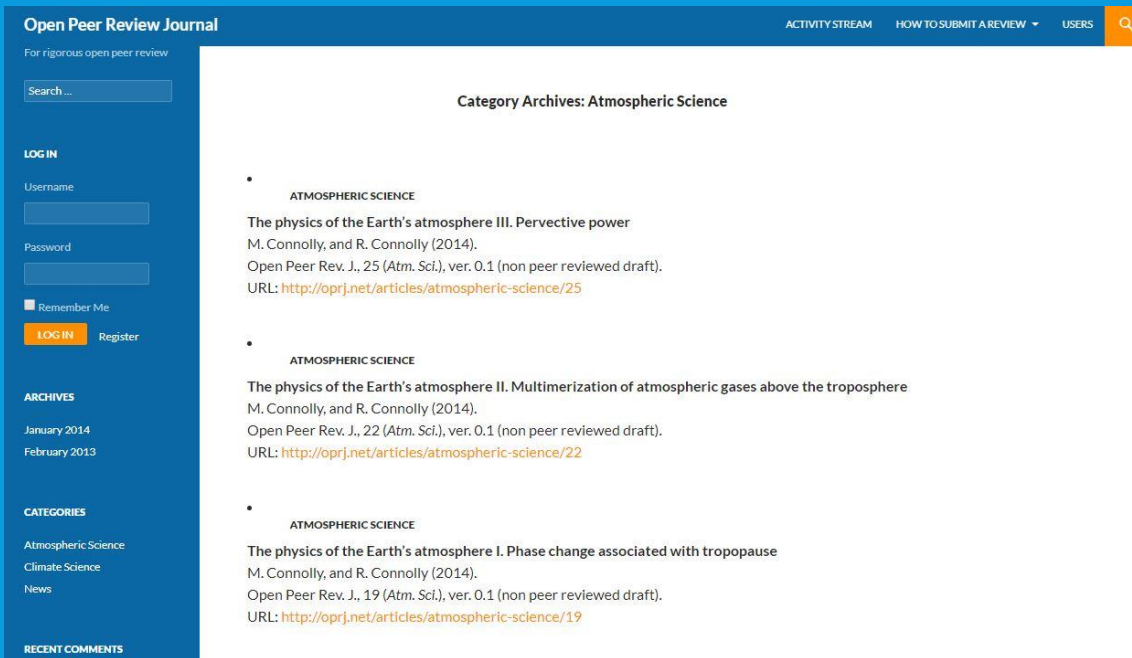




# BALLOONS IN THE AIR: UNDERSTANDING WEATHER AND CLIMATE

- Dr. Ronan Connolly & Dr. Michael Connolly
- Center for Environmental Research and Earth Sciences
- [www.ceres-science.com/](http://www.ceres-science.com/)

# OUR EARLY FINDINGS (2010-2014) AVAILABLE AT OPEN PEER REVIEW JOURNAL



Screenshot of [www.oprj.net](http://www.oprj.net)

## 2010-2014:

- We spent several years analysing the data.
- A lot of important results
- Contradicted several key paradigms
- Too much for a standard peer-reviewed paper
- We decided to set up a new Open Peer Review Journal ([www.oprj.net](http://www.oprj.net)) and put all our analysis online in one go for everyone to look at.

## 2014-2019:

- 15,000 downloads since launch in 2014
- Discussed our work with hundreds of scientists around the world

## 2019-present:

- To be discussed in Part 3 of this talk!

# STRUCTURE OF THIS TALK



1. History of atmospheric measurements & theories



2. Summary of our early findings (2010-2014)



3. Our latest findings (a sneak preview)

# 1. HISTORY OF ATMOSPHERIC MEASUREMENTS & THEORIES

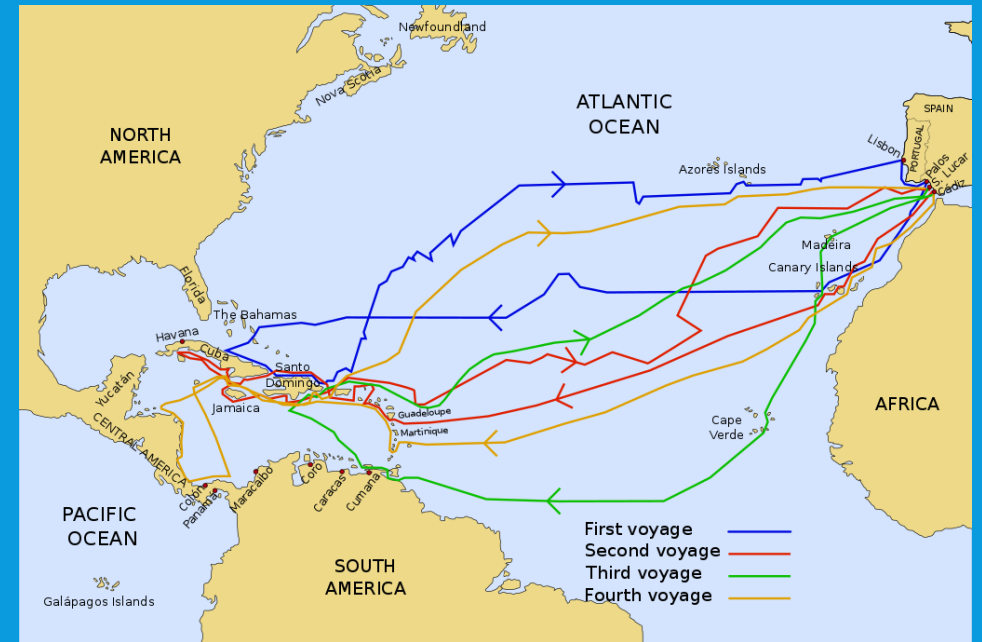
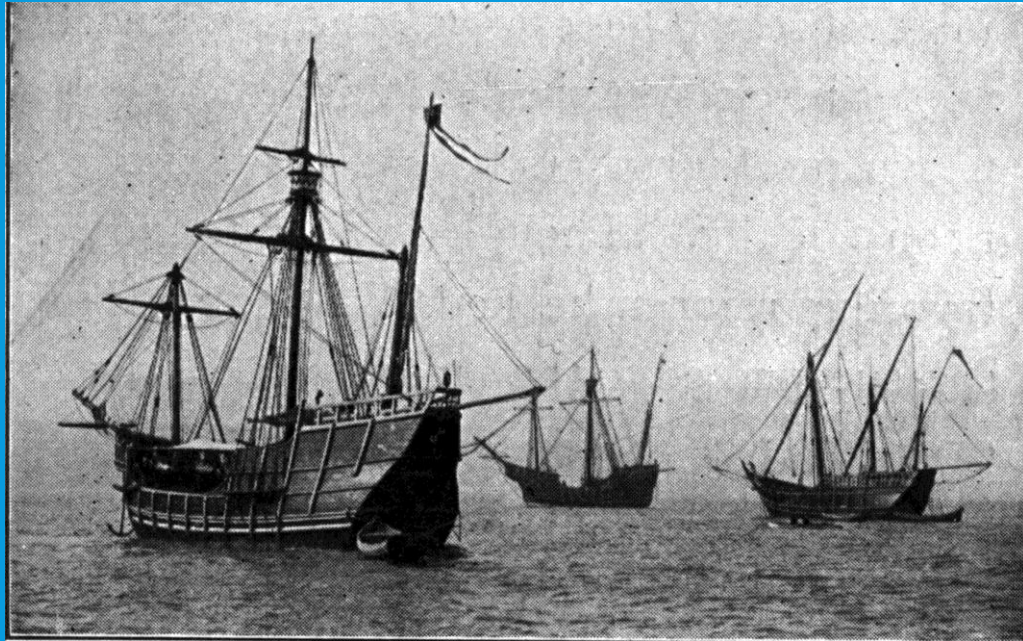
(a) Ground-based measurements

(b) Satellite-based measurements

(c) Direct atmospheric measurements

(d) Development of climate models

# SAILORS: DISCOVERY OF THE TRADE WINDS



1. 1492: Columbus's voyages on Niña, Pinta & Santa Maria (photo is of 1893 replicas)
2. They used the "trade winds" to speed up their journey
3. 1600s-1800s: Hadley, Halley, Ferrell, etc. developed "atmospheric circulation models" to try and explain these prevailing winds

# METEOROLOGISTS: CLIMBING MOUNTAINS



Early meteorologists would take “high altitude” measurements by climbing hills

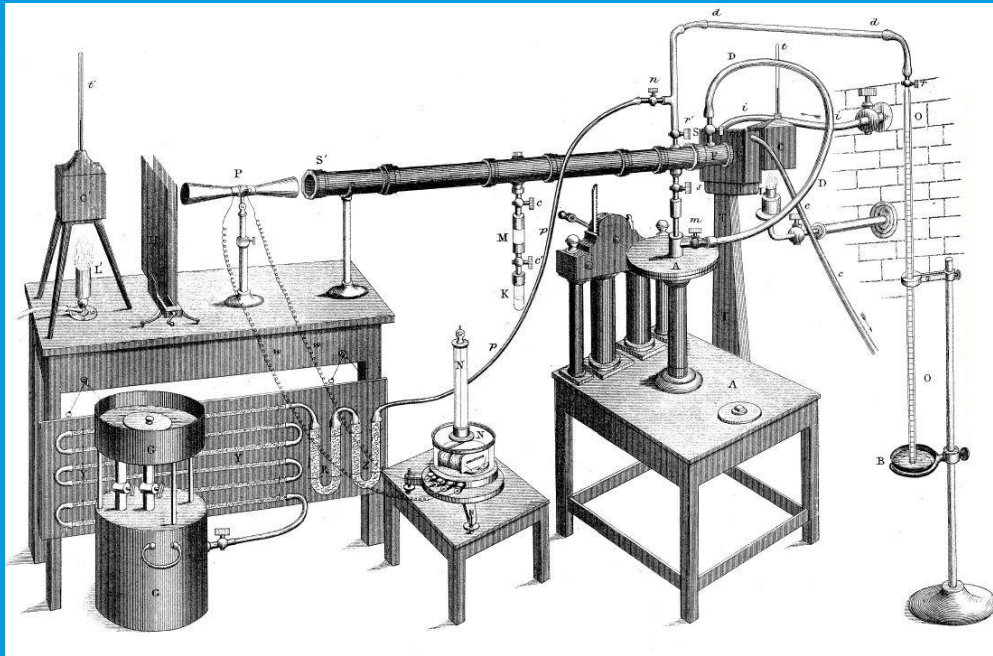
It was shown that the atmosphere gets colder with height.

The “Lapse Rate” =  $-6.5^{\circ}\text{C}/\text{km}$

Mont-Blanc is the highest mountain in Europe (4.8km above sea level), and so was a particularly important location.

“Descent from Mont-Blanc in 1787 by H.B. de Saussure”  
(Christian von Mechel, 1790)

# GROUND-BASED SPECTROSCOPY



The apparatus used by John Tyndall to determine that  $\text{H}_2\text{O}$ ,  $\text{CO}_2$  and  $\text{CH}_4$  are “infra-red active” gases, but  $\text{O}_2$  and  $\text{N}_2$  are not (1862)



One of the Brewer ozone spectrophotometers developed by Brewer in the 1920s to study “the ozone layer”

# 1. HISTORY OF ATMOSPHERIC MEASUREMENTS

(a) Ground-based measurements

(b) Satellite-based measurements

(c) Direct atmospheric measurements

(d) Development of climate models



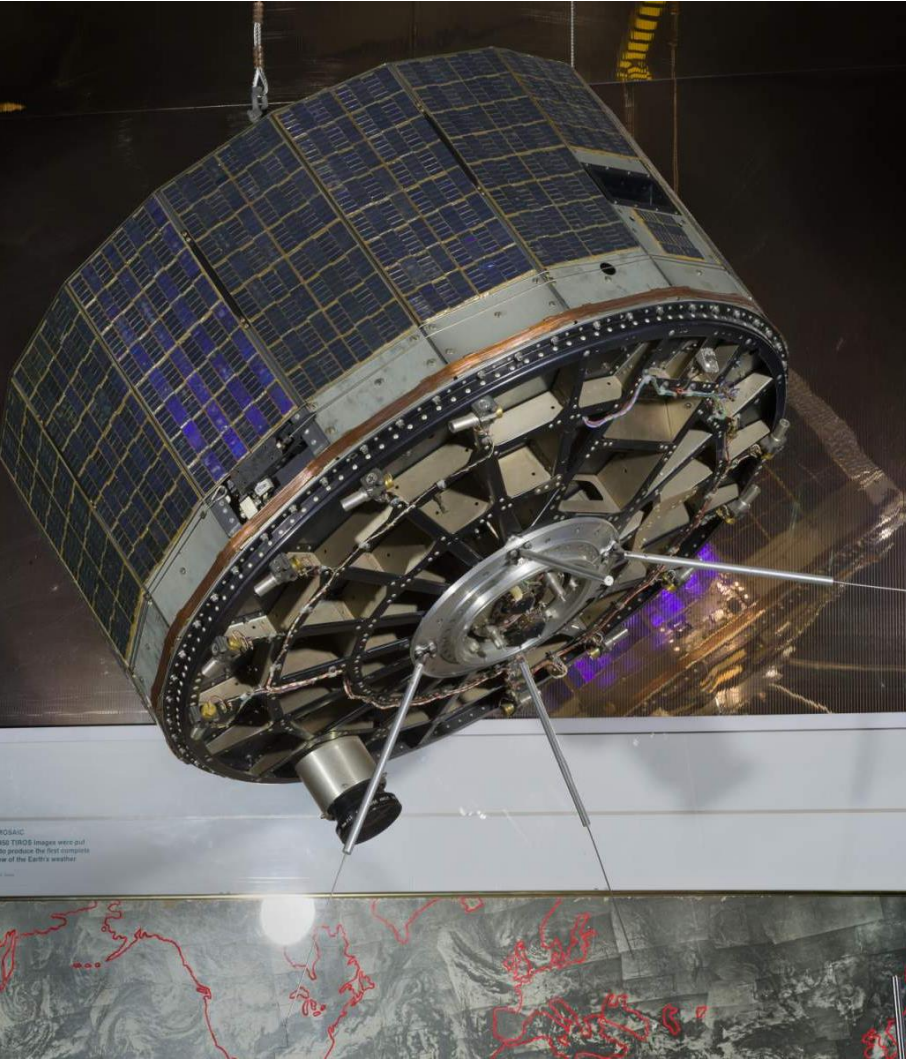
# THE SPACE RACE

## Apollo 11 moon landing

Exactly 50 years ago today: July 20th, 1969



# THE SATELLITE ERA



- 1957: USSR launched Sputnik
- 1958: USA launched Explorer
- 1960: USA launched TIROS-1 (lasted 3 months)
- The TIROS ("Television Infrared Observation Satellite") were the world's first weather satellites
- NOAA-19 is the 45<sup>th</sup> in the TIROS series and has been active since 2009

# LOOKING FROM ABOVE AND BELOW

## From the ground



Benjamin Franklin's "Kite experiment" 1752  
(*Currier & Ives, 1892*)

## From space



The "Blue Marble" photo – Apollo 17 crew, 1972  
(*Eugene A. Cernan, Ronald E. Evans, Harrison H. Schmitt*)

# 1. HISTORY OF ATMOSPHERIC MEASUREMENTS

(a) Ground-based measurements

(b) Satellite-based measurements

(c) Direct atmospheric measurements

(d) Development of climate models

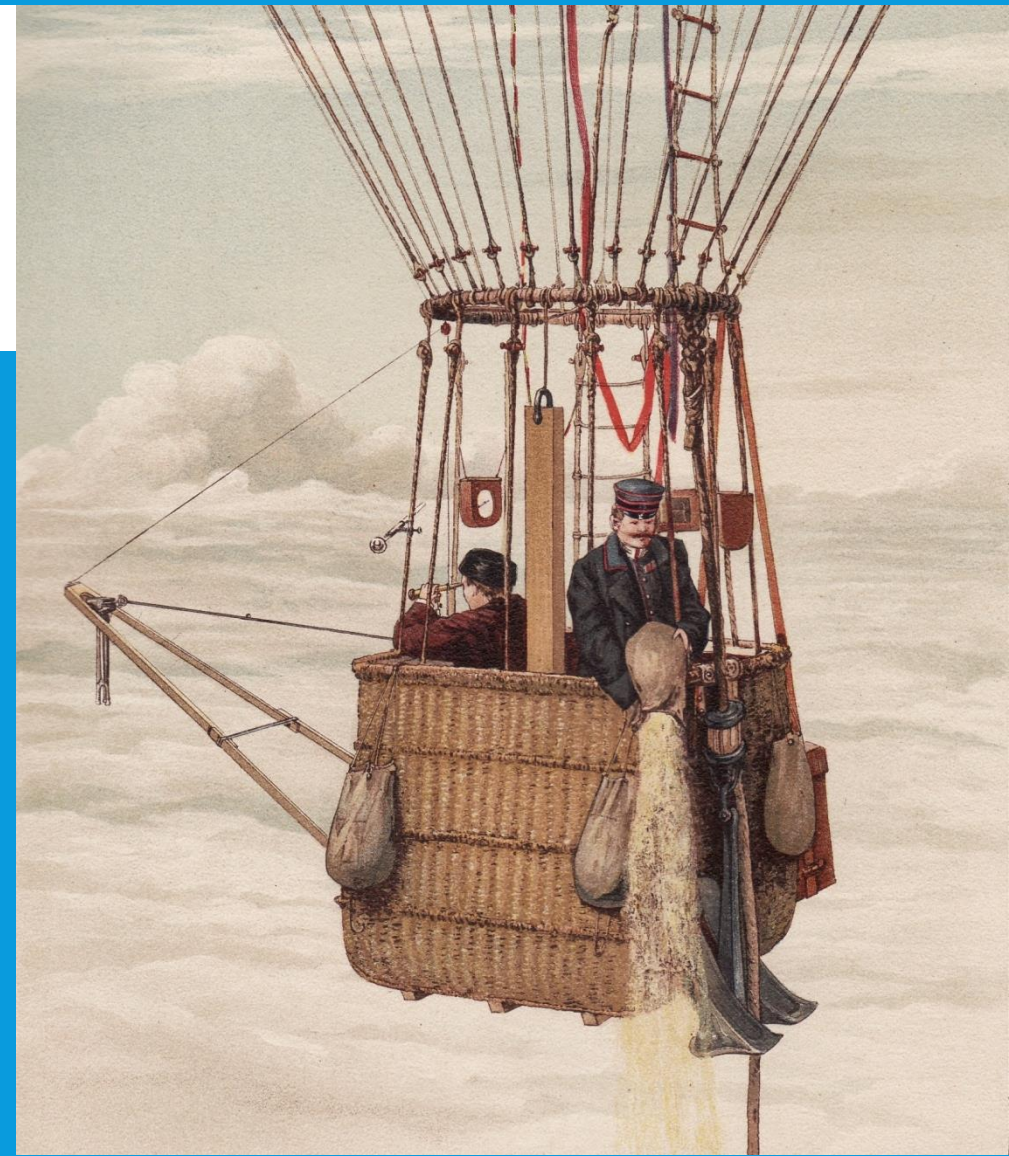
# WEATHER BALLOONS

At the end of the 19<sup>th</sup> century, several European groups began using weather balloons (manned and unmanned) to study the atmosphere

The German group (led by Prof. Richard Aßman) used manned and unmanned, e.g., see drawing

The French group (Léon Teisserenc de Bort) used unmanned balloons

In 1902, both groups announced the discovery of the tropopause, although it was initially called the “stratosphere”

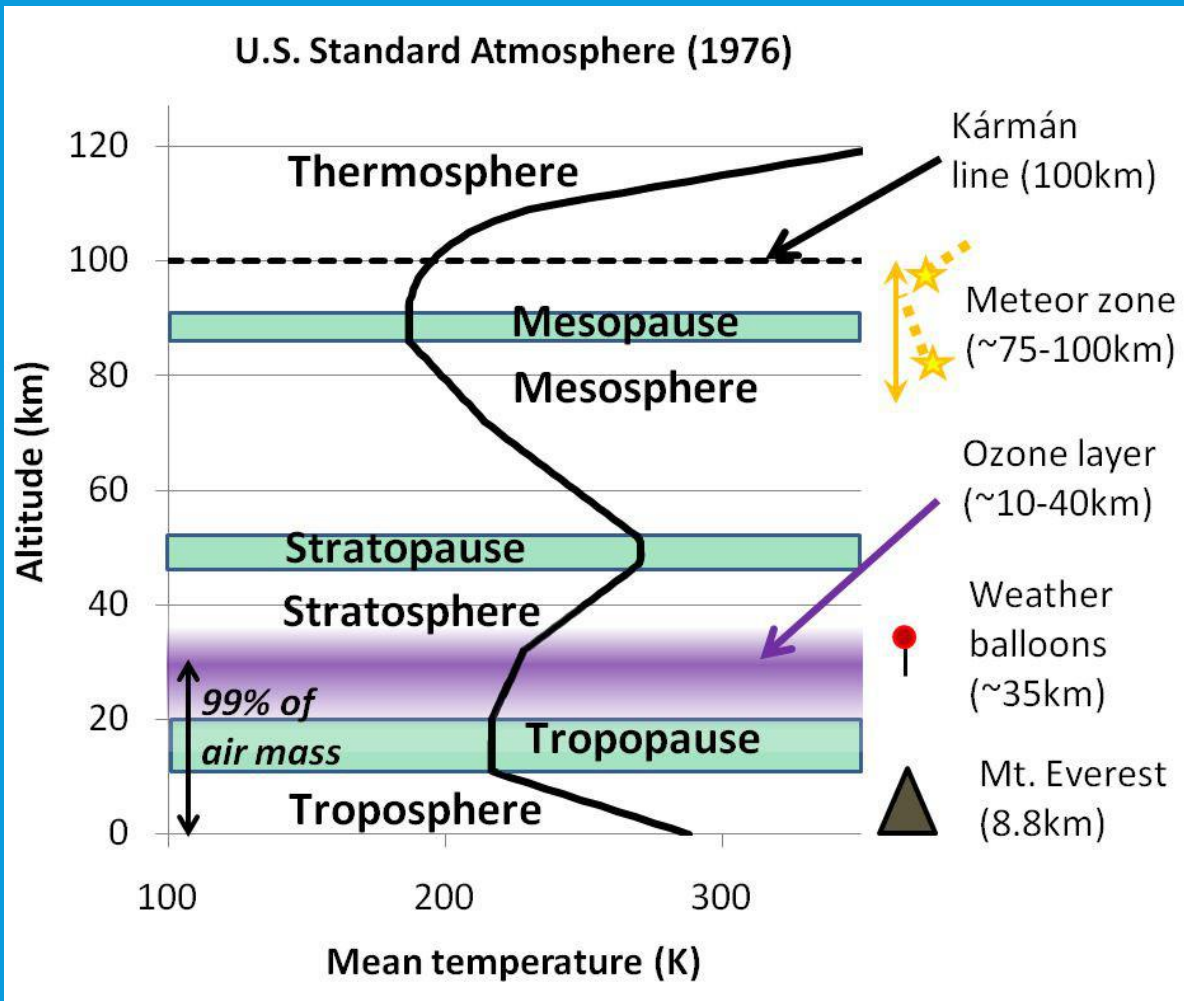


*Arthur Berson (meteorologist, left);  
Hans Groß (pilot, right) in Phoenix (1894).  
Drawing by Hans Groß*

# THE DISCOVERY OF THE “STRATOSPHERE”

- Everybody knew that it got colder as you went up in the air – that’s why it snows on mountaintops. But, now a new region where temperatures remained constant with height!
- People assumed this meant the air was static and “stratified”. Therefore, “stratosphere” vs. “troposphere” (“tropos” = turning or mixing)
- Lindemann and Dobson (1922) proposed a **third** layer where temperatures increased with height!
- The new layer kept the “stratosphere” name, and the original “stratosphere” was renamed the “tropopause” (because the temperature “paused”)

# THE REGIONS OF THE ATMOSPHERE



- Air craft = Mostly troposphere (typically up to 5-10km)
- Rocketsondes = Upper stratosphere to thermosphere (40-120 km)
- Weather balloons = Troposphere, tropopause and stratosphere (up to 35km)

# ADVANCES IN WEATHER BALLOONS

- A balloon's measurement is called a "sonde" (from the French for "sounding")
- When an unmanned balloon bursts, it could land 200 miles away. So, needed to include "return to sender" note
- In the 1930s, cheap radio transmitting instruments were developed.
- Now called "radiosondes".
- Records temperature, pressure, humidity & wind speed
- Launched 1 to 4 times/day, 2000 stations around the world
- Can reach 30-35 km (18-21 miles) before bursting





# 1. HISTORY OF ATMOSPHERIC MEASUREMENTS

- (a) Ground-based measurements
- (b) Satellite-based measurements
- (c) Direct atmospheric measurements
- (d) Development of climate models

# TWO BIG PUZZLES IN EARLY 20<sup>TH</sup> CENTURY

In the late 19<sup>th</sup>/early 20<sup>th</sup> century, the two biggest climate/atmosphere puzzles were:

1. Why did ice ages occur in the past?

It was known that there were at least 4 in the last million years

2. Why was there a tropopause/stratosphere?

Most people assumed that the tropopause/stratosphere probably had something to do with the ozone layer which was discovered by Fabry & Buisson (1912)

# ICE AGE CLIMATE CHANGE THEORIES (1860-1960)

## Changes in the Earth's orbit and solar variability

- 1870s: James Croll – changes in Earth's orbit
- 1910s: Milutin Milanković – changes in Earth's orbit
- 1920s: George Simpson = solar (& H<sub>2</sub>O, clouds)

## Changes in H<sub>2</sub>O or cloud cover

- 1860s: John Tyndall = H<sub>2</sub>O
- 1920s: George Simpson = H<sub>2</sub>O, clouds (& solar)

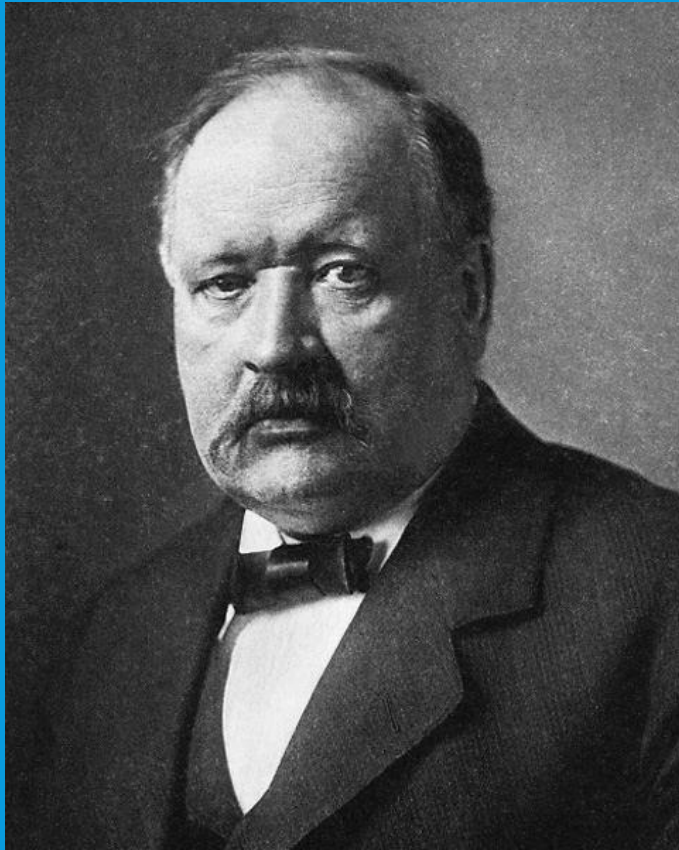
## Changes in CO<sub>2</sub>

- 1890s: Svante Arrhenius = CO<sub>2</sub> only
- 1930s: Guy Callendar = CO<sub>2</sub> only
- 1950s: Gilbert Plass = CO<sub>2</sub> only

## Volcanic eruptions

- 1890s: Thomas Chamberlin – warming from extra CO<sub>2</sub>
- 1960s/70s: Reid Bryson, Hubert Lamb, Mikhail Budyko, etc. – cooling from extra dust and/or aerosols

# THE SWEDISH CLIMATE DEBATE



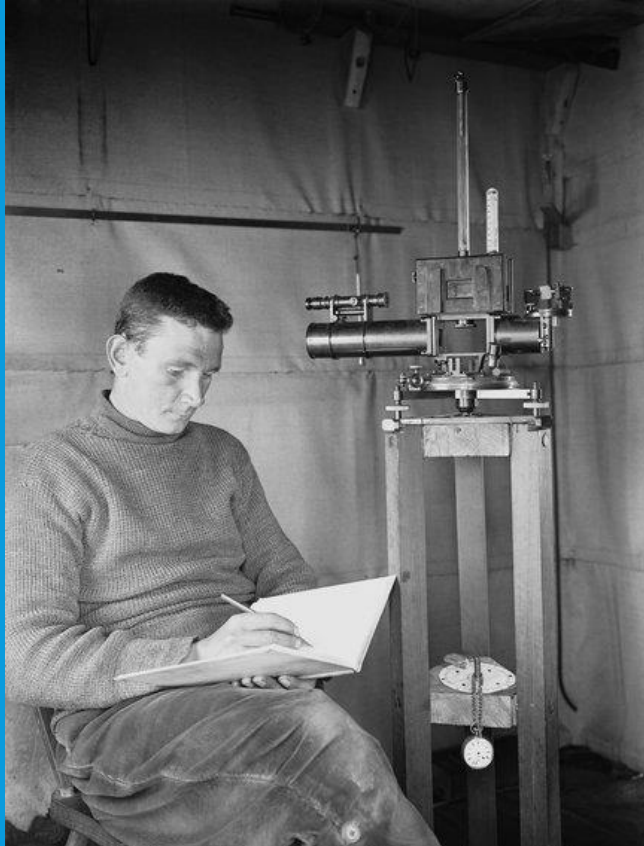
Svante Arrhenius (1859–1927)

- Arrhenius argued that changes in CO<sub>2</sub> could cause the ice ages
- He calculated that changes in CO<sub>2</sub> would significantly alter the rate at which the Earth cooled to space and that this would change the surface air temperatures.
- Ångström disagreed. He argued his experiments showed CO<sub>2</sub> was not a major driver of air temperatures



Knut Ångström (1857-1910)

# SIR GEORGE C. SIMPSON (1878-1965)



*George Simpson making scientific observations at the base camp on Scott's Antarctic Expedition (1910-1912).*

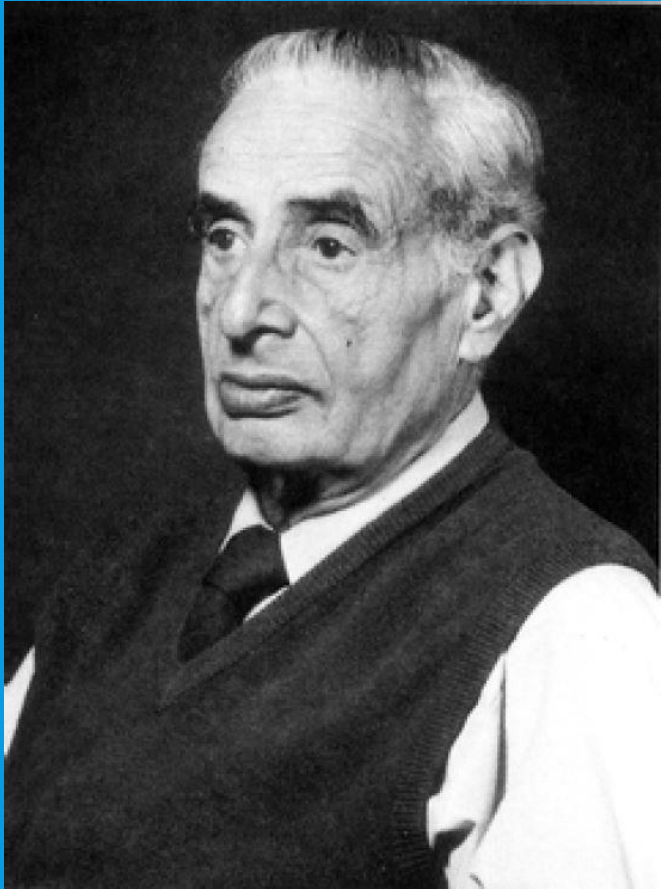
- 1910-1912: One of the surviving members of Robert Scott's Antarctic Expedition
- 1920-1938: Director of the British Meteorological Office
- 1939-1947: Director of Kew Observatory

In 1920s-30s, he carried out detailed calculations on our two big puzzles using latest meteorological data, including weather balloons

He concluded:

1. CO<sub>2</sub> was **not** a driver of climate change
2. Temperatures in the troposphere were **not** driven by radiative processes. Instead, he argued convection was more important
3. But, in the stratosphere, radiative processes might be the driver
4. It **probably** had something to do with ozone, but there were odd puzzles as to why the effects were greatest at the poles.

# THE “PICK ONE THING” APPROACH



Walter M. Elsasser (1904-1991)

## Walter Elsasser

- 1937-1941 (CalTech): calculated effects of infrared radiation transfer in atmosphere – only radiative processes
- Moved to Harvard (until called to duty) where he wrote up his calculations as Elsasser (1942)
- Rebranded much of Einstein’s work as “Kirchoff’s laws”

## Gilbert Plass

- In 1950s, tried to explain CO<sub>2</sub> as driver of all climate change

## Syukuro Manabe & Robert Strickler, 1964

- Tried to build computer model from Elsasser (1942) to explain troposphere/stratosphere with radiative processes...

# THE “CONVECTIVE ADJUSTMENT”

## The Problem!!!

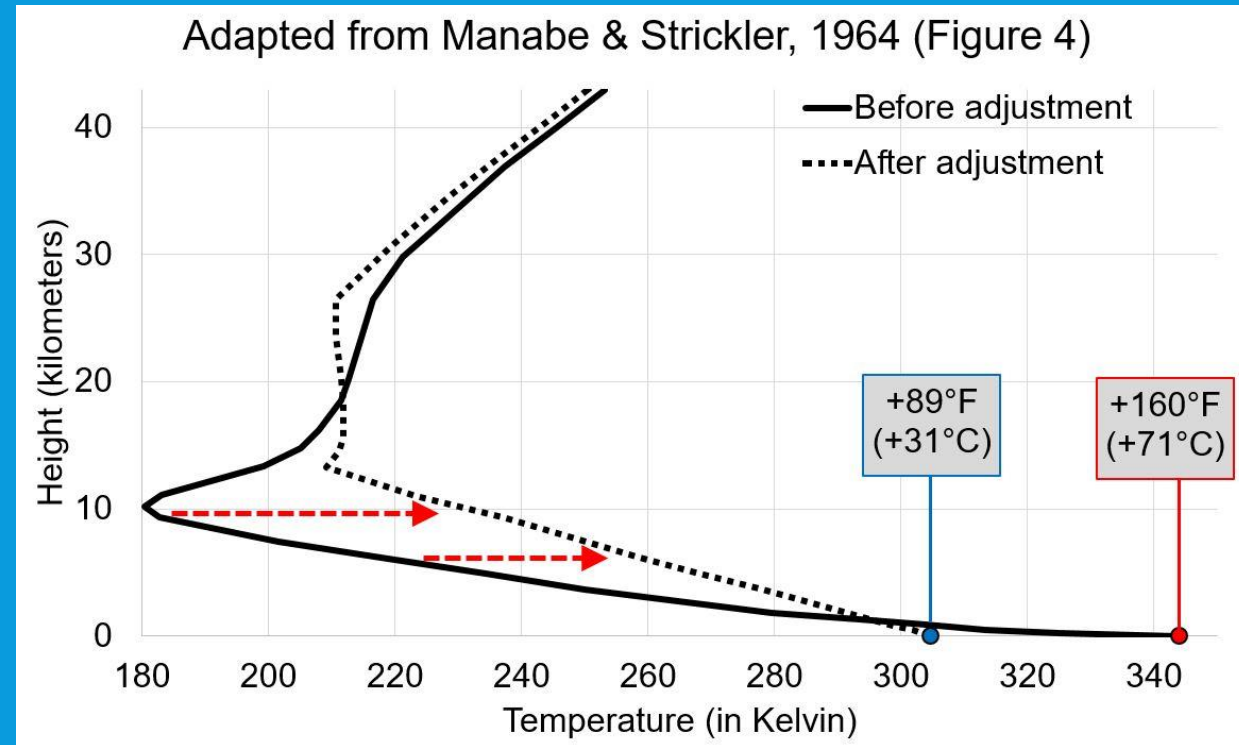
- Lapse rate = rate of change of temperature/km
- Average lapse rate in troposphere =  $-6.5^{\circ}\text{C}/\text{km}$
- But, using “radiative processes” =  $-16^{\circ}\text{C}/\text{km}$ !
- Also, ground temperature =  $+160^{\circ}\text{F}$ !!!
- Stratospheric trends were kind of ok though

## George Simpson’s conclusion (1930s)

- Stratosphere is radiatively-dominated, but troposphere is not!

## Manabe & Strickler’s conclusion (1964)

- Just remember to keep manually “adjusting” the data every time the lapse rate gets too fast
- It’s probably something to do with convection...



# SUPERCOMPUTERS IN THE 1960S

*IBM 7090 operator's console at the NASA Ames Research Center (1961)*



- CPU speed = 200,000 FLOPS
- RAM = 4 kB
- Size = occupies several rooms

Vs.

*Samsung Galaxy S6 (2015)*

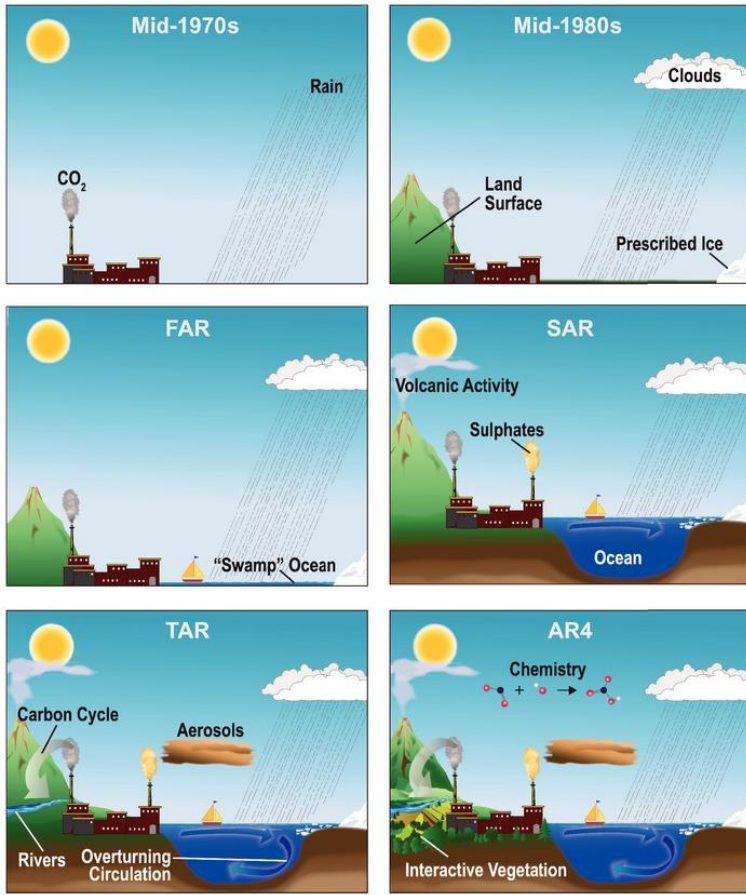


- CPU speed = 35,000,000,000 FLOPS
- RAM = 3,000,000 kB ("3GB")
- Size = 5.1" screen

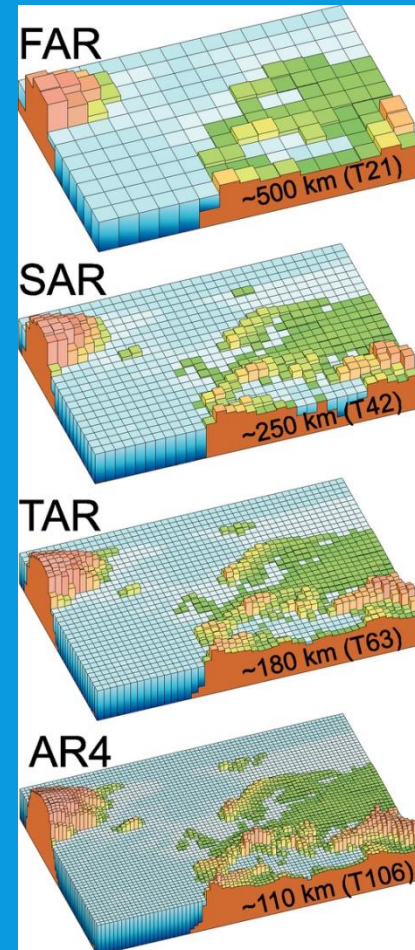


# THE GLOBAL CLIMATE MODEL APPROACH

## The World in Global Climate Models



- Current supercomputers are far more powerful than 1960s!!!
- The resolution has improved:
  - Horizontally (500km in 1990 to 100km today)
  - Vertically (2-3km in 1990 to 500-1000m today)
- Extra components (ice, oceans, aerosols, changing Sun) have been added
- But, Elsasser, 1942's key assumption that radiative physics is the main driver was never checked until now! It was wrong.



# IMPLICATIONS OF CONVECTIVE ADJUSTMENT

- The computer models assume that the atmospheric temperature profile is determined by the concentrations of infrared-active gases
- So, Manabe & Wetherald (1967) predicted doubling CO<sub>2</sub> would cause global warming
- Unfortunately, 1940s-1970s global temperatures were cooling...
- But, in 1980s, warming started again and the computer modellers declared vindication!



*Dr. James Hansen, who co-developed the NASA GISS climate model building on the Manabe model, in his 1988 US Congressional testimony where he claimed:*

*“It is time to stop waffling so much and say that the evidence is pretty strong that the greenhouse effect is here.”*

# BOTH SIDES



*"I've looked at clouds from both sides now  
From up and down and still somehow  
It's cloud's illusions I recall  
I really don't know clouds at all"*

- Joni Mitchell, "Both Sides, Now" (1969)

# STRUCTURE OF THIS TALK



1. History of atmospheric measurements



2. Summary of our early findings (2010-2014)



3. Our latest findings (a sneak preview)

# THE MAJOR CONCEPTS IN SCIENCE

- 1. Facts:** Experimental observations or results.
- 2. Laws:** A statement based on repeated experimental observations, generally an equation. Tells what and how something happens, but not why it happens.
- 3. Hypothesis:** A guess at explaining the facts. A starting point for developing a theory
- 4. Theory:** An explanation acquired through repeated testing using the scientific method.  
A theory explains why things happen.
- 5. The Scientific Method:** Subject your hypothesis to as many tests as you can. If it cannot explain all the facts, it is wrong. If it explains all the known facts you have a theory.
- 6. The Principle of Occam's Razor:** If two or more theories explain all the known facts pick the simplest one

# WEATHER BALLOON DATA AND THE GAS LAWS

In our analysis of the weather balloon data, we used only the experimental observations and the gas laws.

We did not use models.



# AN EXAMPLE OF A RADIOSONDE RESULT: TUCSON, AZ (JULY 20<sup>TH</sup>, 2018)

Measures :

- Pressure
- Temperature
- Humidity
- Horizontal wind speed and direction

Mandated pressure levels (hPa or mb) =

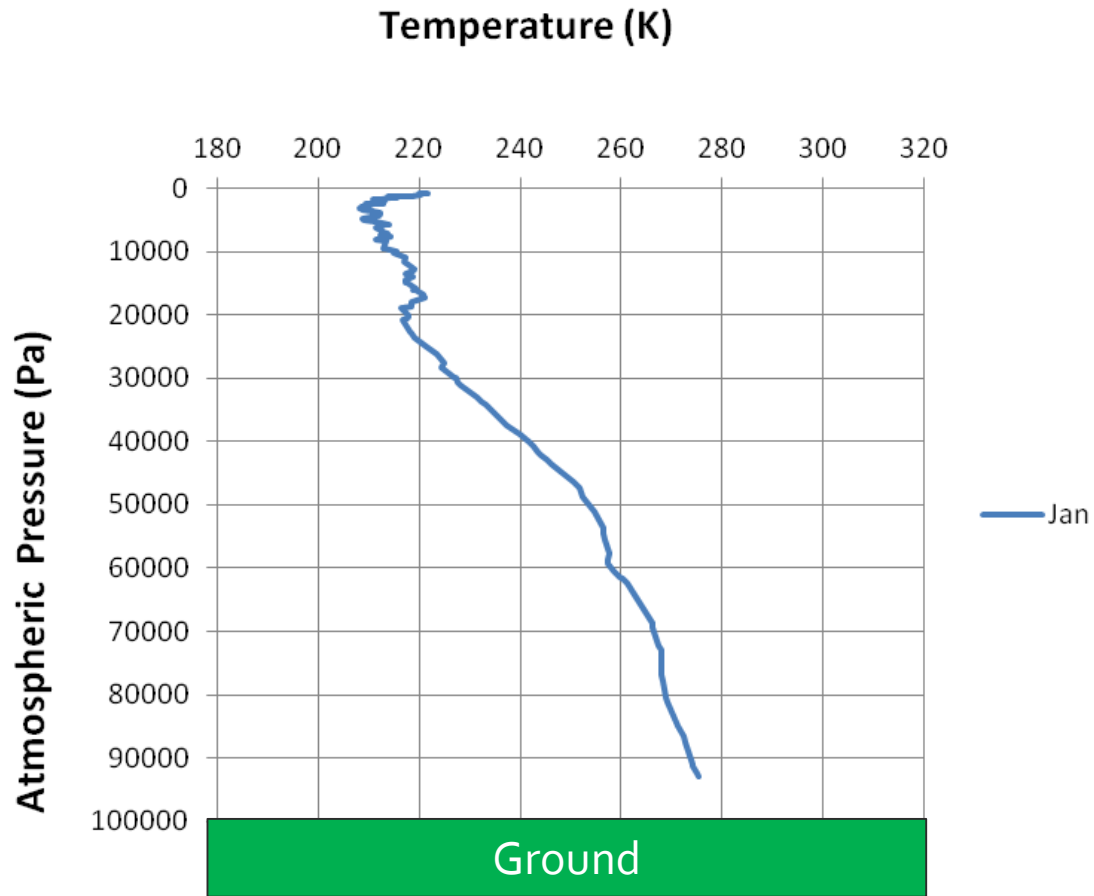
1000, 925, 850, 700, 500, 400, 300, 250, 200, 150, 100,  
70, 50, 30, 20, 10, 7, 5, 3, 2 & 1

72274 TUS Tucson Observations at 00Z 20 Jul 2018

PRES hPa	HGHT m	TEMP C	DWPT C	RELH %	MIXR g/kg	DRCT deg	SKNT knot	THTA K	THTE K	THTV K
1000.0	61									
927.0	751	35.8	15.8	30	12.32	320	5	315.7	354.6	318.1
925.0	767	35.2	16.2	32	12.68	320	7	315.3	355.2	317.7
921.0	806	34.2	16.2	34	12.73	317	8	314.7	354.6	317.1
910.0	914	33.2	16.1	36	12.79	310	10	314.7	354.8	317.1
879.6	1219	30.3	15.7	41	12.95	310	12	314.8	355.4	317.2
850.0	1526	27.4	15.4	48	13.11	300	11	314.8	355.9	317.3
821.2	1829	24.6	14.7	54	13.01	290	12	314.9	355.7	317.4
798.0	2081	22.2	14.2	60	12.92	286	9	315.0	355.5	317.5
793.1	2134	21.9	13.4	58	12.34	285	8	315.3	354.0	317.6
765.8	2438	20.4	8.8	47	9.40	240	6	316.8	346.7	318.6
762.0	2482	20.2	8.2	46	9.03	239	6	317.0	345.8	318.8
739.2	2743	18.4	5.7	43	7.80	230	6	317.8	342.8	319.3
730.0	2850	17.6	4.6	42	7.34	229	7	318.1	341.7	319.5
700.0	3207	14.2	4.2	51	7.44	225	11	318.2	342.1	319.6
663.3	3658	10.5	1.9	55	6.67	160	2	318.9	340.6	320.2
649.0	3841	9.0	1.0	57	6.38	148	6	319.2	340.0	320.5
627.0	4126	6.6	2.1	73	7.15	129	12	319.7	342.9	321.0
621.0	4205	6.2	1.7	73	7.02	124	14	320.1	342.9	321.4
616.4	4267	6.0	-1.1	60	5.75	120	15	320.5	339.4	321.6
613.0	4312	5.8	-3.2	52	4.95	121	15	320.8	337.2	321.8
598.0	4514	4.6	-11.4	30	2.69	124	16	321.7	330.9	322.2
587.0	4666	3.6	-8.4	41	3.47	127	16	322.2	334.0	322.9
572.0	4875	2.4	-13.6	30	2.35	130	17	323.2	331.4	323.7
571.9	4877	2.4	-13.6	30	2.36	130	17	323.2	331.4	323.7
561.0	5032	1.2	-10.8	40	3.01	127	19	323.6	333.9	324.2
550.6	5182	0.5	-15.4	29	2.10	125	20	324.5	331.8	324.9
540.0	5339	-0.3	-20.3	20	1.42	128	19	325.4	330.5	325.6
531.0	5473	-1.3	-16.3	31	2.03	130	18	325.7	332.9	326.1
525.0	5563	-1.5	-23.5	17	1.10	131	18	326.6	330.6	326.8
510.1	5791	-3.3	-25.9	16	0.92	135	16	327.1	330.5	327.3
500.0	5950	-4.5	-27.5	15	0.80	135	16	327.5	330.5	327.6
497.0	5997	-4.9	-27.9	15	0.78	132	16	327.6	330.5	327.7
491.0	6092	-4.9	-31.9	10	0.54	125	16	328.7	330.8	328.8
490.8	6096	-4.9	-31.9	10	0.54	125	16	328.7	330.8	328.8
453.5	6706	-8.6	-37.2	8	0.35	145	15	331.6	333.0	331.6
421.0	7282	-12.1	-42.1	6	0.23	107	18	334.2	335.2	334.3
419.2	7315	-12.3	-39.4	8	0.30	105	18	334.4	335.6	334.4

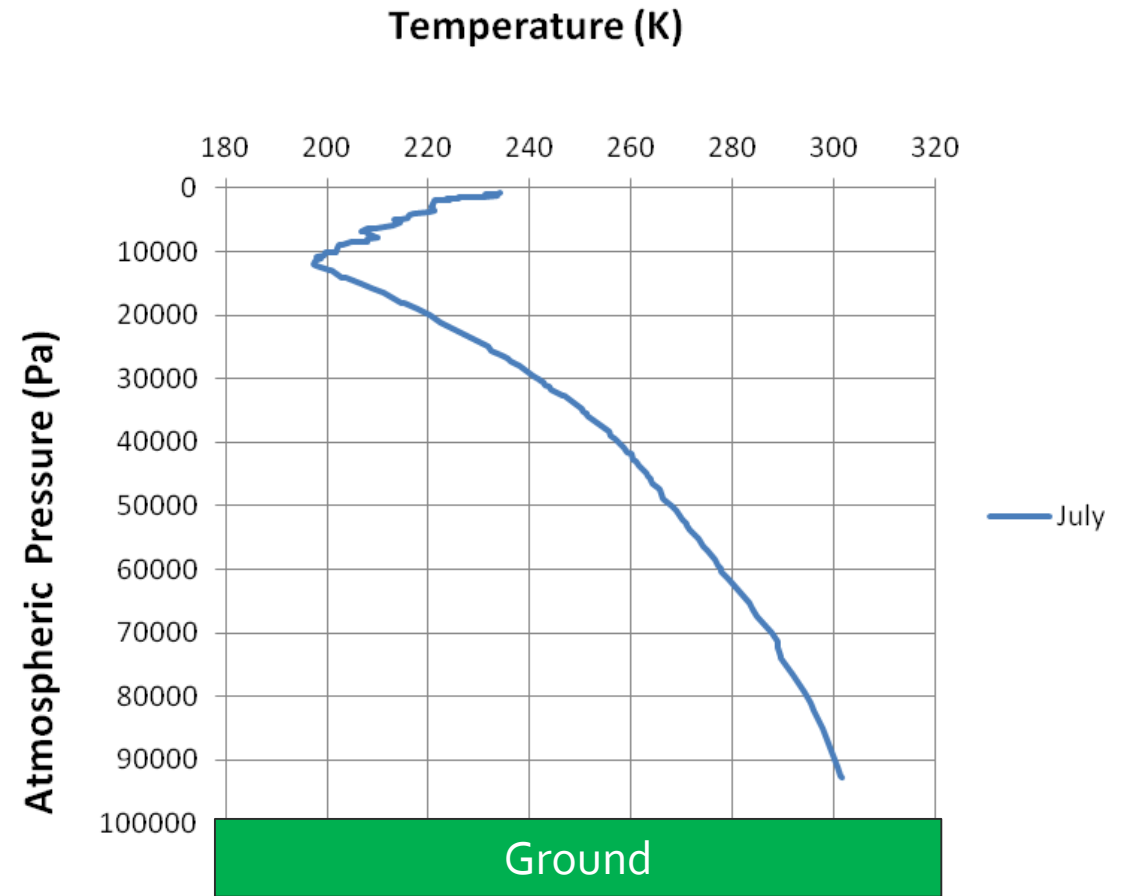
# Tucson, AZ

January 1<sup>st</sup> 2019; 7:40am local time



# Tucson, AZ

July 20th 2018; 7:40am local time



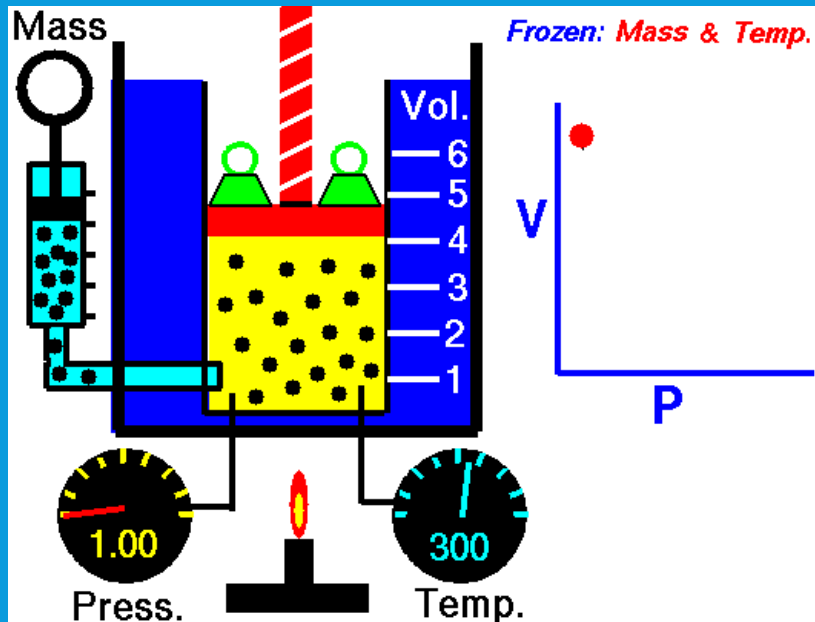


# The gas laws

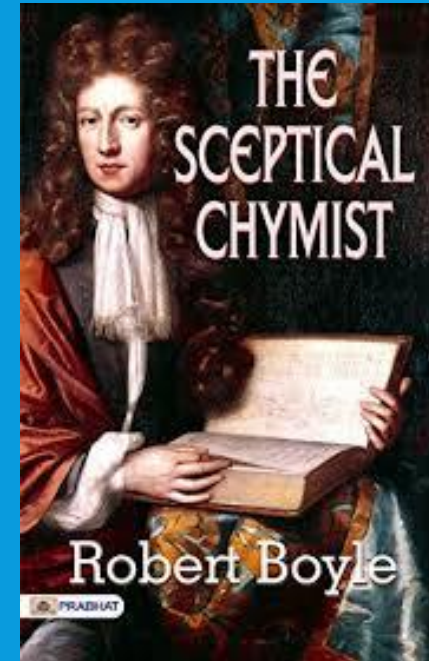
# BOYLE'S LAW

Boyle's law states that for a given amount of gas if the temperature stays the same then the pressure times the volume stays constant:

$$PV=C$$



Source NASA's Glenn Research Center

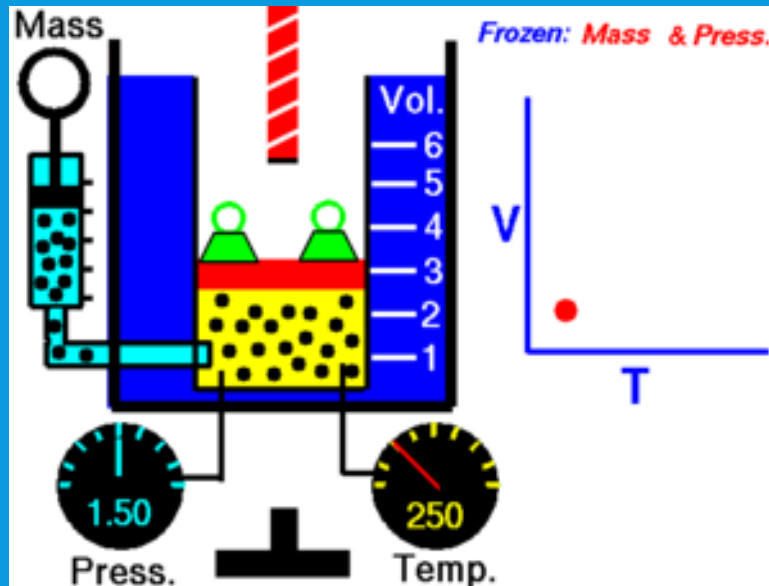


Robert Boyle (1627-1691)  
Ireland  
Published book on gases:  
"The Sceptical Chymist" (1680)

# CHARLES'S LAW

Charles's Law states that if the pressure on a fixed amount of gas remains the same, then the volume divided by temperature remains constant:

$$V/T = C$$



Source NASA's Glenn Research Center



*Jacques Charles  
(1749-1823)  
France*

*Scientific career in Physics and maths,  
First manned balloon flight  
Discovered Charles law*

# AVOGADRO'S LAW

Avogadro's law states that, "equal volumes of all gases, at the same temperature and pressure, have the same number of molecules"

This means that the volume of a gas at a given temperature and pressure depends on the number of molecules and not the weight of the molecules

**V** Proportional to **n**

The number of molecules is measured in moles.

1 mole  $\approx$  602,300,000,000,000,000,000,000 molecules!

Normally written as  $6.023 \times 10^{23}$  ("Avogadro's number")



*Amedeo Avogadro (1776-1856)*

*Italy*

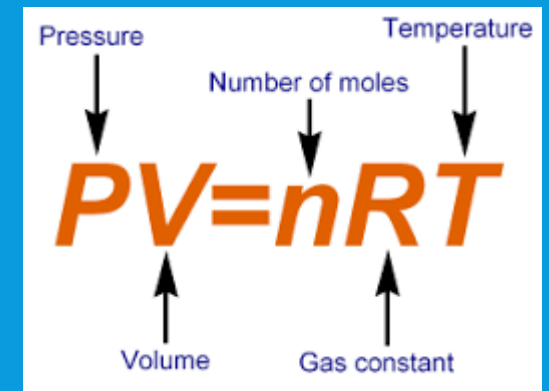
*Scientific career: Physicist and held posts dealing with statistics and meteorology  
Discovered Avogadro's Law*

# THE IDEAL GAS LAW

By combining the last three gas laws together we get an equation which is called the ideal gas law.

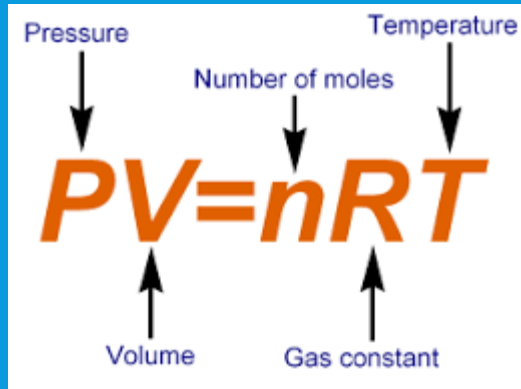
It is also known as the thermodynamic equation of state of an ideal gas.

## THE IDEAL GAS LAW



# MOLAR DENSITY, D

(THE NUMBER OF MOLECULES MEASURED IN MOLES PER M<sup>3</sup>)



By Rearranging the equation of state we get

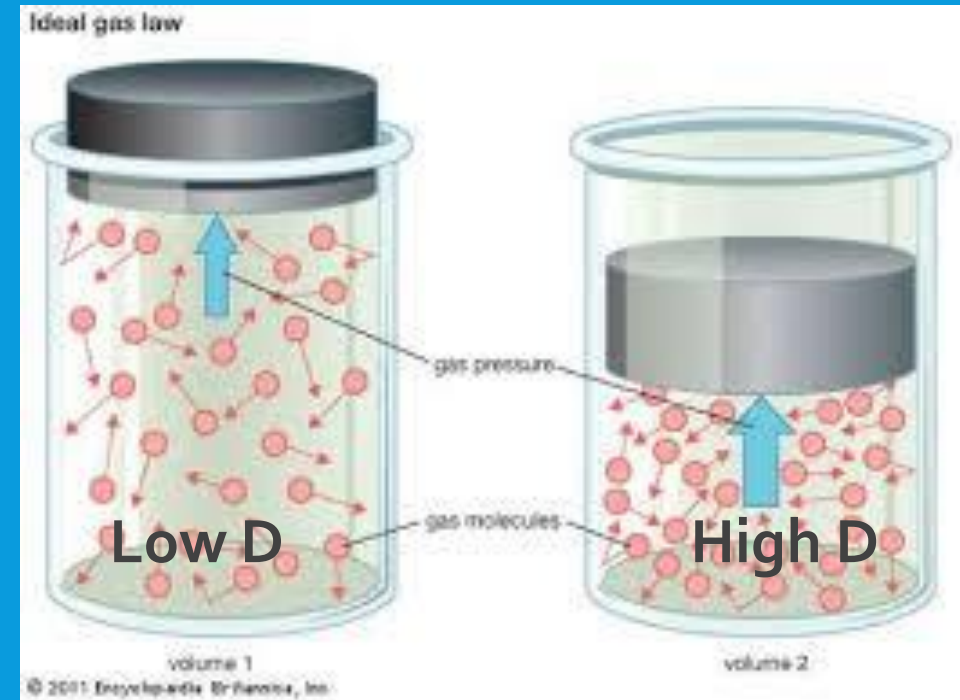
$$n/V = P/RT$$

Since the molar density (D) is given by

$$D = n/V$$

Then

$$D = P/RT$$



# Why is there a Tropopause?

## Hypothesis

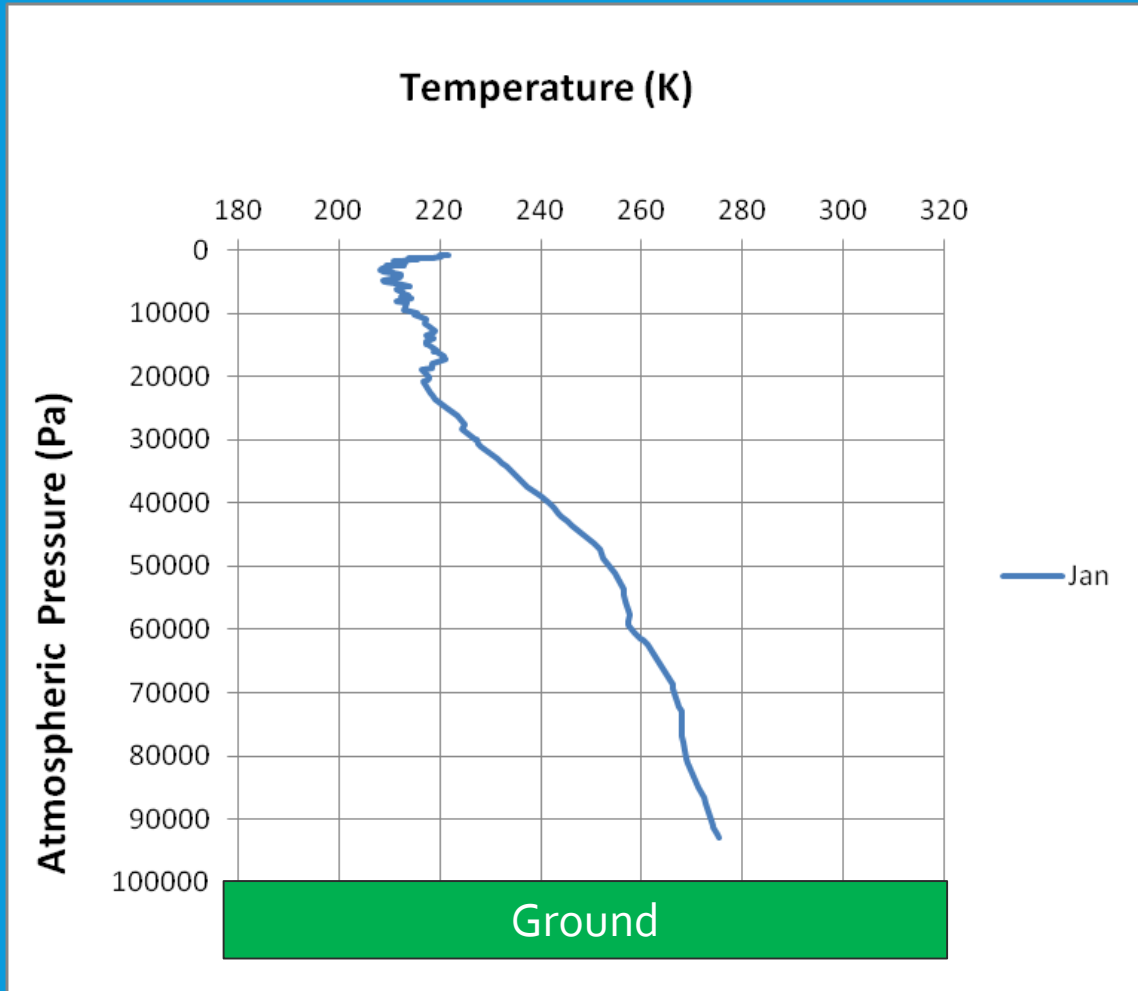
Absorption of ultraviolet (“UV”) light by ozone heats up the air to form the stratosphere and tropopause

Can weather balloon data be used to test this hypothesis?

The answer is yes!

# Tucson, AZ

## January 1<sup>st</sup> 2019; 7:40am local time

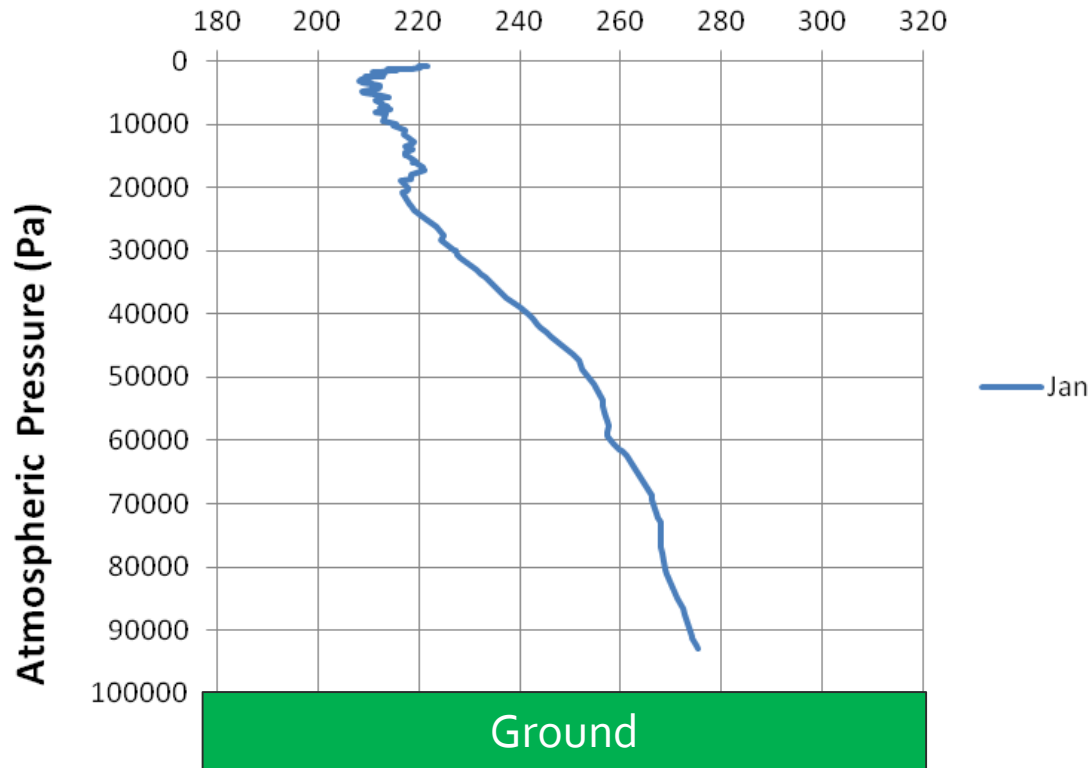




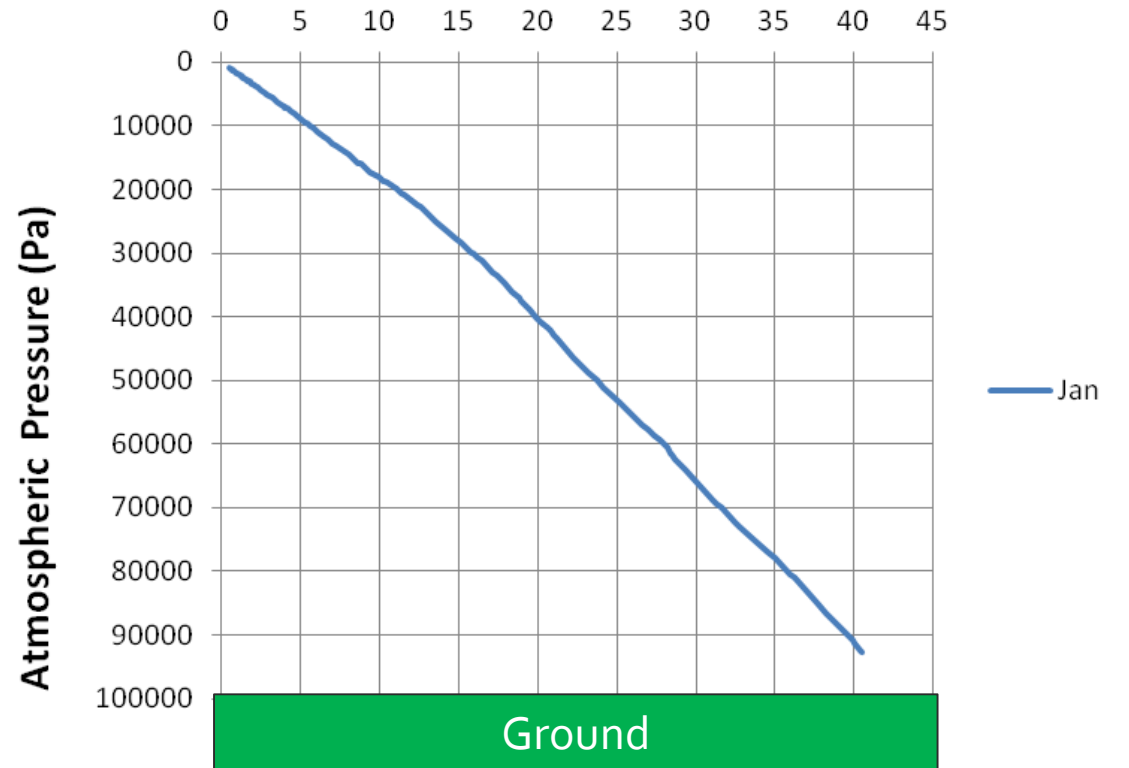
# Tucson, AZ

## January 1<sup>st</sup> 2019; 7:40am local time

### Temperature (K)

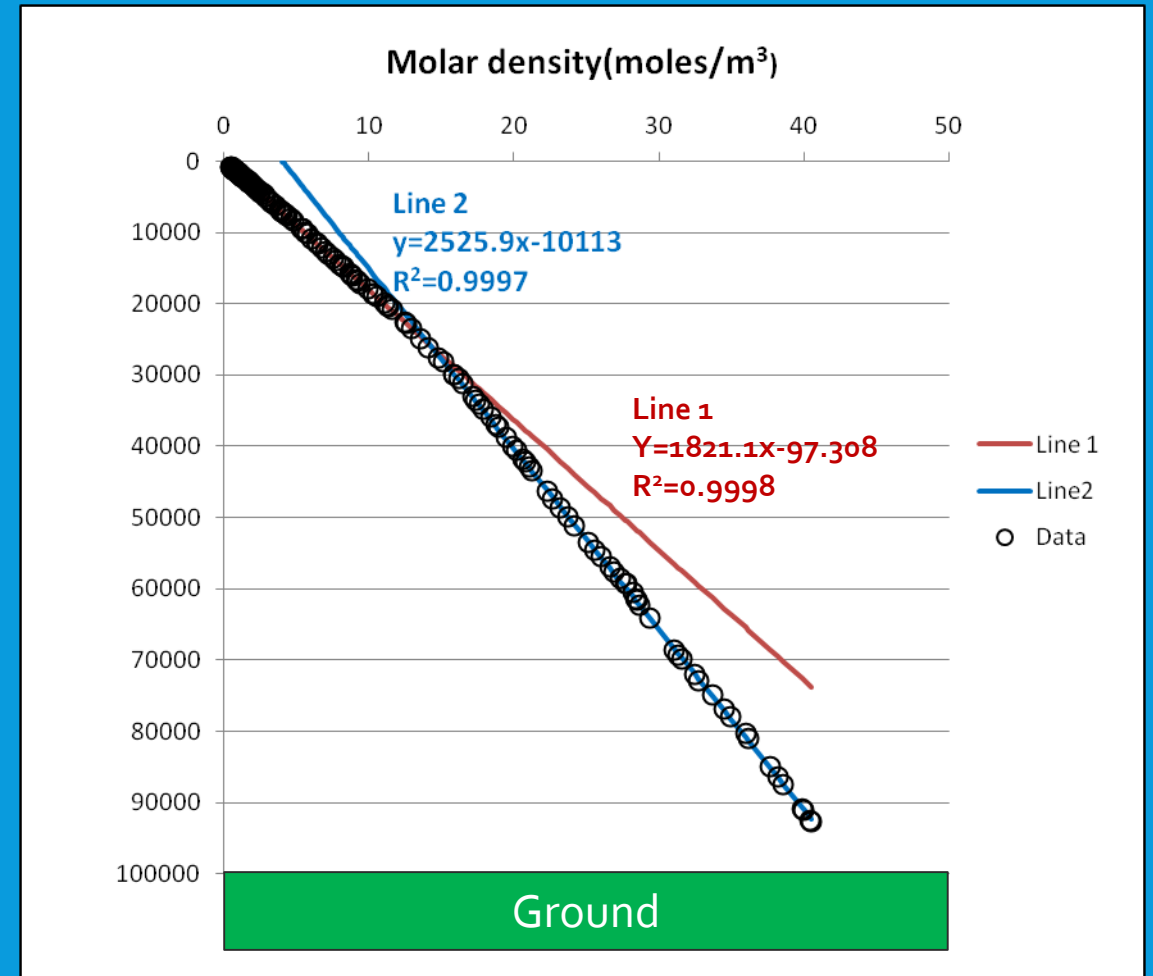
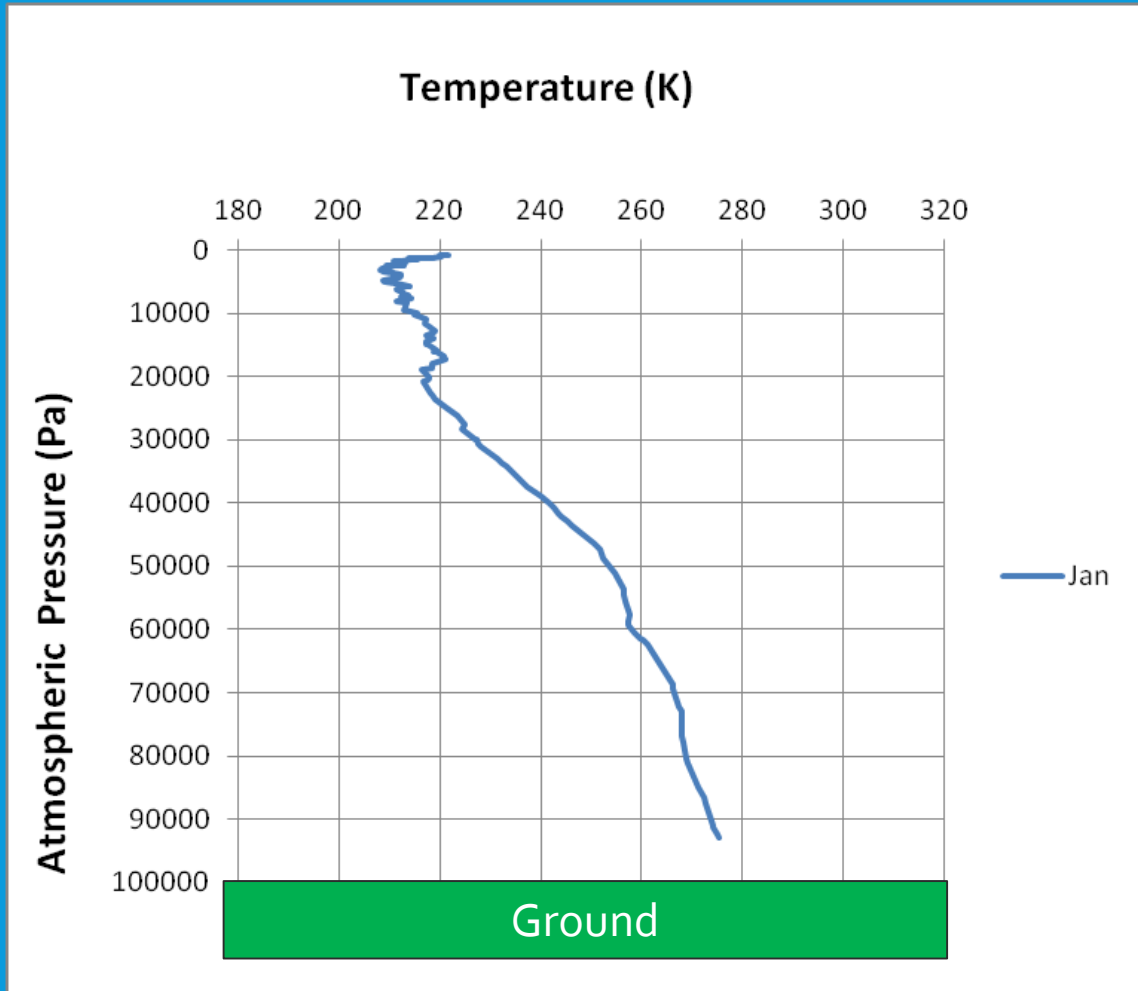


### Molar density(D)



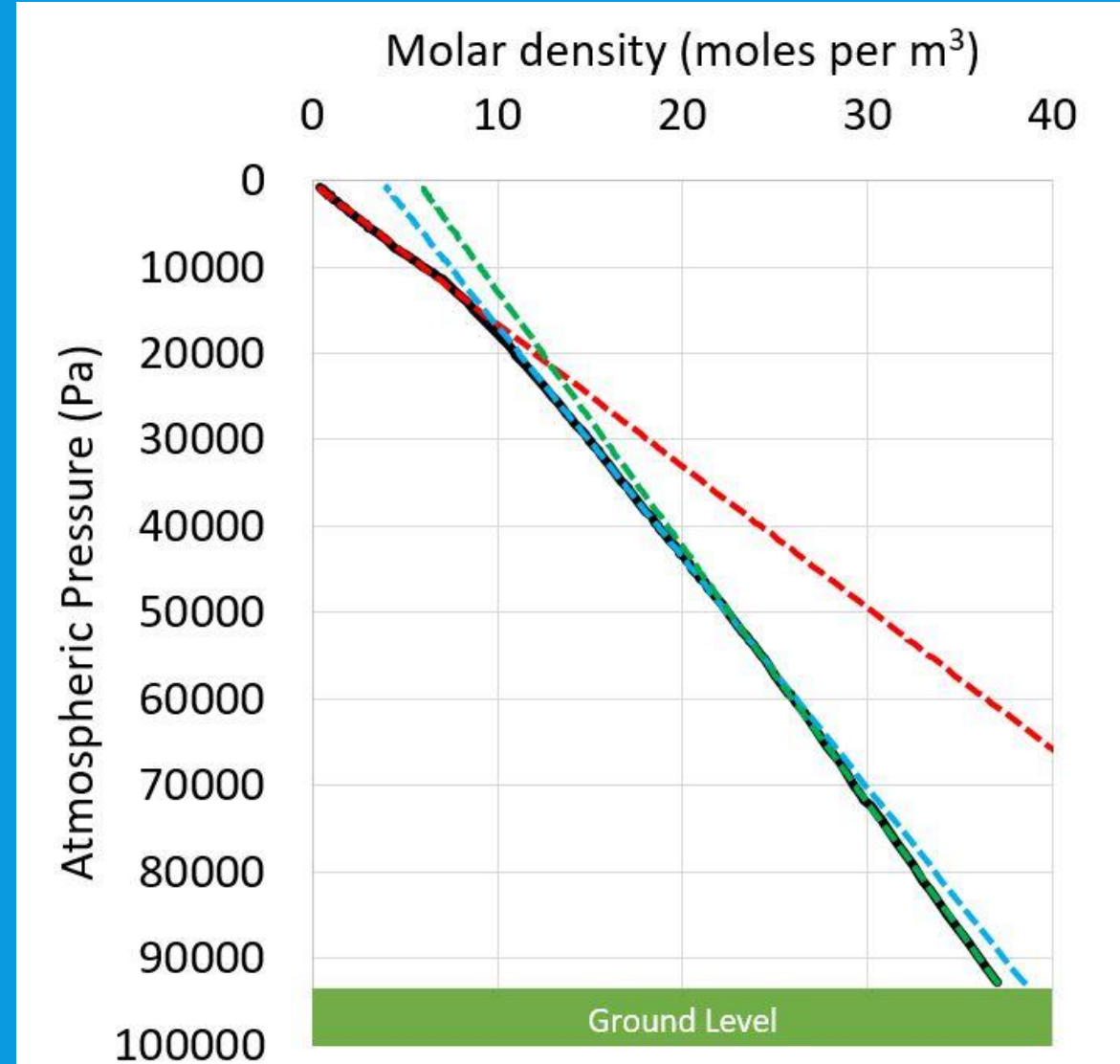
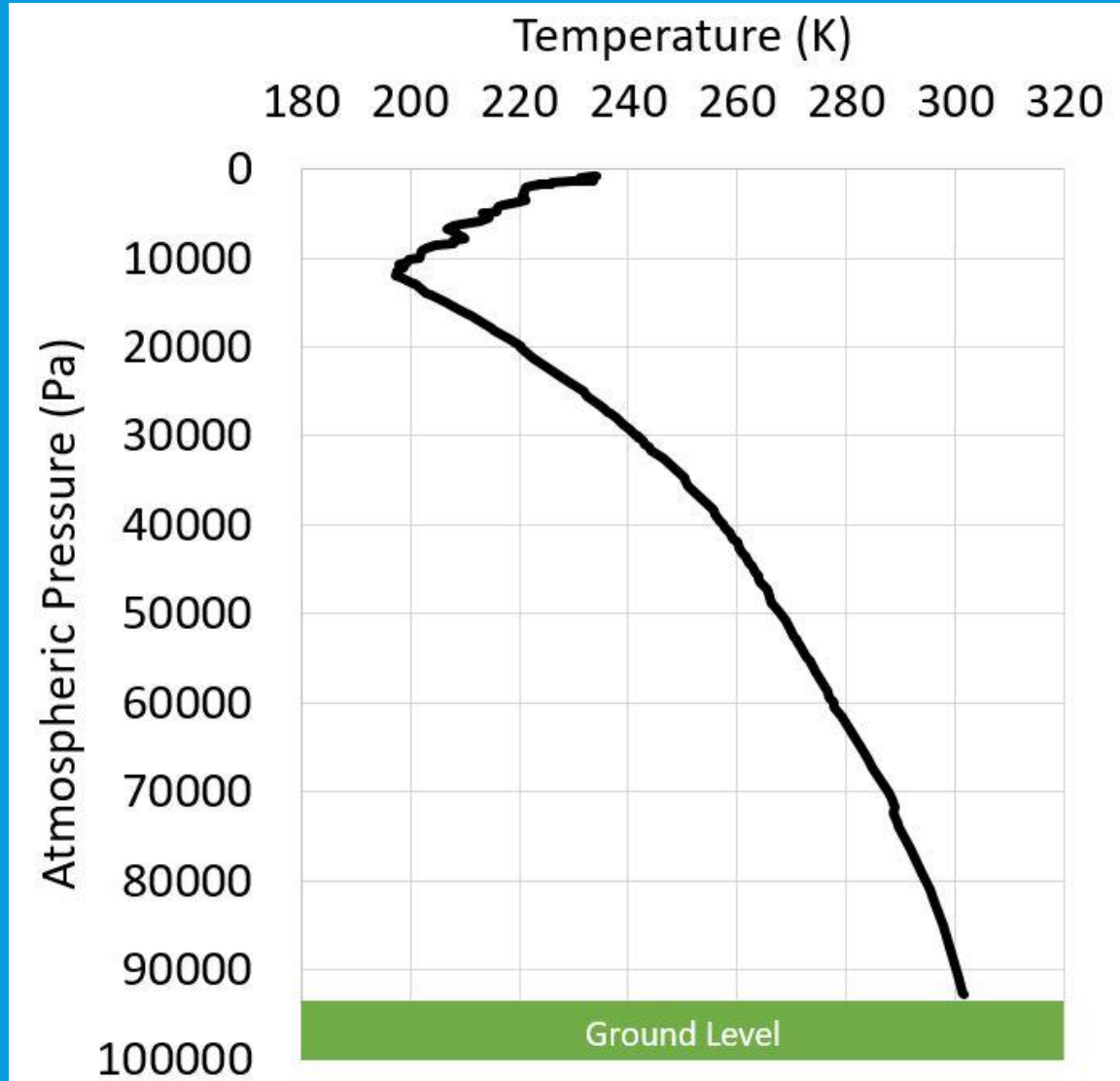
# Tucson, AZ

## January 1<sup>st</sup> 2019; 7:40am local time



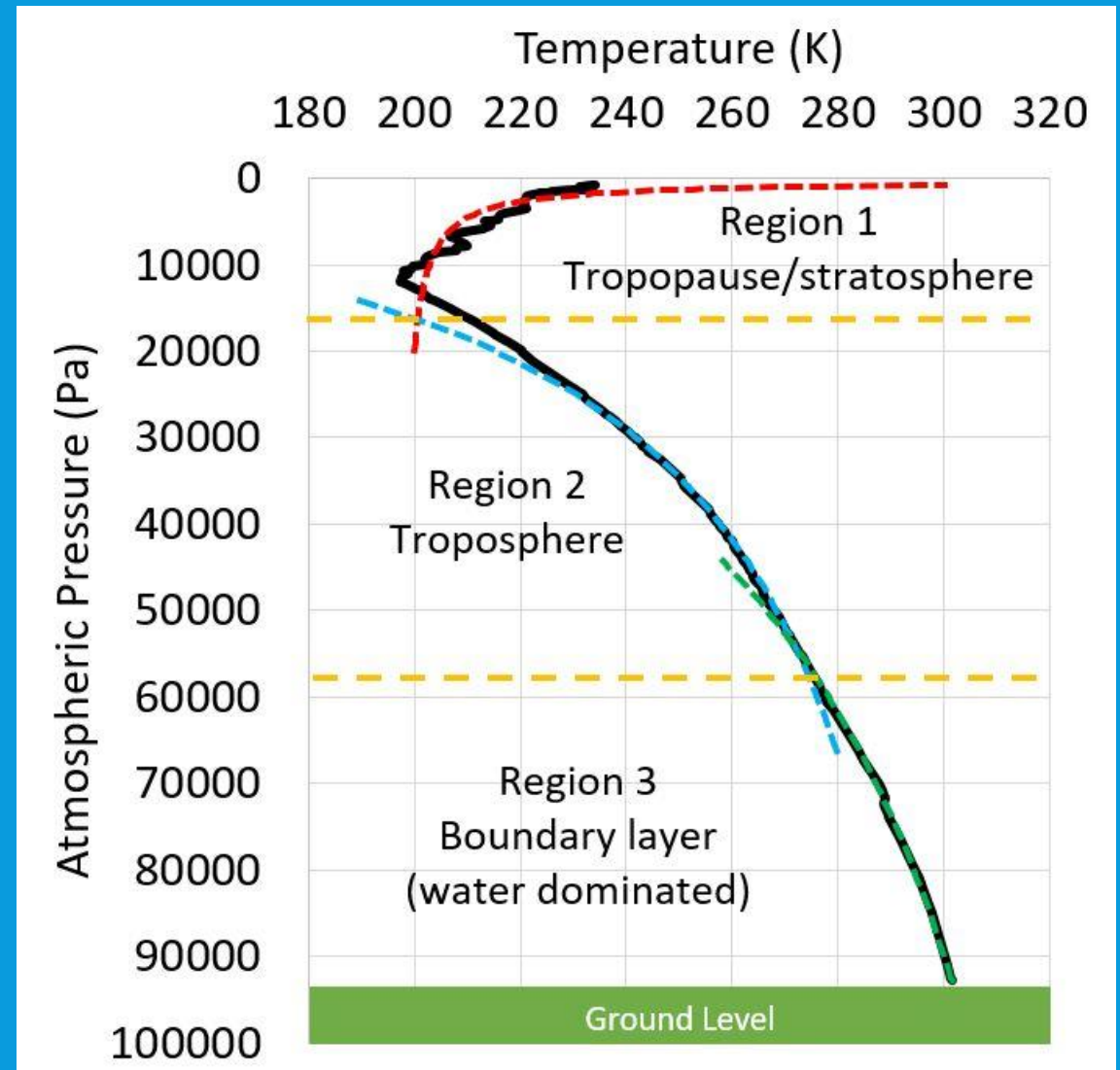
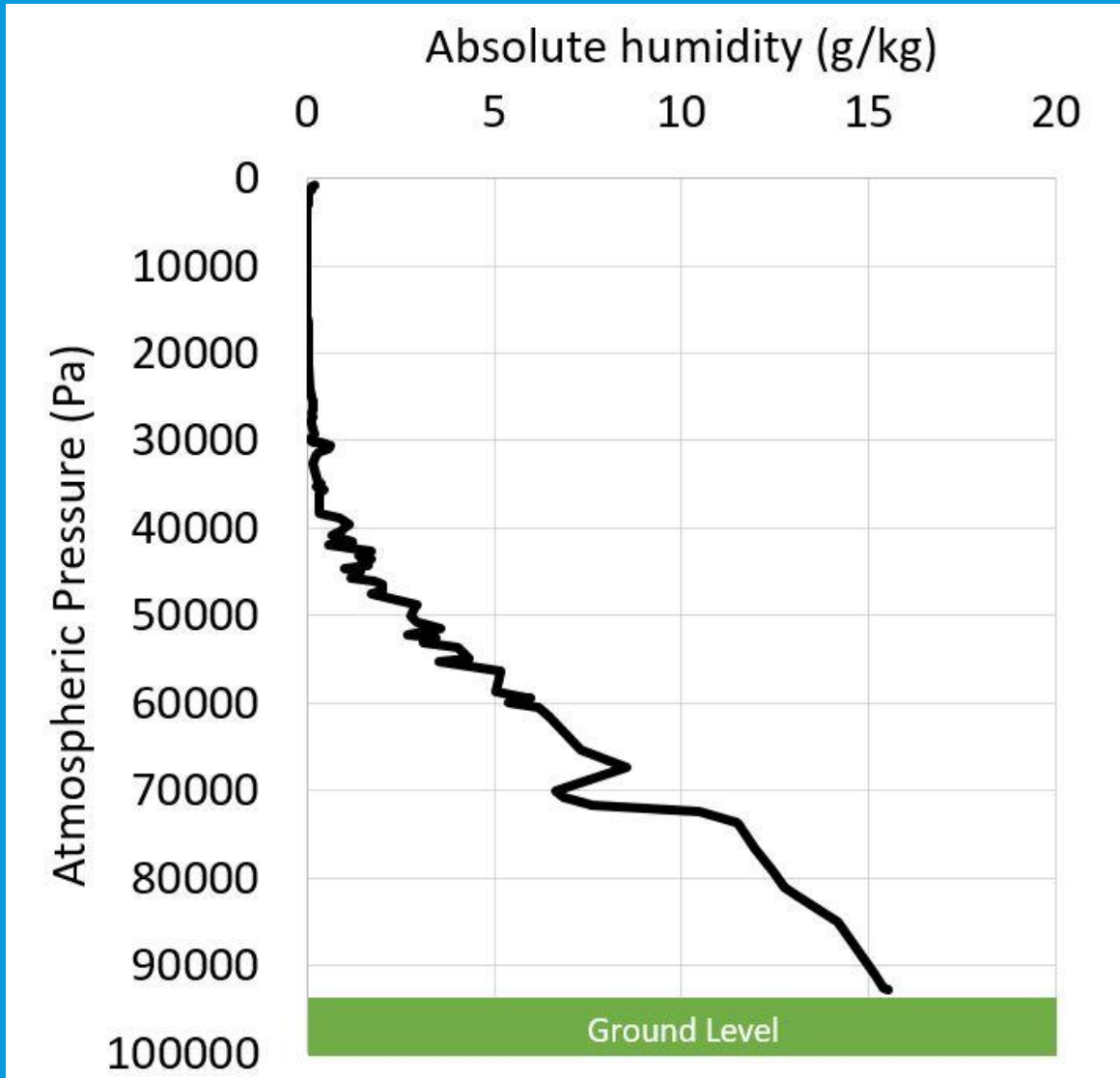
# Tucson, AZ

July 20<sup>th</sup> 2018; 7:40 pm local time



# Tucson, AZ

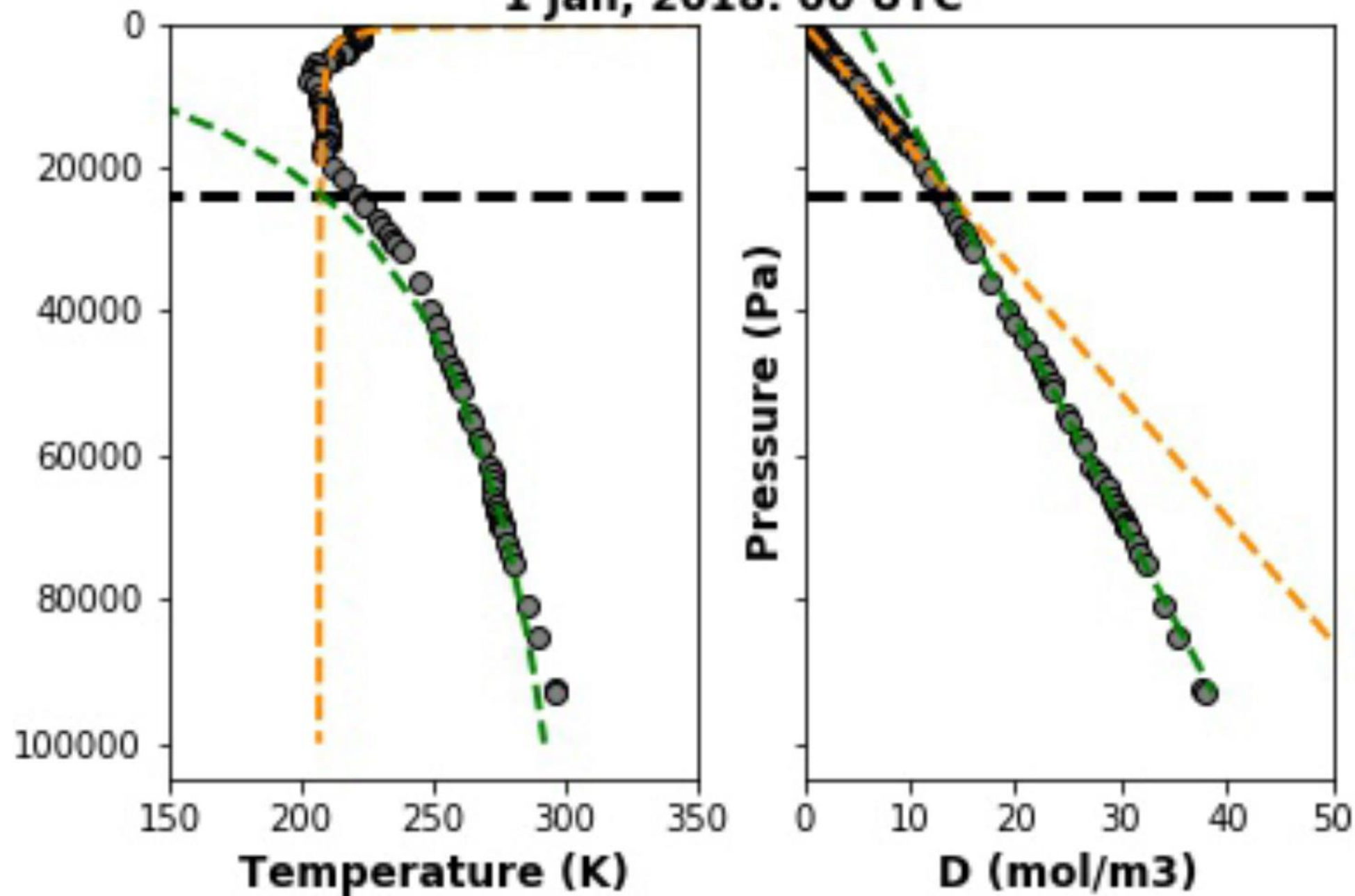
## July 20<sup>th</sup> 2018; 7:40 pm local time



**We find that for all 20 million weather balloons we get either 2 or 3 straight lines when we plot the molar density vs pressure.**

**The following video shows the molar density plots for all weather balloons launched from this Tucson station last year**

United States, TUCSON  
1 Jan, 2018. 00 UTC



# Tucson, AZ 1<sup>st</sup> Jan 2019 7.40am

For our analysis it is easier if we rotate our plots so that Pressure is on the x-axis instead of the y-axis



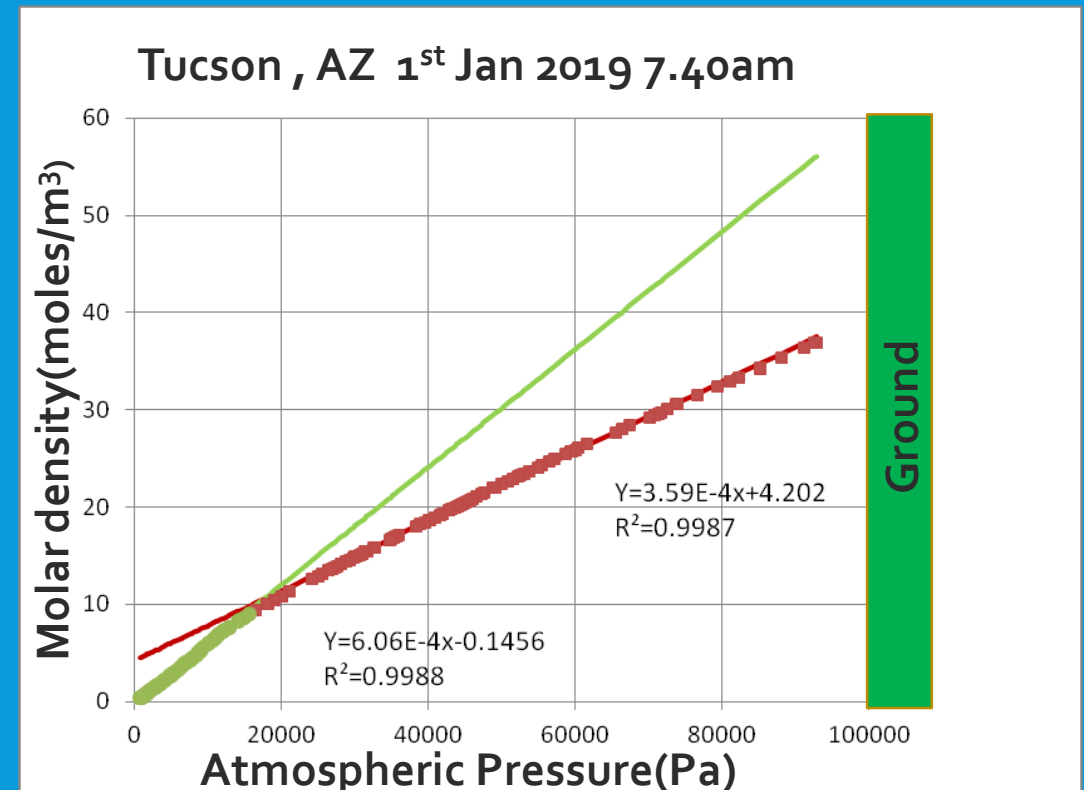
# IMPLICATIONS OF THIS TWO-PHASE BEHAVIOUR

For a gas the plot of Molar Density versus Pressure is normally a single straight line, and the slope tells us the compressibility of the gas.

The bigger the slope the easier it is to compress the gas.

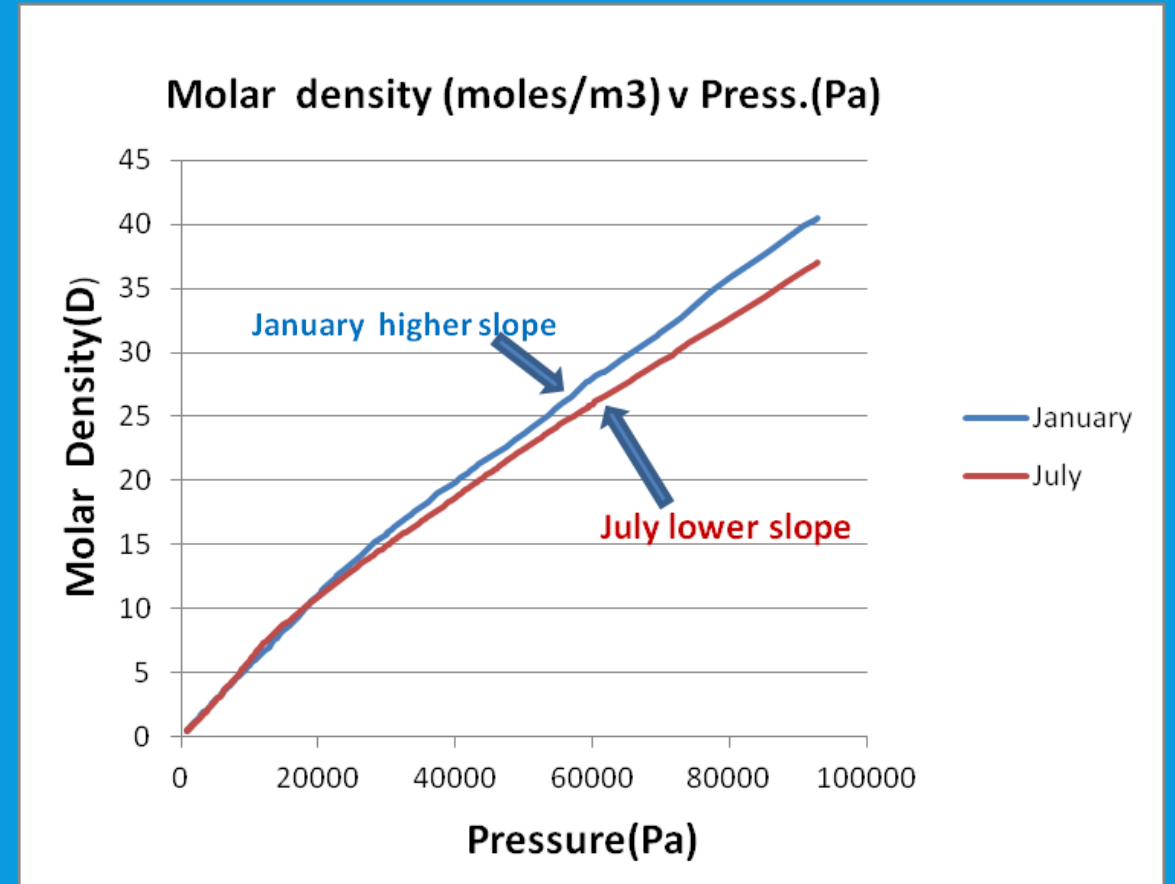
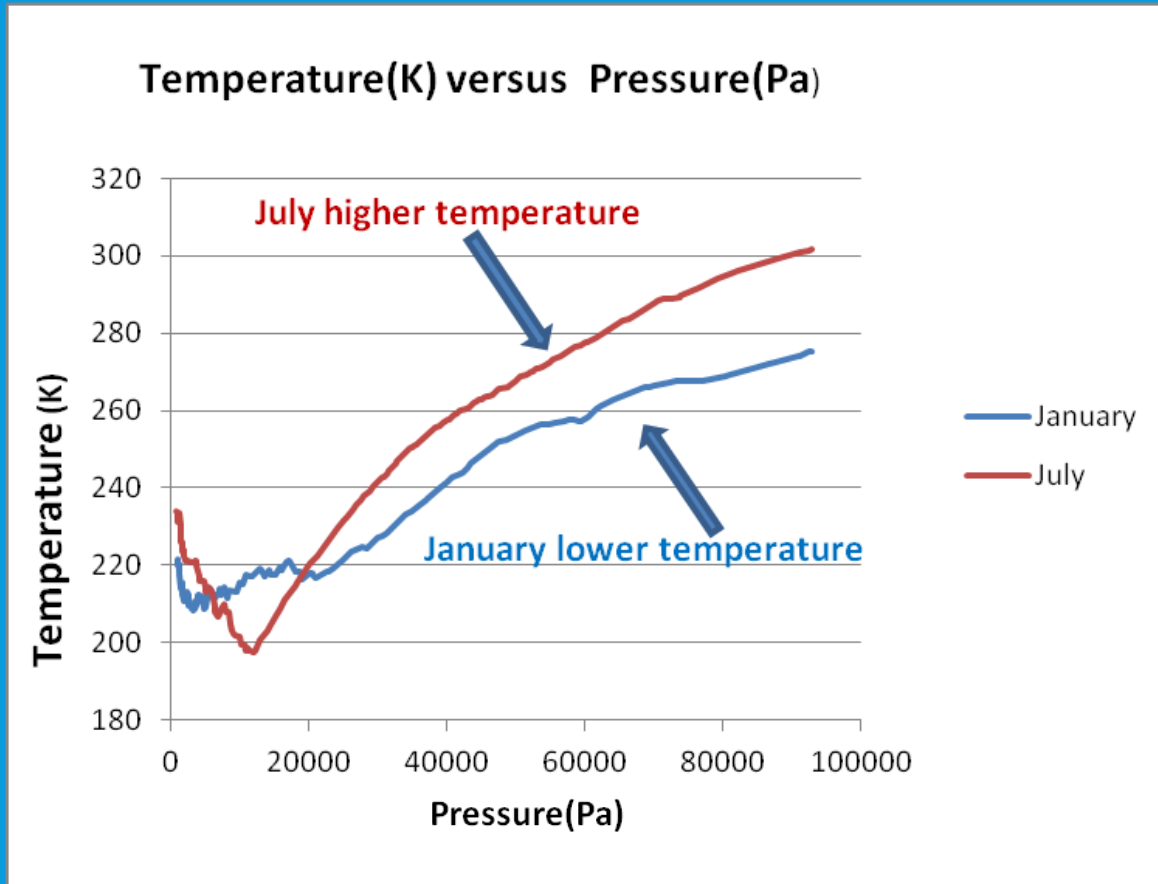
So why does the air in the Tropopause suddenly become easier to compress.

According to the ozone heating hypothesis it should be harder to compress



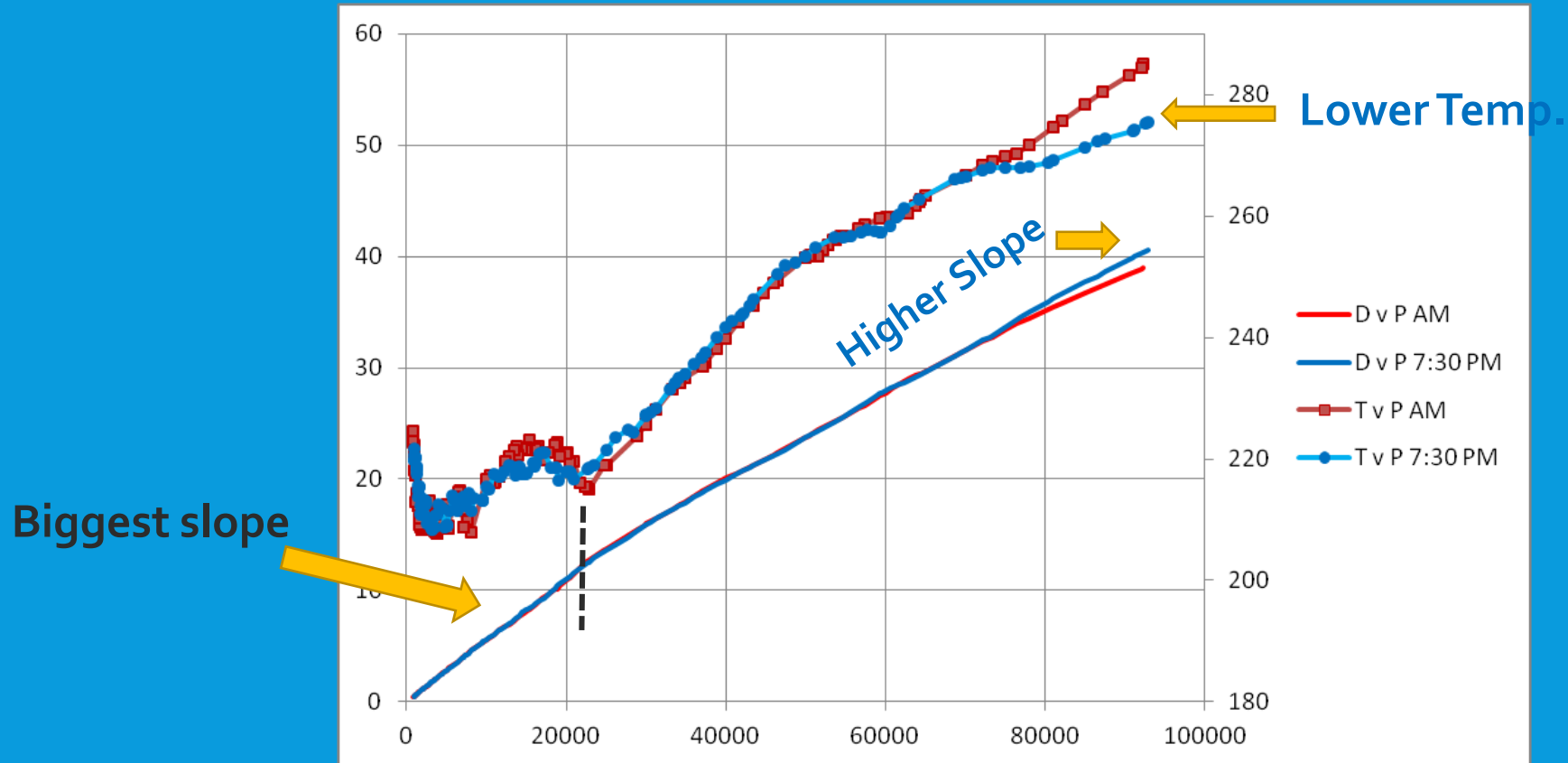


# Comparing Winter and Summer, (July 20<sup>th</sup> & January 1<sup>st</sup>) Radiosonde Temperature and Molar Density plots, for Tucson, AZ.

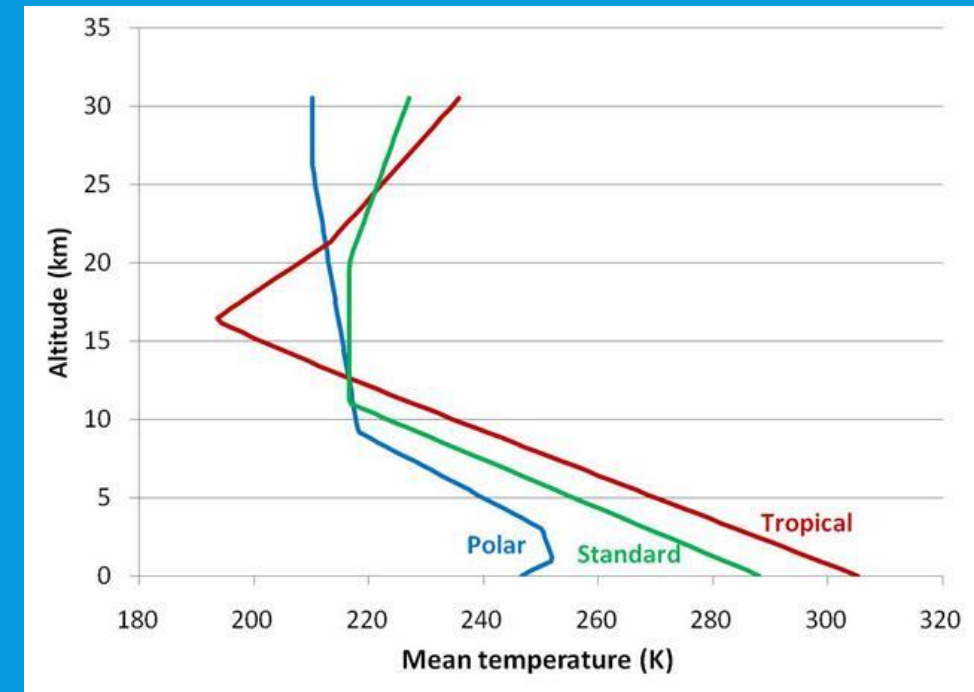


# COMPARING DAY AND NIGHT

Tucson, AZ 1<sup>st</sup> Jan 2019 7:40 am & 7:40 pm

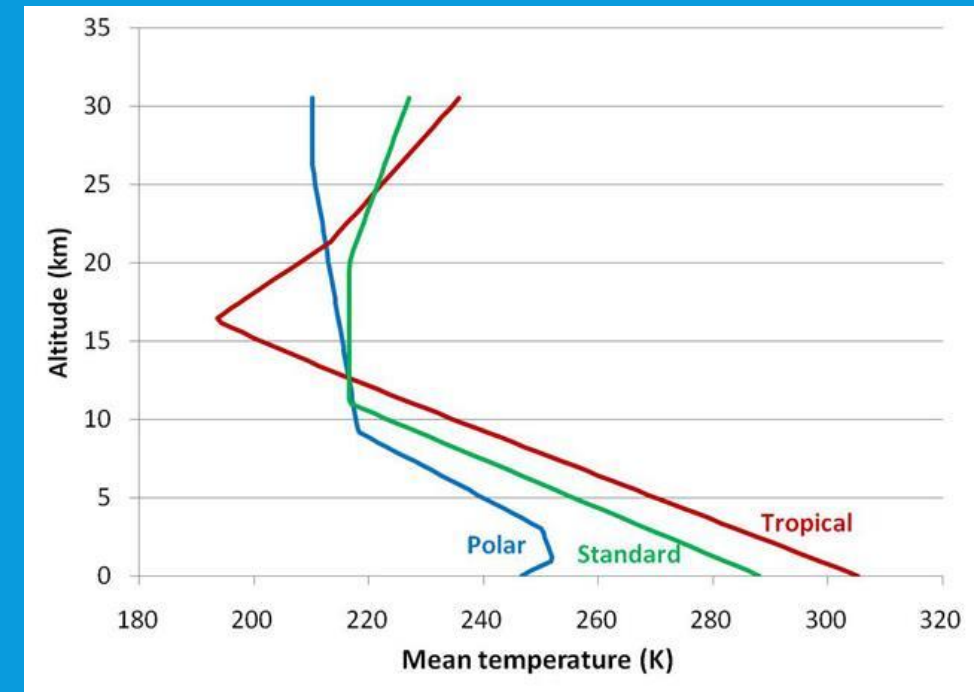


# PROBLEMS WITH THE OZONE HEATING EXPLANATION



# PROBLEMS WITH THE OZONE HEATING EXPLANATION

1. Why is there a tropopause in the polar winter?
2. Why is the polar winter Tropopause warmer than the tropical summer Tropopause?
3. In terms of molar densities, the Tropopause should be less compressible if it was due to ozone heating
4. The ozone layer occurs much higher than the phase change (i.e., at 40km instead of 10-15km)
5. Why does the “phase change” boundary change so quickly – within hours?
6. If the upper atmosphere is so “stratified” and poorly mixed, why are we able to fit the entire atmospheric temperature profiles so well with our 2-3 molar density vs. pressure linear fits?



# CONCLUSION

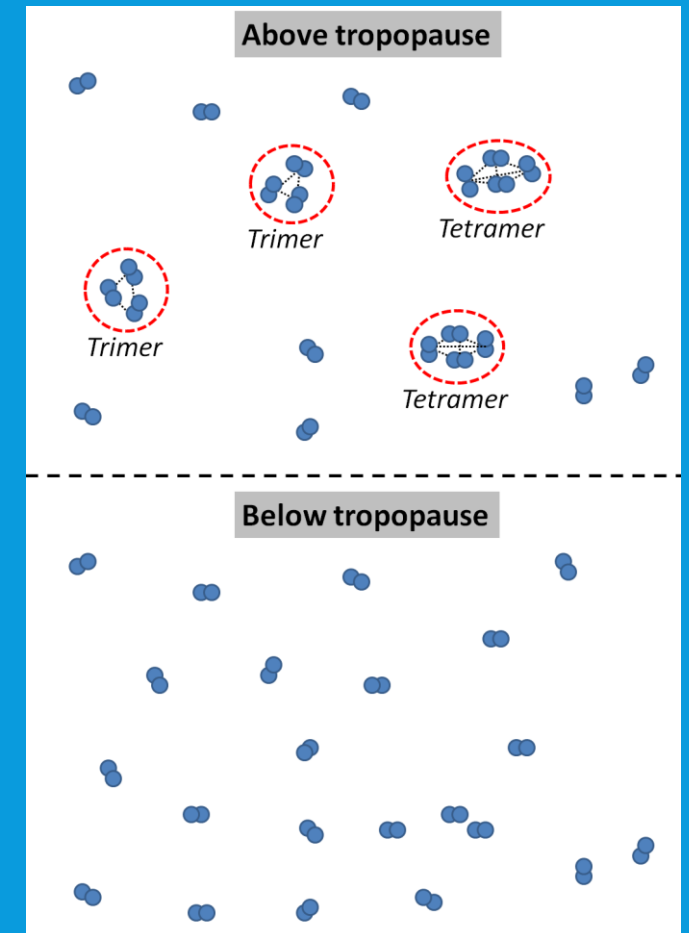
The ozone heating hypothesis fails to explain most of the facts associated with the tropopause/stratosphere.

Therefore it must be scrapped !

We need a new Hypothesis.

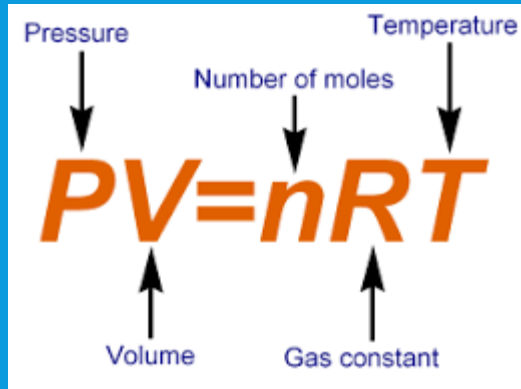
# OUR HYPOTHESIS... SO FAR

- If the air above the troposphere is more compressible, then this suggests a significant change in molecular composition or structure is occurring
- But, ratio of nitrogen, oxygen, argon, etc. seems to be fairly constant (from chemical sampling)
- **Not** related to water as absolute humidity is very low
- We propose that oxygen molecules combine together to form large multi-molecular "*van der Waals*" complexes, or "*multimers*"
- See Paper 2 for a more detailed discussion



# MOLAR DENSITY, D

(THE NUMBER OF MOLECULES MEASURED IN MOLES PER M<sup>3</sup>)



By Rearranging the equation of state we get

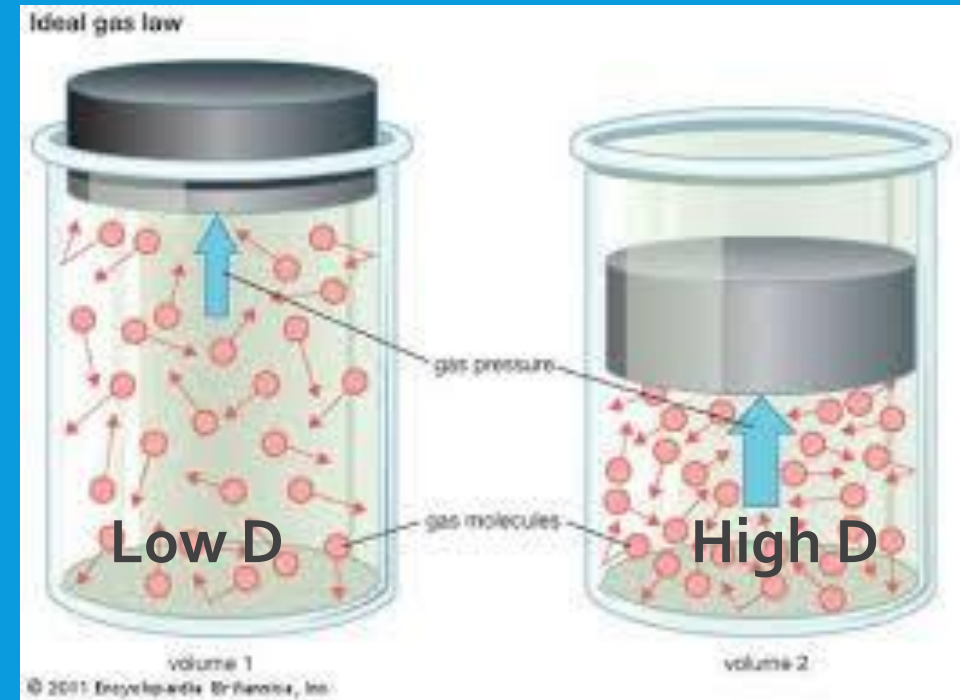
$$n/V = P/RT$$

Since the molar density (D) is given by

$$D = n/V$$

Then

$$D = P/RT$$



# Why do ice ages come to an end?

There are a number of Hypothesis to explain why ice ages end, but the one that weather balloon data allows us to test is the following one.

## **Hypothesis:**

Increases in greenhouse gases traps heat in the atmosphere, which causes global warming and helps to end the ice ages

We can use the weather balloon data and the laws of thermodynamics to test this hypothesis



# BACK TO THE IDEAL GAS LAW

( or the equation of state of an ideal gas)

$$PV = nRT$$

The ideal gas law is the thermodynamic equation of state for an ideal gas

What it really states is that in thermodynamic equilibrium the mechanical and thermal energy are balanced

$$PV = nRT$$

Mechanical or work energy

Thermal or heat energy

# CALCULATED TEMPERATURE PROFILES

We saw that if we know P & T we can calculate D

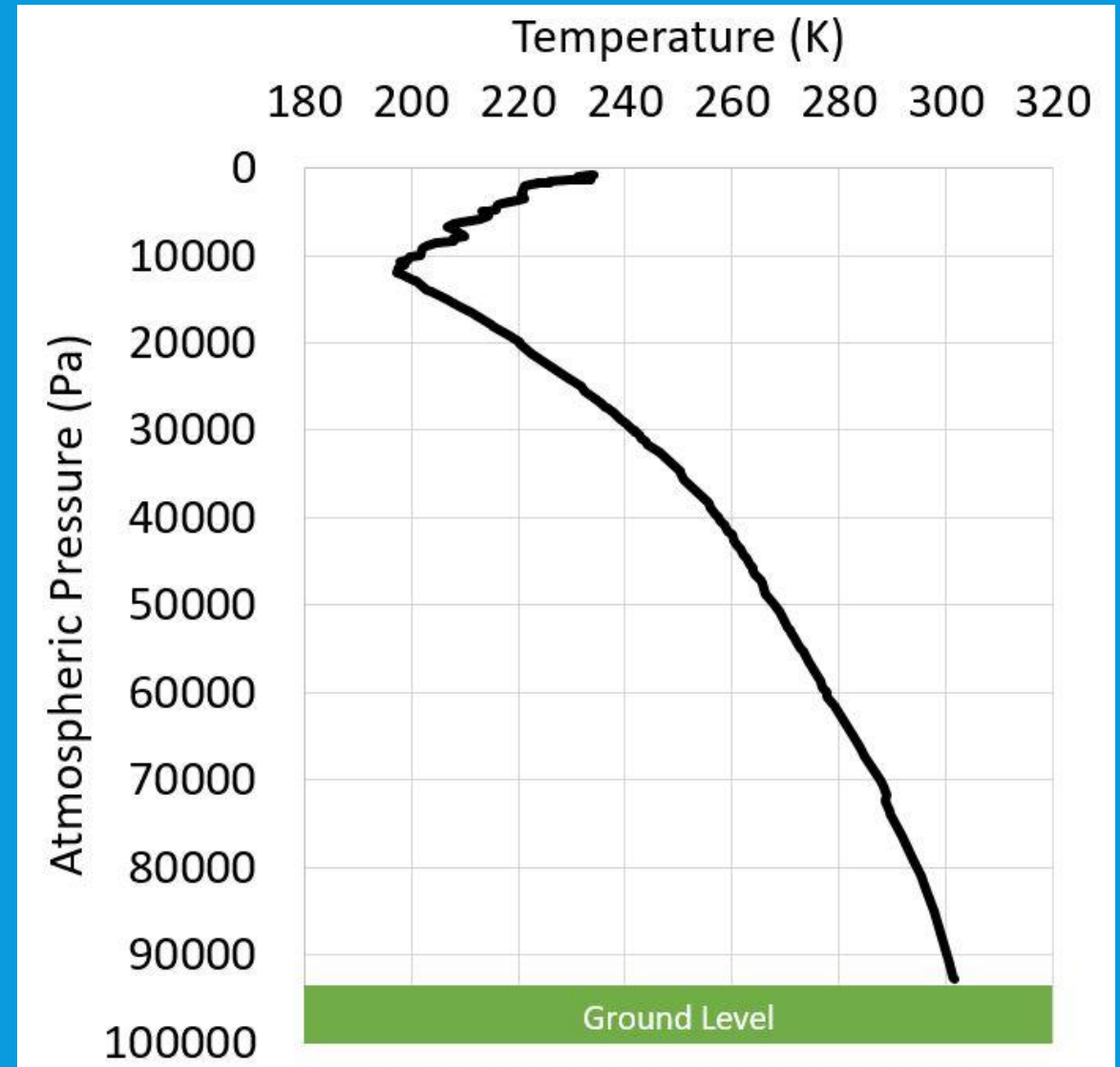
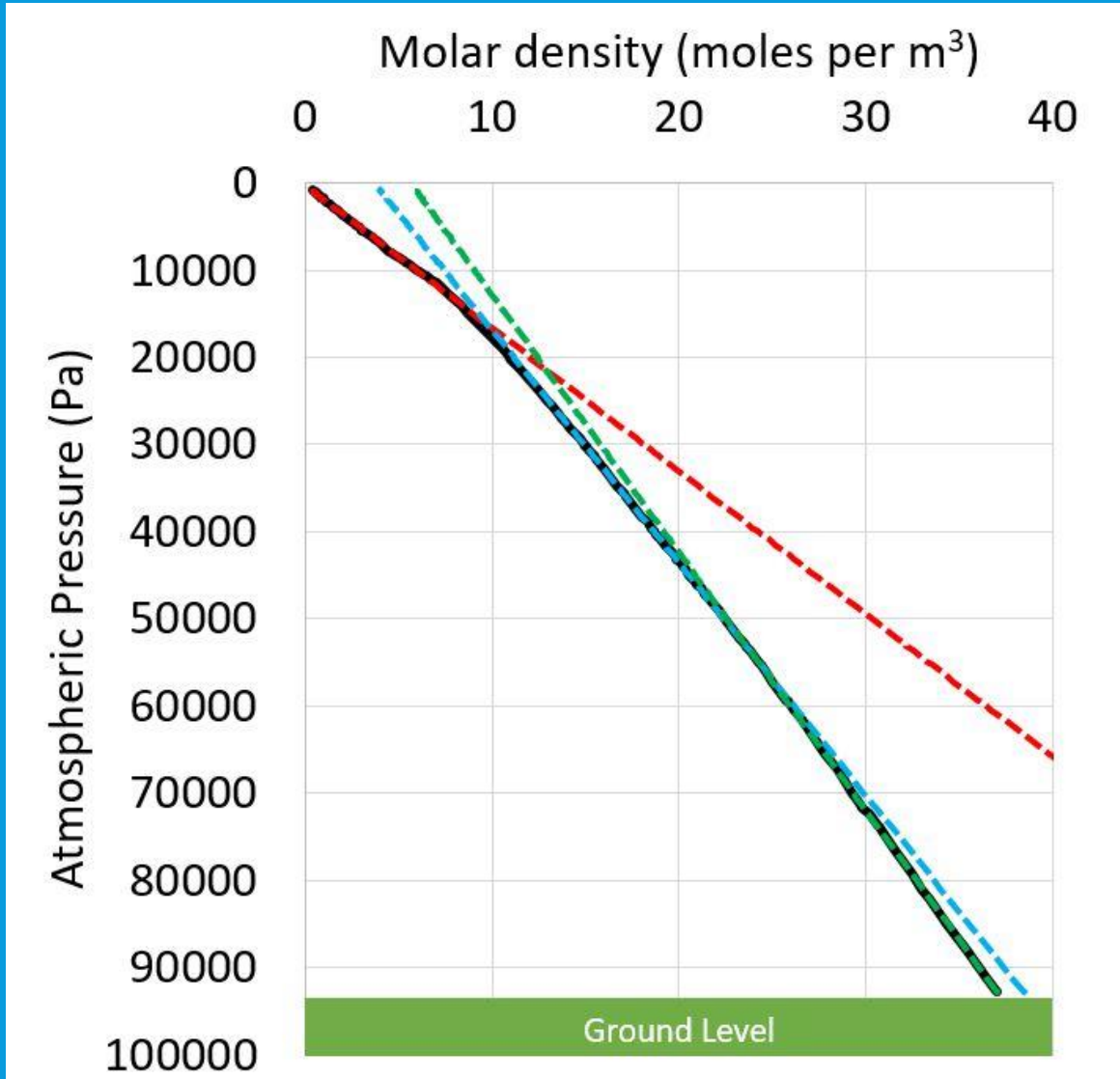
$$D = P/RT,$$

Equally if we know D and P we can calculate T

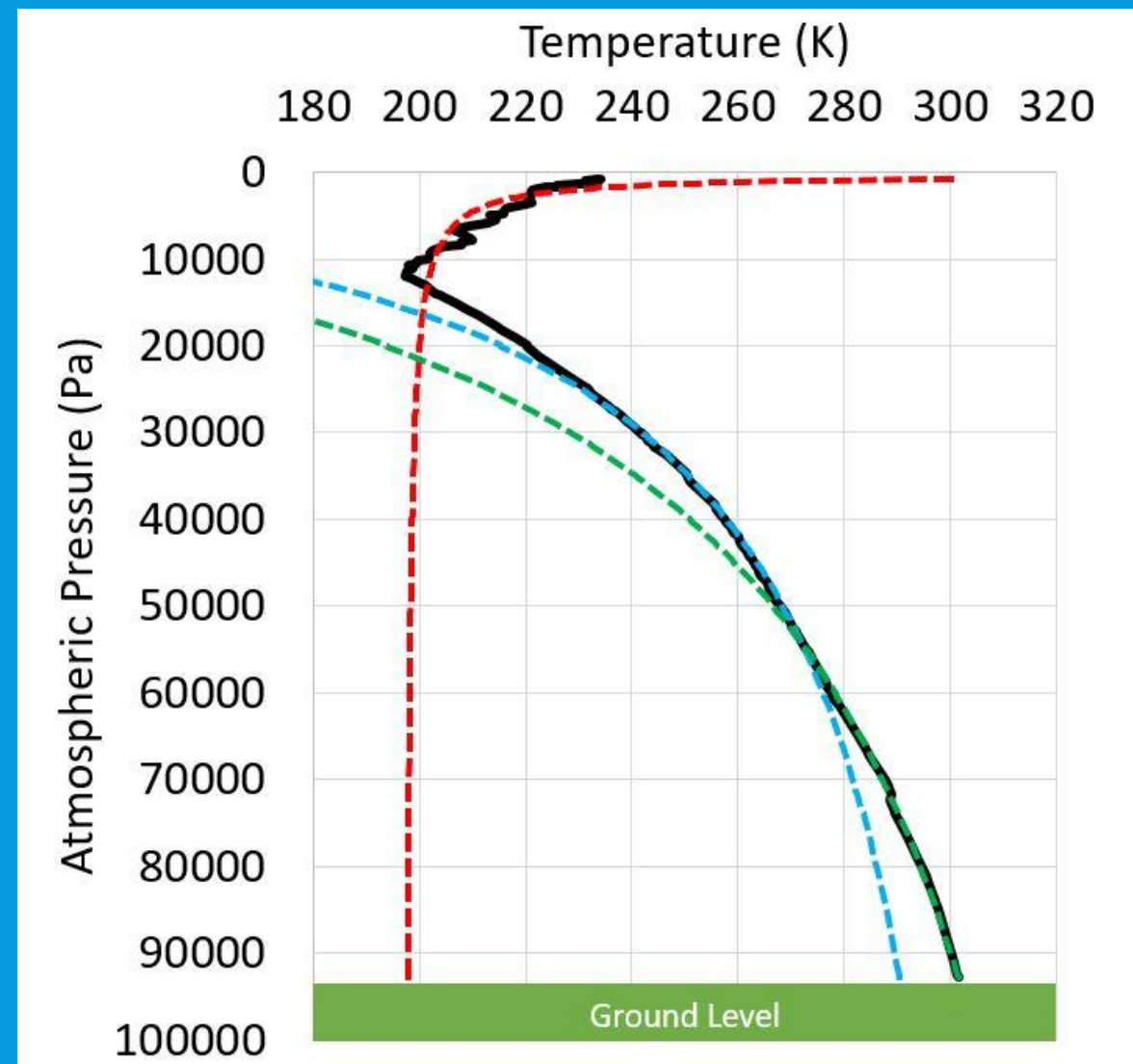
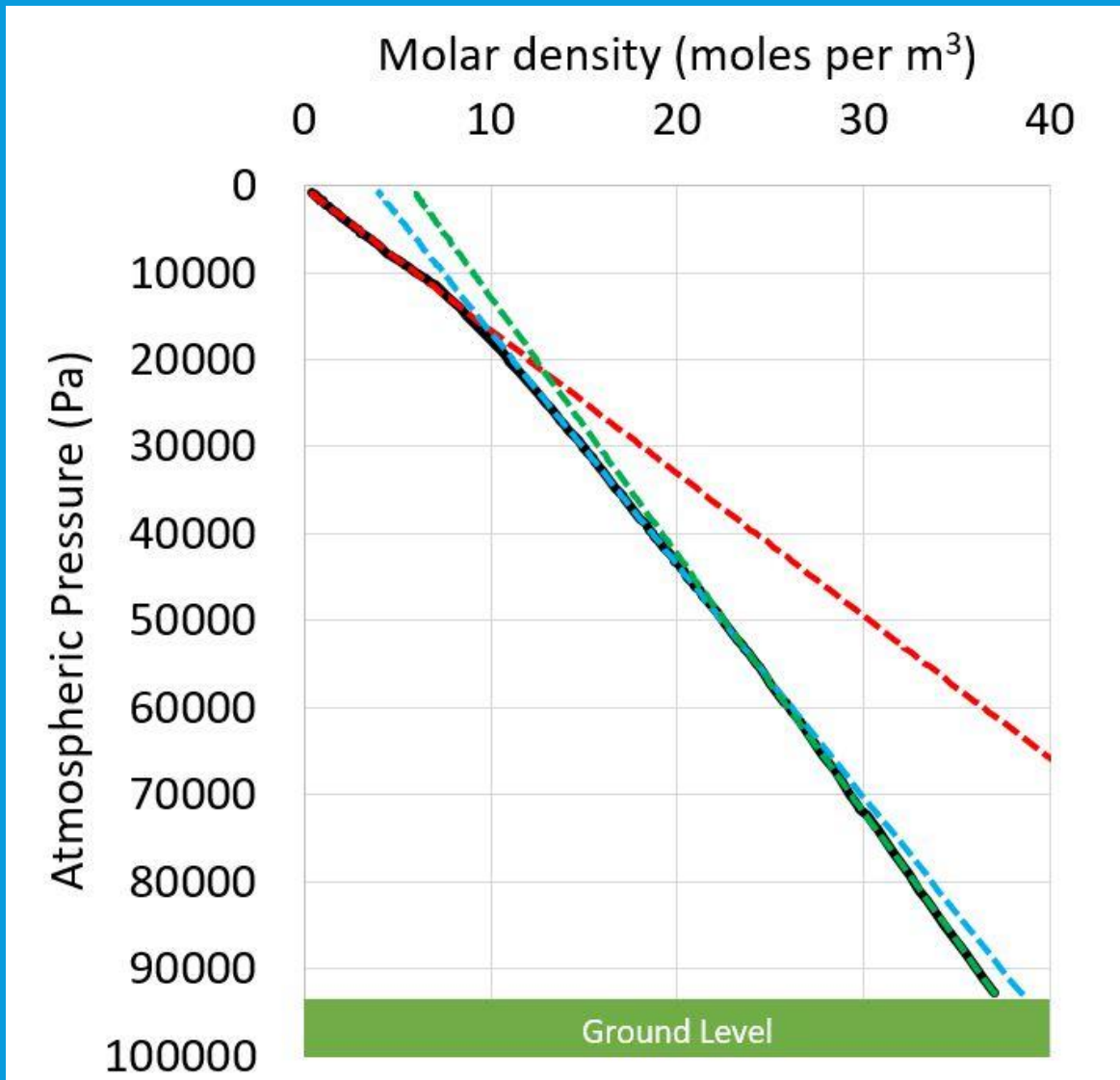
$$T = P/RD$$

Therefore, we can calculate the temperature profiles using the equations for the straight line we got from the Molar Density plots.

# Tucson, AZ ( July 20<sup>th</sup> 2018; 7:40 pm local time)

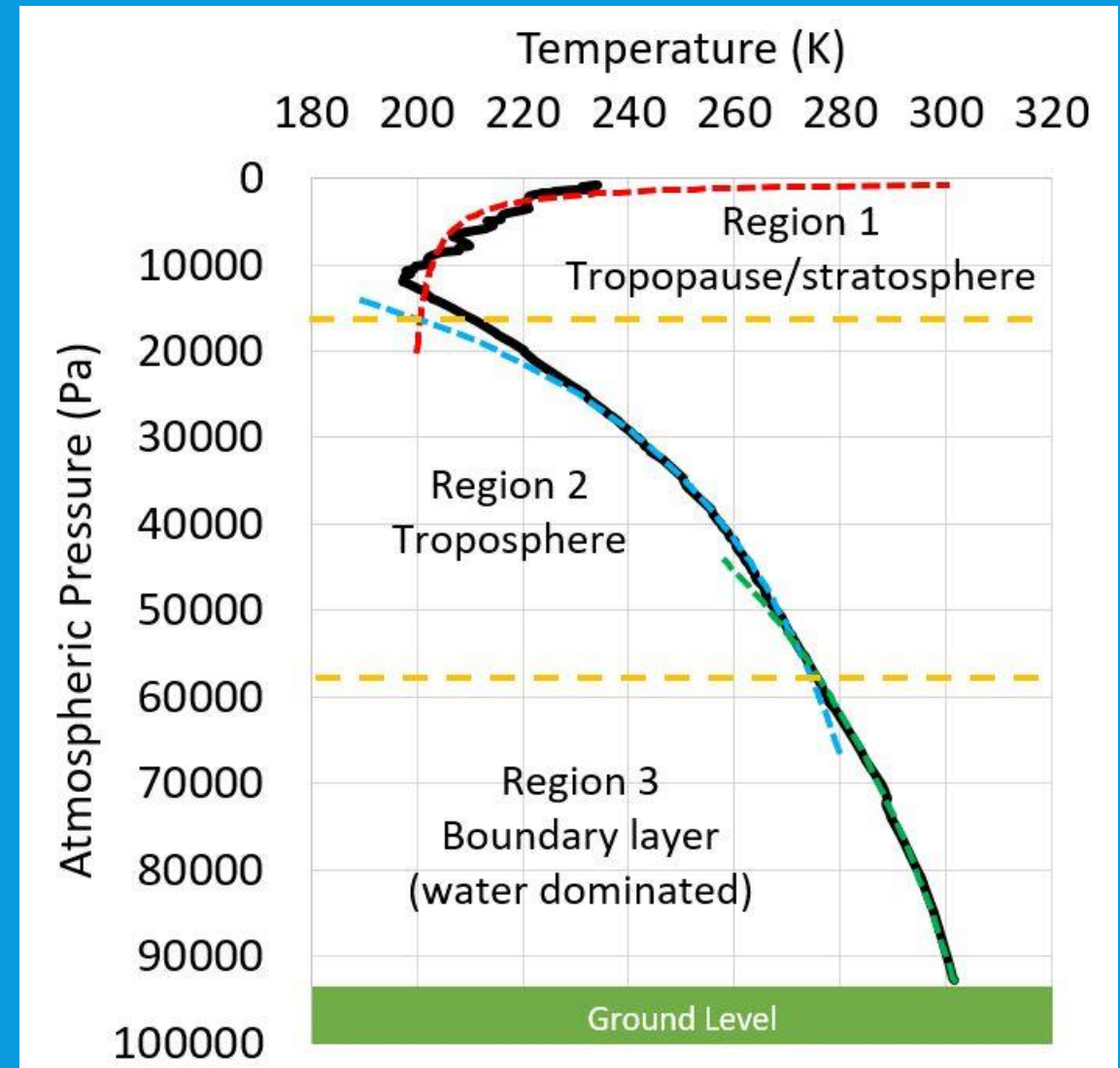
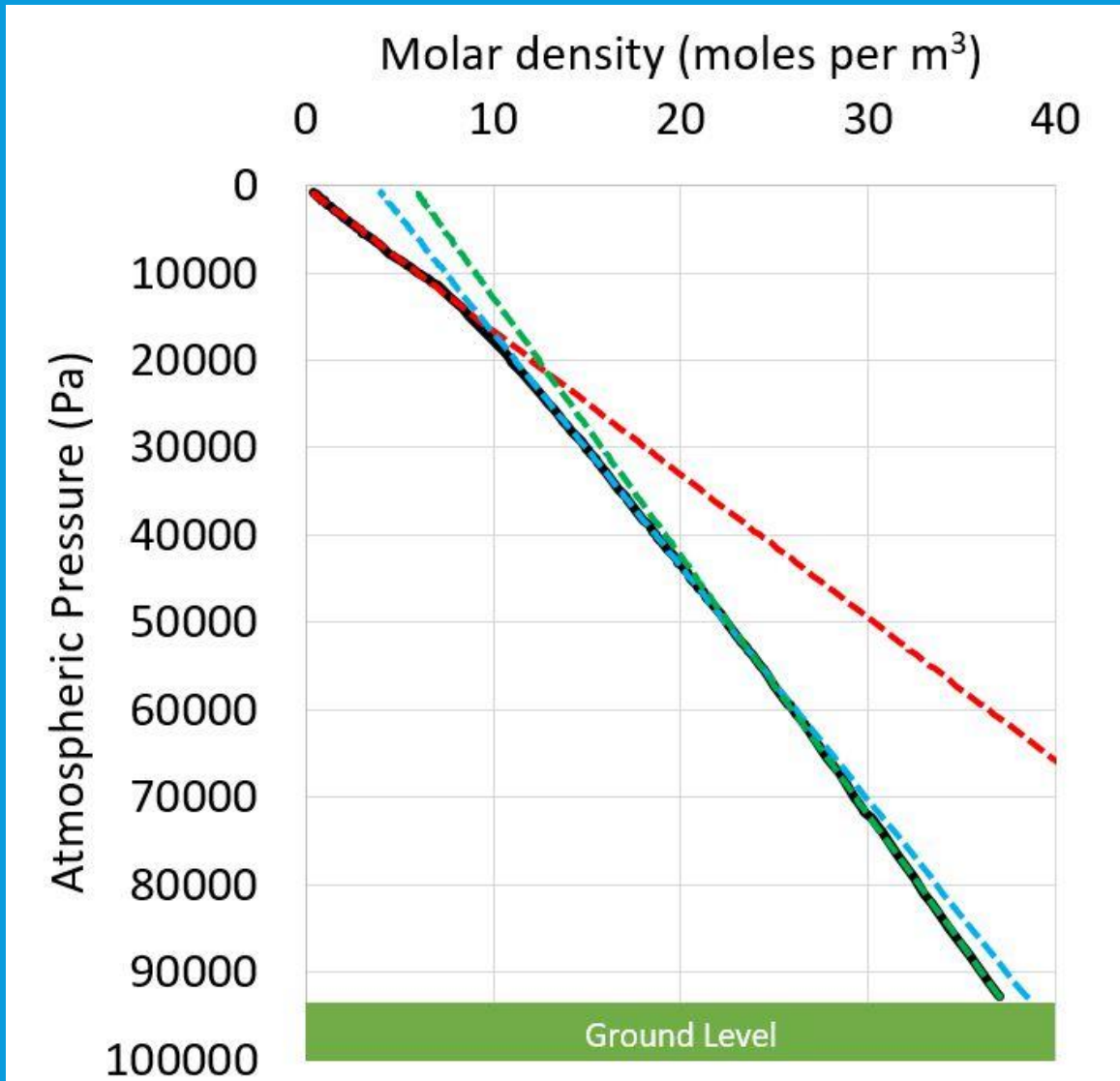


# Tucson, AZ ( July 20<sup>th</sup> 2018; 7:40 pm local time)



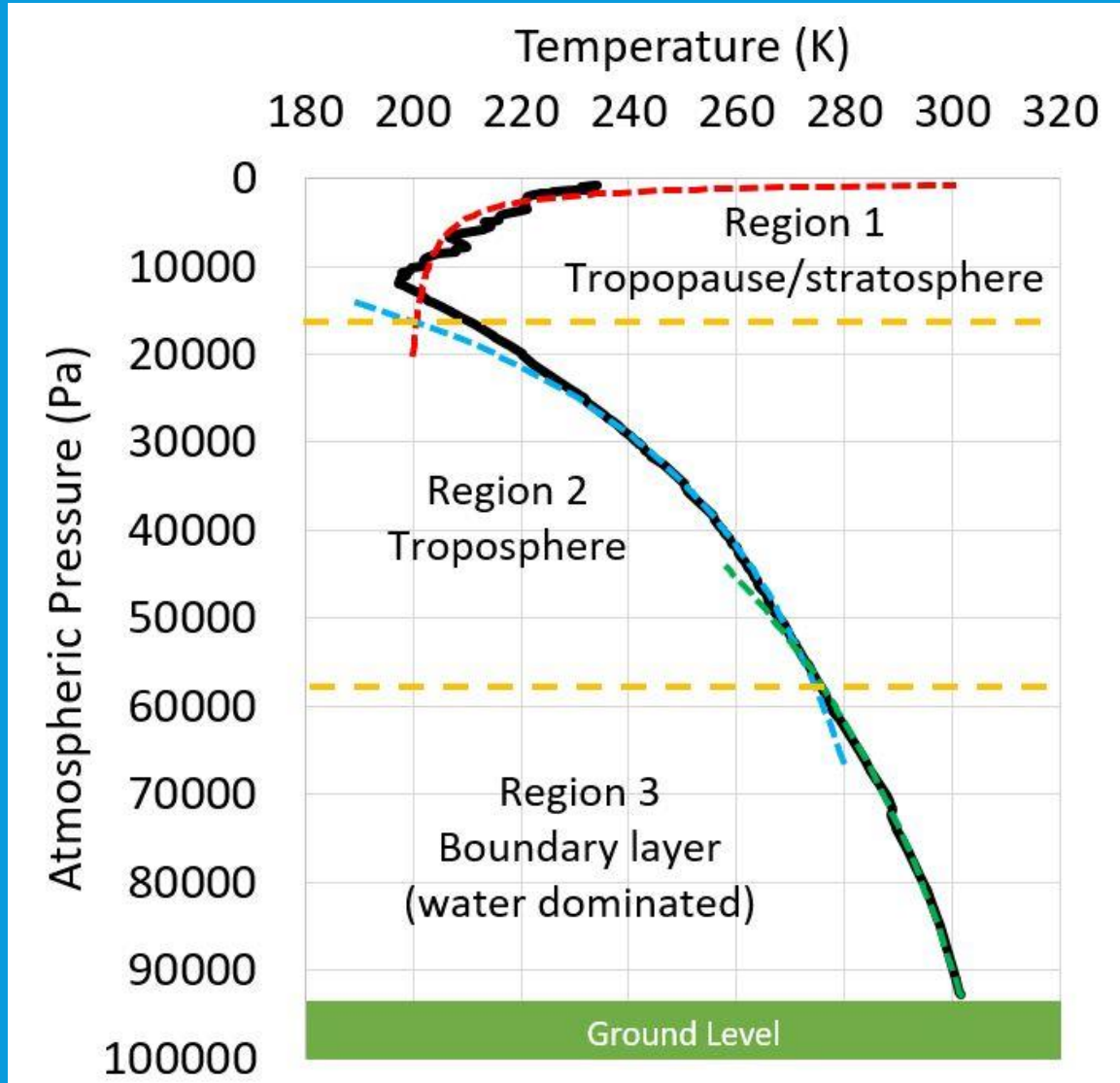
# Tucson, AZ ( July 20<sup>th</sup> 2018; 7:40 pm local time)

## Three equations of state model



# Tucson, AZ ( July 20<sup>th</sup> 2018; 7:40 pm local time)

## Three equations of state model

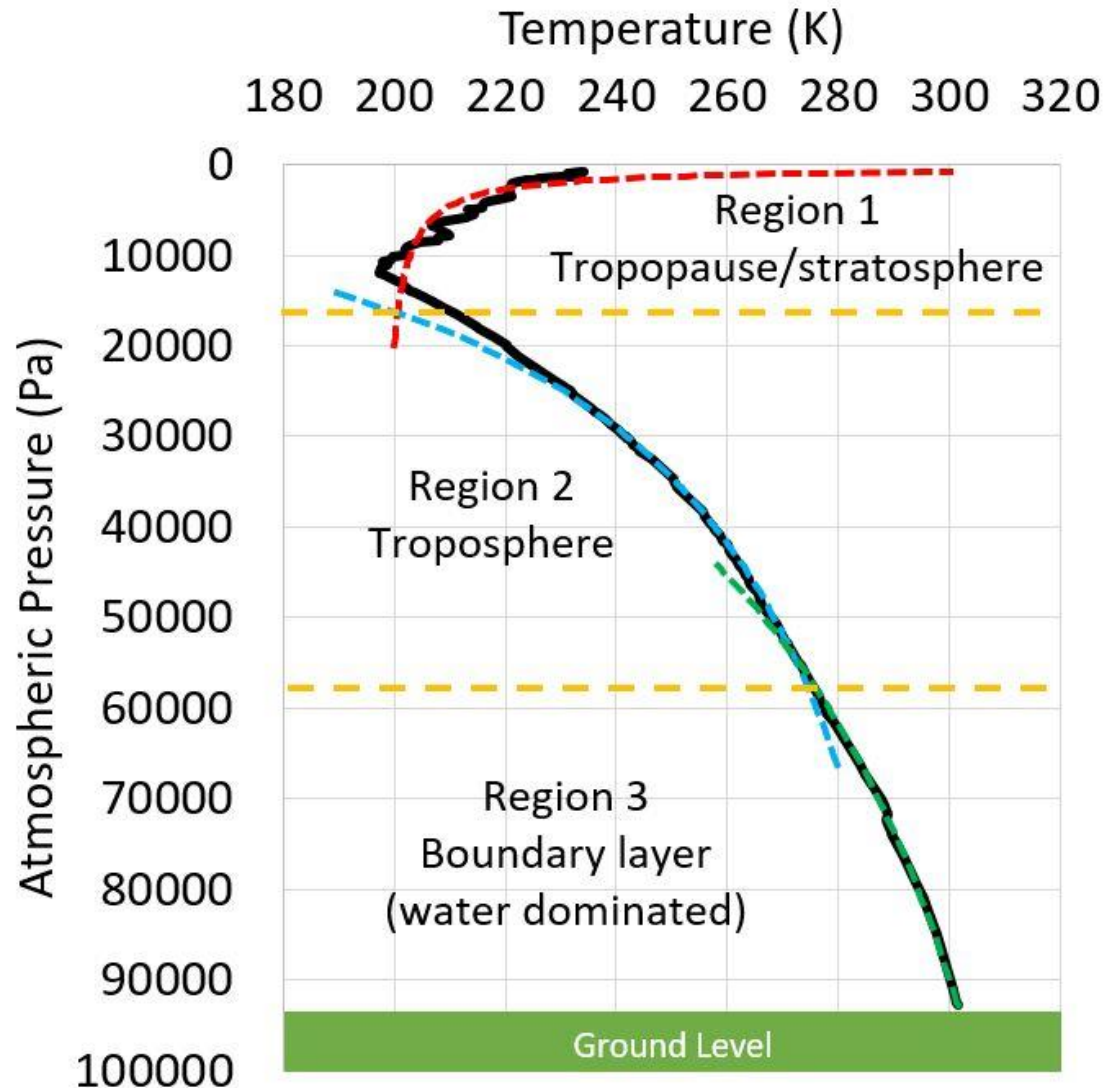


## Summary so far

- By plotting molar density against pressure, we identify three different regions with straight lines i.e. Each region has its own equation of state
- When we convert the slopes of these lines back into calculated  $T$ , we can explain the entire temperature profile very well
- Region 3 has water but the upper troposphere, tropopause and stratosphere are **dry** regions

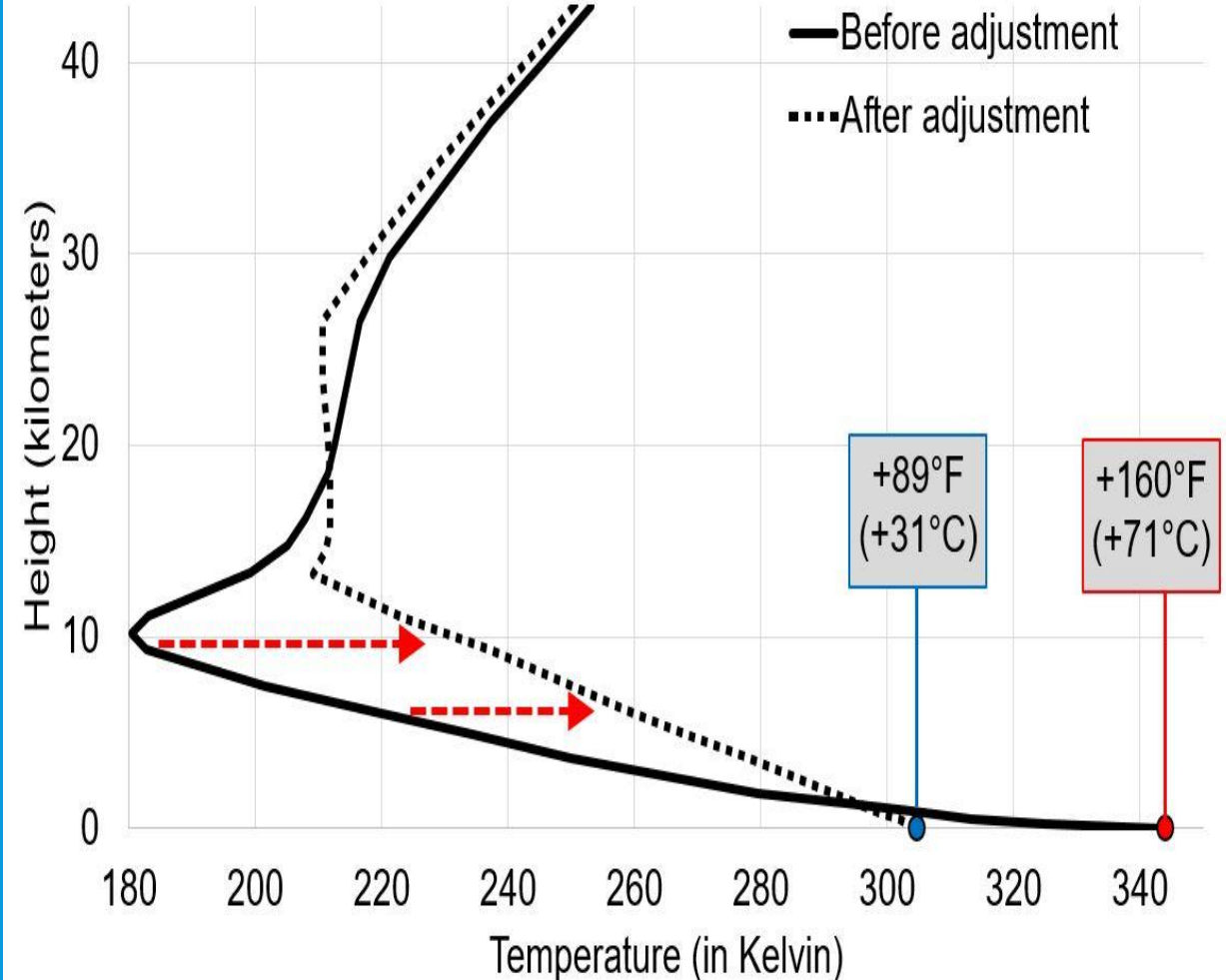
# Tucson, AZ (July 20<sup>th</sup> 2018; 7:40 pm local time)

## Three equations of state model



## Radiative Convective Model

Adapted from Manabe & Strickler, 1964 (Figure 4)



# THERMODYNAMICS

Thermodynamics describes the relationship between **thermal** energy and mechanical (“**dynamic**”) energy

19<sup>th</sup> century: Steam engines could transform thermal energy into mechanical energy



*Thermodynamics developed mainly from trying to understand and improve the efficiency of steam engines*



# THERMODYNAMICS

The first law of thermodynamics states that energy cannot be created or destroyed

However it can be changed from one form to another and can be transmitted from one location to another

The first law does not say any thing about how fast any of these processes can happen



# ENERGY TRANSMISSION IN AIR: THERMAL VS. MECHANICAL

## Thermal energy transmission

1. Thermal conduction (*energy is transmitted "through mass", very low for air*)
2. Thermal convection (*energy is transported by "mass flow"*):
  - i. Sensible heat (we can "sense" the temperature changes when hot air blows)
  - ii. Latent heat (e.g., water changes from liquid to gas)
3. Radiation (*energy is transmitted by the emission and absorption of light*)

## Mechanical energy transmission

1. Kinetic convection, e.g., "wind" (*energy is transported by "mass flow"*)
2. Acoustic energy transmission (*very little*)

"Frictional loss" = conversion of mechanical energy into thermal energy

# IS THERE ANYTHING MISSING?

- We saw that something funny is happening in terms of compressibility
- Until now, climate models have focused on thermal energy transmissions – particularly radiation
- Some mechanical energy transmission is implicit in the primitive equations
- But, is there anything missing?
- Yes... watch this experiment...

# SUMMARY OF “PERVECTION” EXPERIMENT

## Maximum rates of energy transmission by the known mechanisms:

- Conduction =  $0.00015 \text{ Watts/m}^2$
- Kinetic convection =  $0.0000075 \text{ Watts/m}^2$
- Enthalpic convection =  $0.14 \text{ Watts/m}^2$
- Radiation =  $0.29 \text{ Watts/m}^2$
- Acoustic transmission =  $1.37 \text{ Watts/m}^2$

**Observed rate of energy transmission =  $2400 \pm 80 \text{ Watts/m}^2$**

In this particular controlled experiment, the energy was not transmitted by conduction, convection, radiation or acoustic. Therefore, there is some other key energy transmission mechanism available for air

# “THROUGH MASS” MECHANICAL ENERGY TRANSMISSION (OR “PERVECTION”)

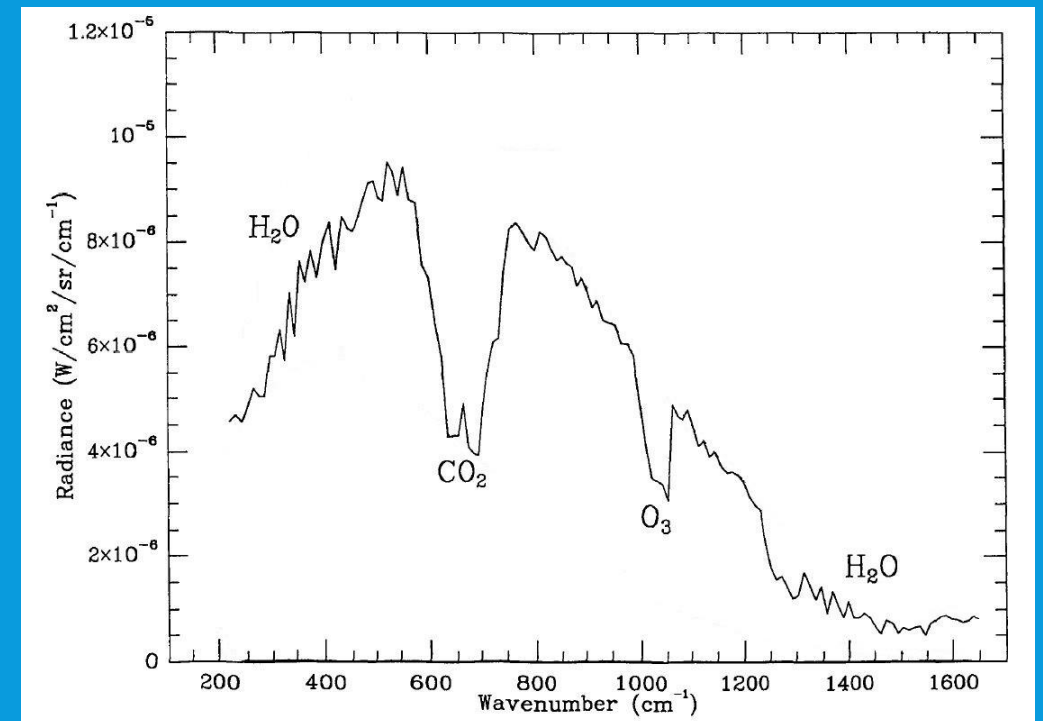


- In a “Newton’s Cradle”, the mechanical energy is transmitted from one side to the other
- But, the balls haven’t moved. The energy is transmitted “through” the mass (“per-”)
- The same happens with conduction, except conduction involves transmission of thermal energy
- With convection, the energy is transported **with the** mass
- This is different. It is “through-mass” mechanical energy transmission
  
- “con-” = with; “-vection” = carried; “per-” = through

# YES, CO<sub>2</sub> IS AN INFRARED-ACTIVE GAS

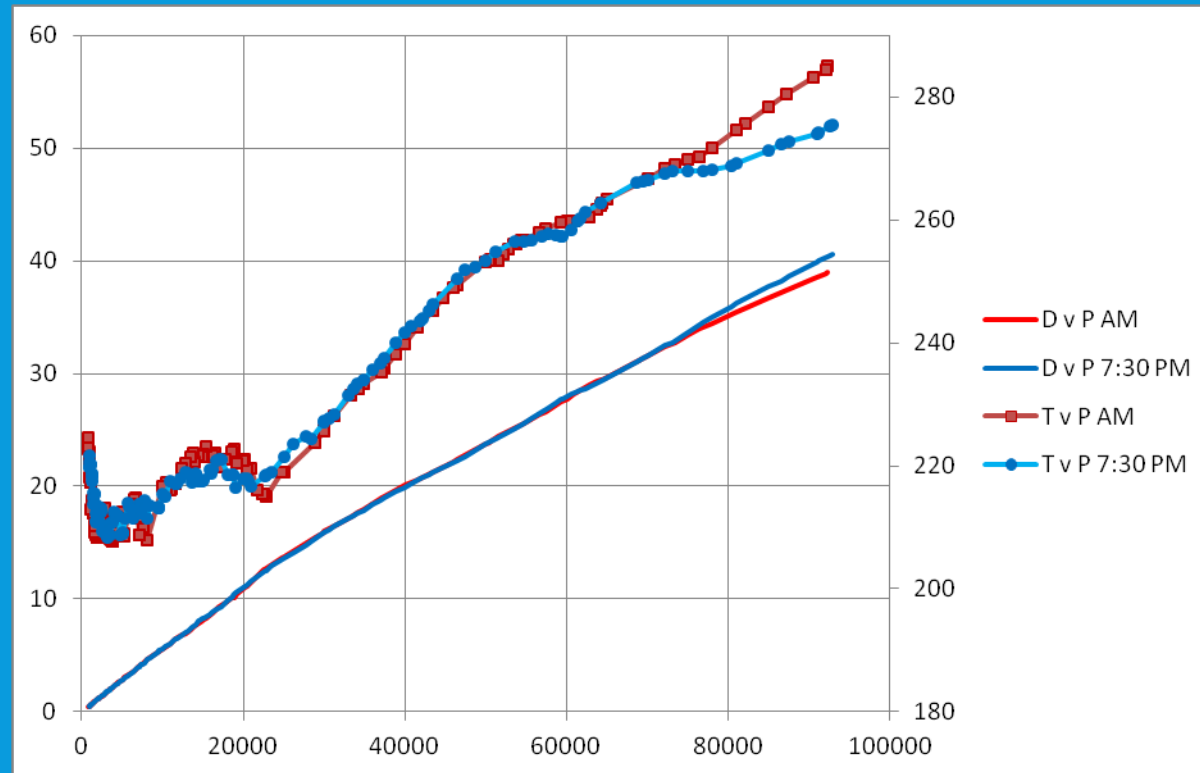
- H<sub>2</sub>O, CO<sub>2</sub>, and other “greenhouse gases” are indeed infrared-active (Tyndall, 1861)
- This means they absorb **and** emit at fixed wavelengths/frequencies (Einstein, 1916)
- CO<sub>2</sub> can absorb and emit at 666 cm<sup>-1</sup> and we see these CO<sub>2</sub> peaks in the atmosphere
- Does this alter the atmospheric temperature profile?
  - According to the Global Climate Models, yes!
  - According to the weather balloon data, no!

Spectra of Earth's atmosphere as recorded by the Mars probe after leaving Earth (1997)



# COMPARING DAY AND NIGHT

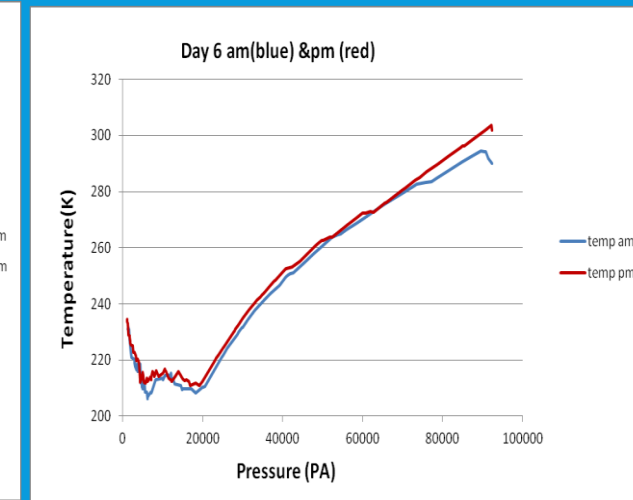
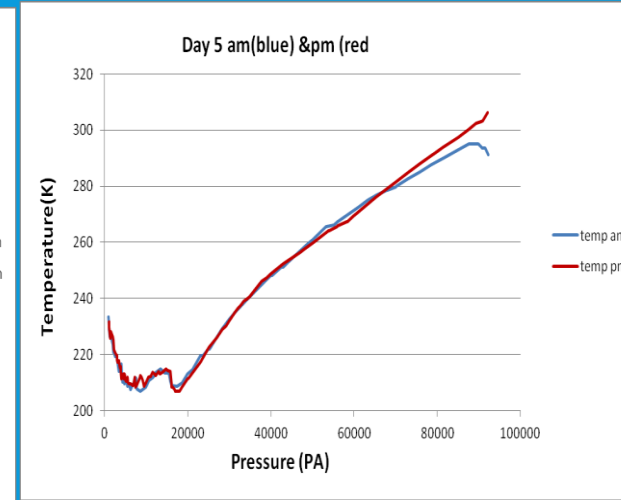
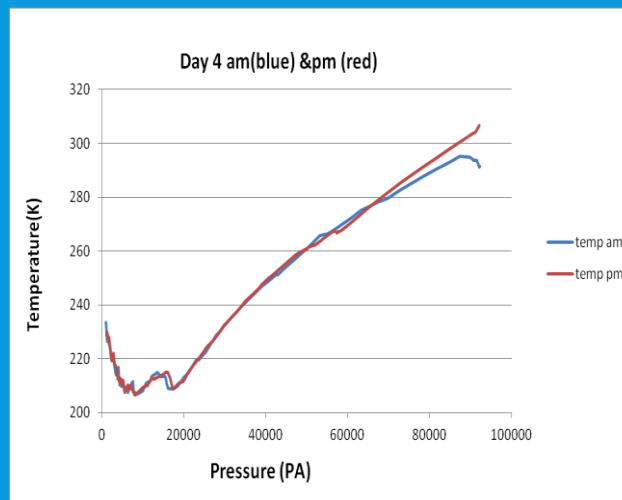
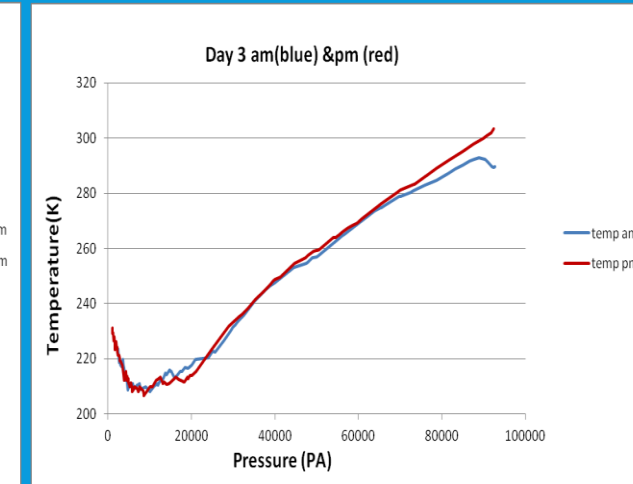
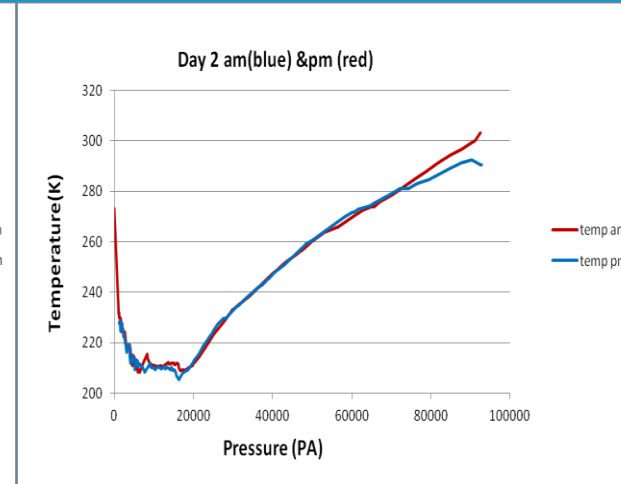
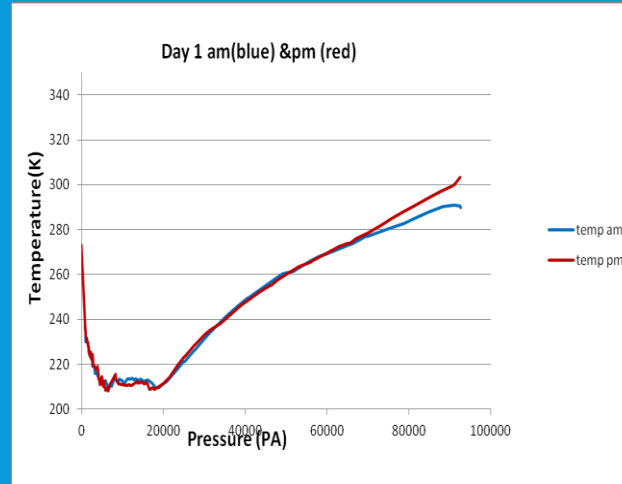
Tucson, AZ 1<sup>st</sup> Jan 2019 7.40 am & 7:40 pm



# COMPARING DAY AND NIGHT

## Daily temperature plots for the first week in May

- During the day the sun heats the boundary layer
- Need a separate equation of state for this layer
- During the night energy is dissipated
- You no longer need a separate equation of state for the boundary layer





# COMPARING DAY AND NIGHT

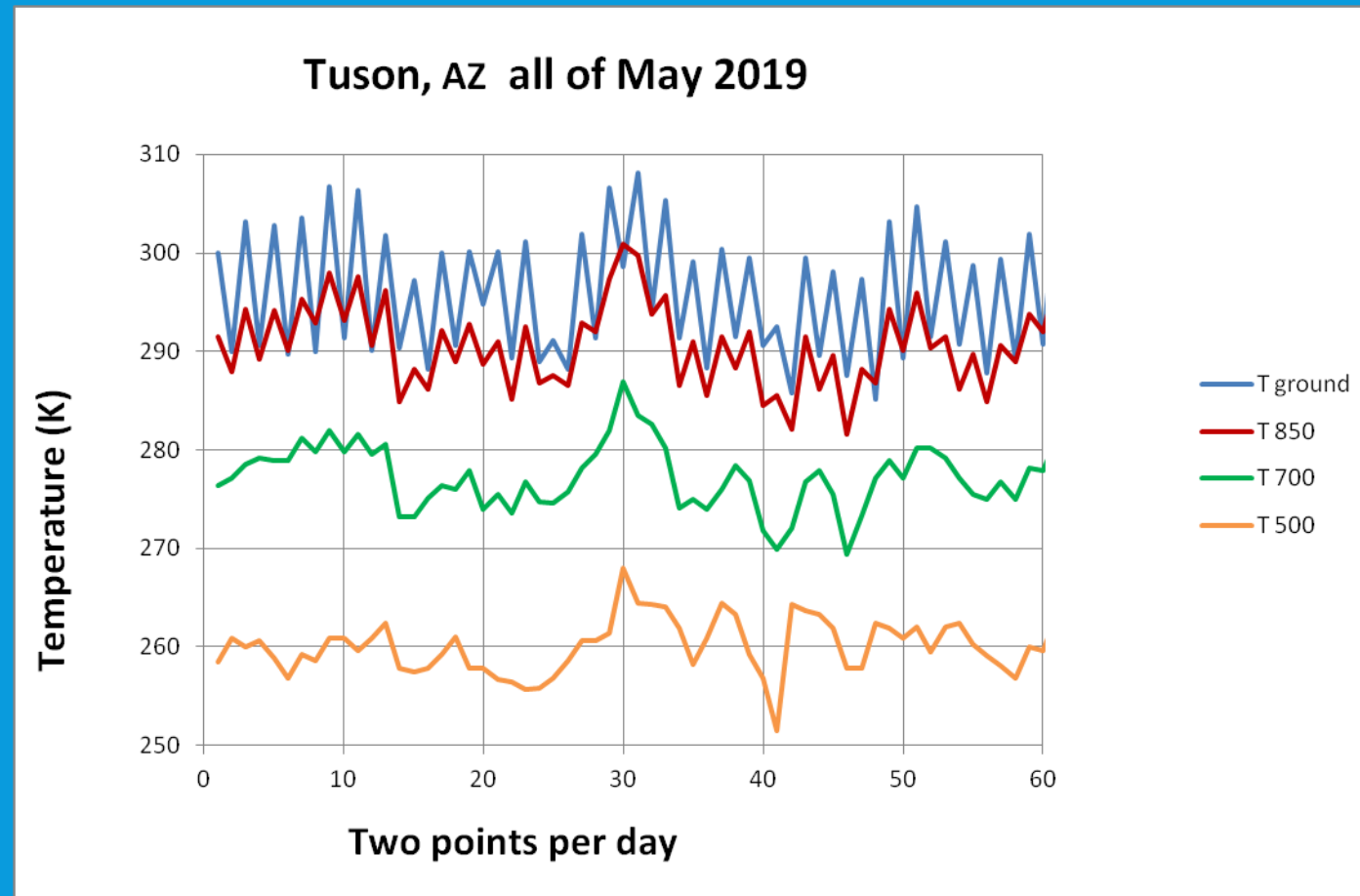
Temperatures are taken twice per day at 7:40am & 7:40pm

At ground level the difference between 7:40am & 7:40pm is 8K to 15K

At the 850 hPa pressure the difference is down to 3K to 5K

At the 700 hPa pressure the difference is down to about 1K

At 500hPa there is no am-pm pattern

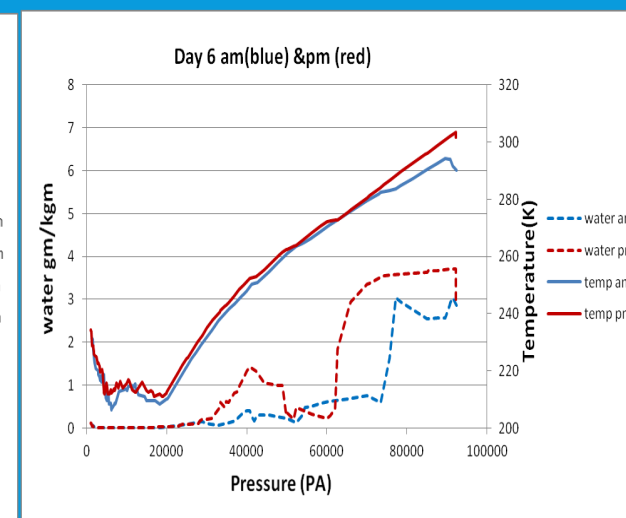
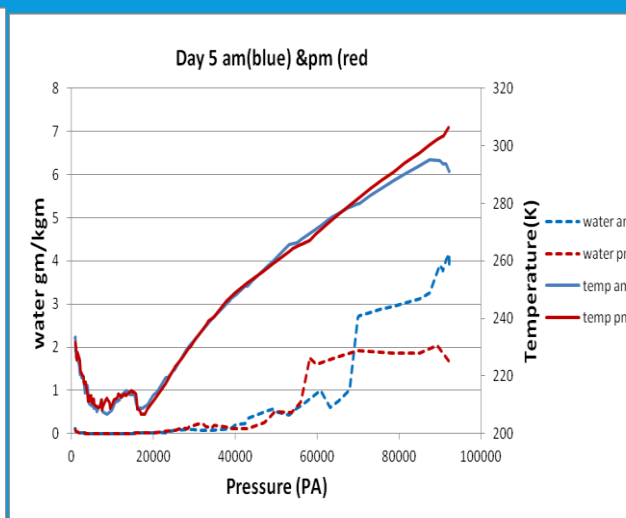
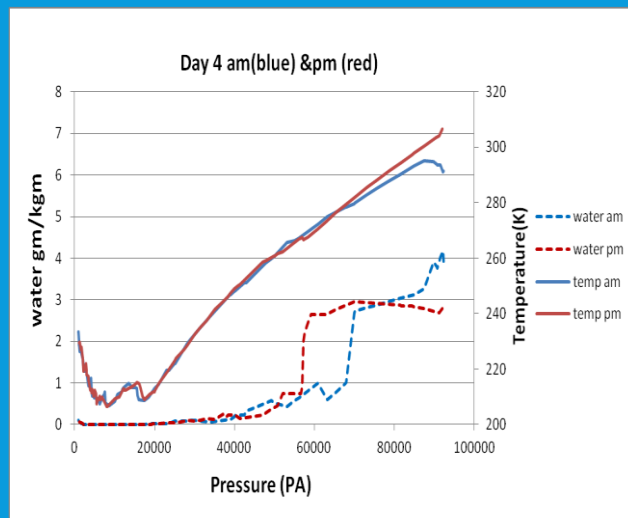
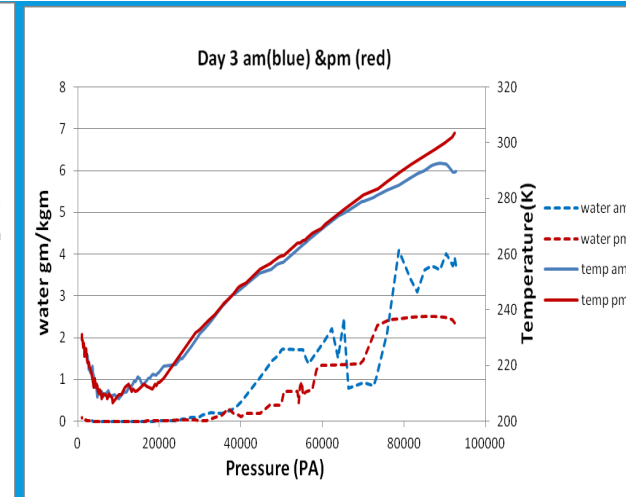
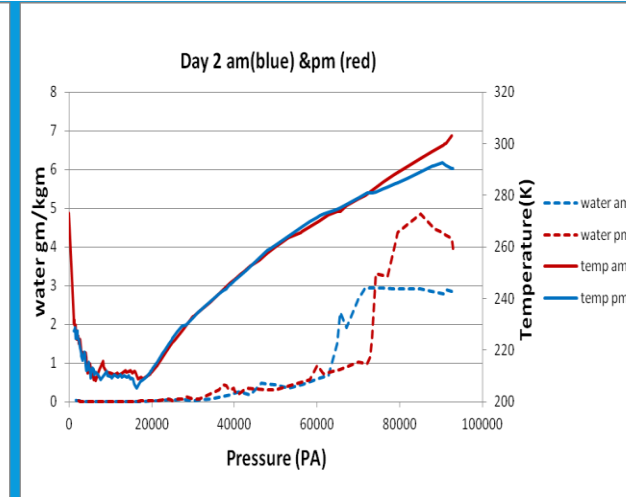
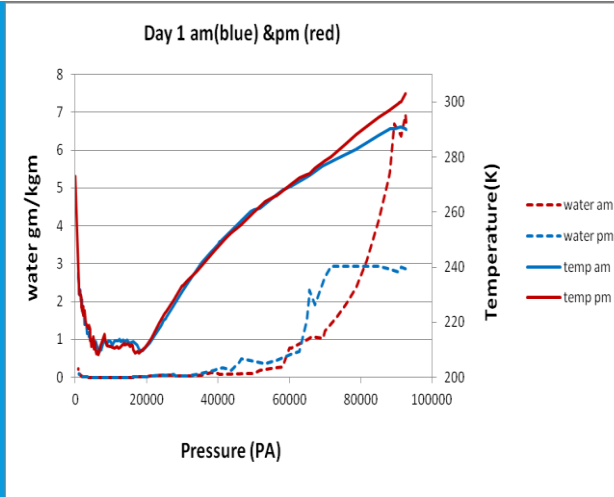


# COMPARING DAY AND NIGHT

## Daily temperature and water plots for a week in May

Water in the mid-troposphere **cools** the mid-troposphere at **night** (image day 3)

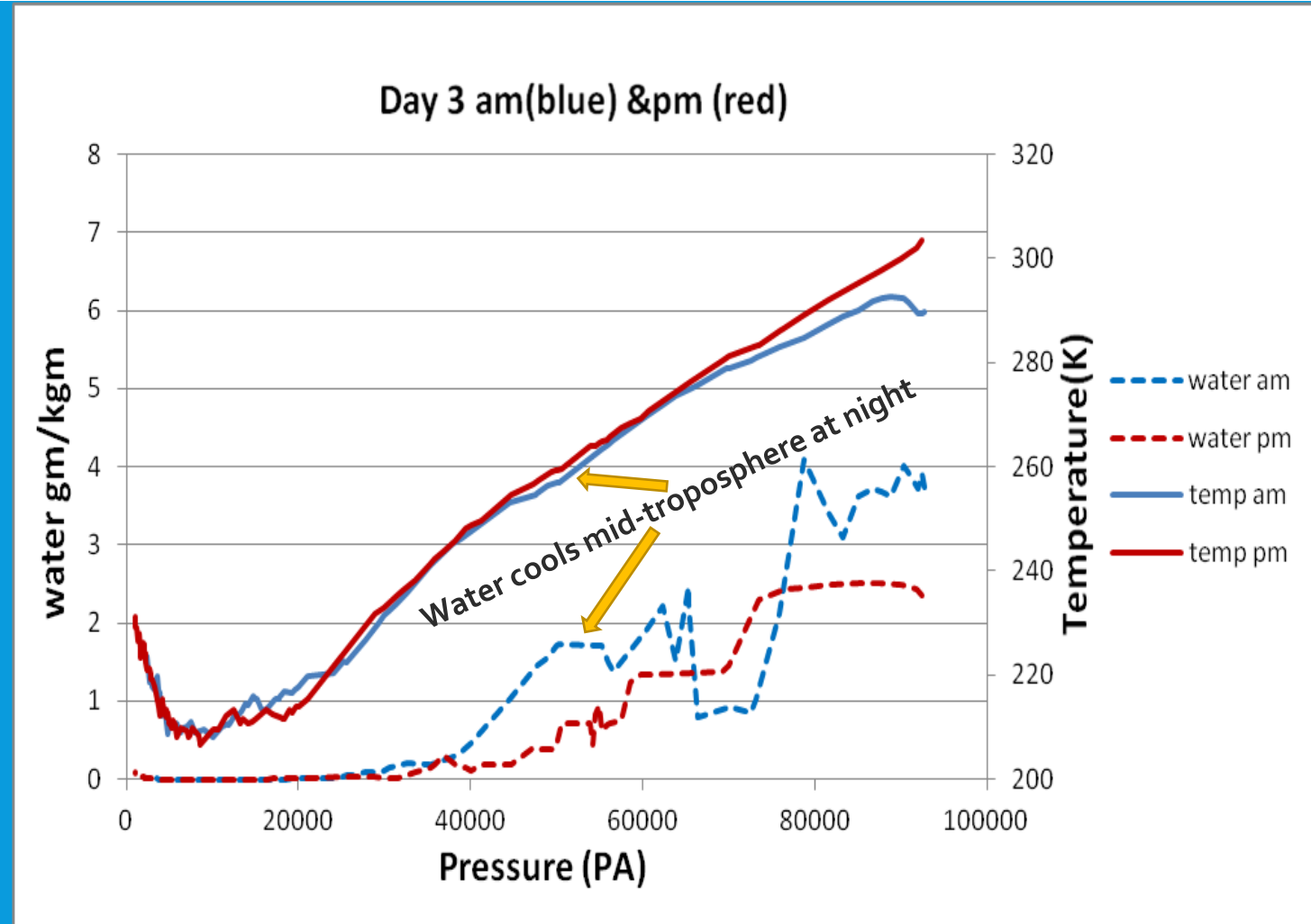
Water in the mid-troposphere **heats** the mid-troposphere during the **day**(image day 6)



# COMPARING DAY AND NIGHT

Daily temperature and water plots for a week in May

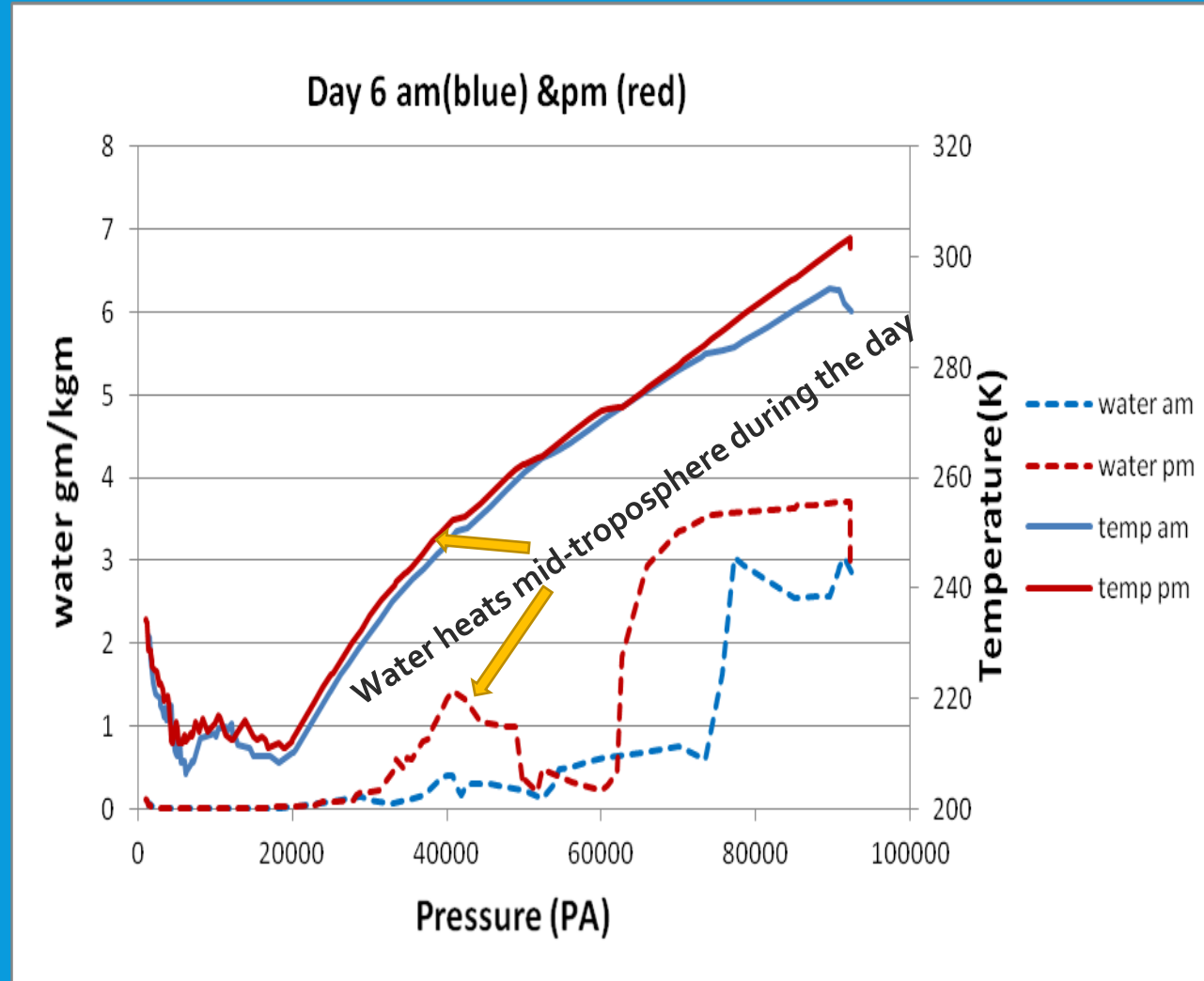
Water in the mid-troposphere **cools** the mid-troposphere at **night** (image day 3)



# COMPARING DAY AND NIGHT

Daily temperature and water plots for a week in May

Water in the mid-troposphere heats the mid-troposphere during the day (image day 6)



# IMPLICATIONS OF OUR 2014 ANALYSIS

We agree that :

- CO<sub>2</sub> is an infrared-active gas (“greenhouse gas”)
- Radiation is an important mechanism for energy transmission in the atmosphere
- Arrhenius, Elsasser, Manabe, Plass, Hansen and others **assumed** this meant that the atmospheric temperature profiles were determined mainly by CO<sub>2</sub> and other infrared-active gases
- But, the data shows that they were **wrong!**

# WHAT IS WRONG WITH THE CO<sub>2</sub> HYPOTHESIS?

1. Neglected the possibility of “through-mass” mechanical energy transmission
2. Cannot explain the troposphere/tropopause phase change in molar density behaviour
3. It was mostly based on extrapolating ground-based measurements
4. It was an interesting hypothesis that might have been valid... The modellers were correct to consider it. But the problem was that it never was tested against the data until now.

Hypotheses should be rigorously tested against the data before we treat them as valid theories

# STRUCTURE OF THIS TALK



1. History of atmospheric measurements



2. Summary of our early findings (2010-2014)



3. Our latest findings (a sneak preview)

# 3. OUR LATEST FINDINGS (A SNEAK PREVIEW)

The following results are adapted from the results of some new papers that we are preparing to submit for peer review shortly



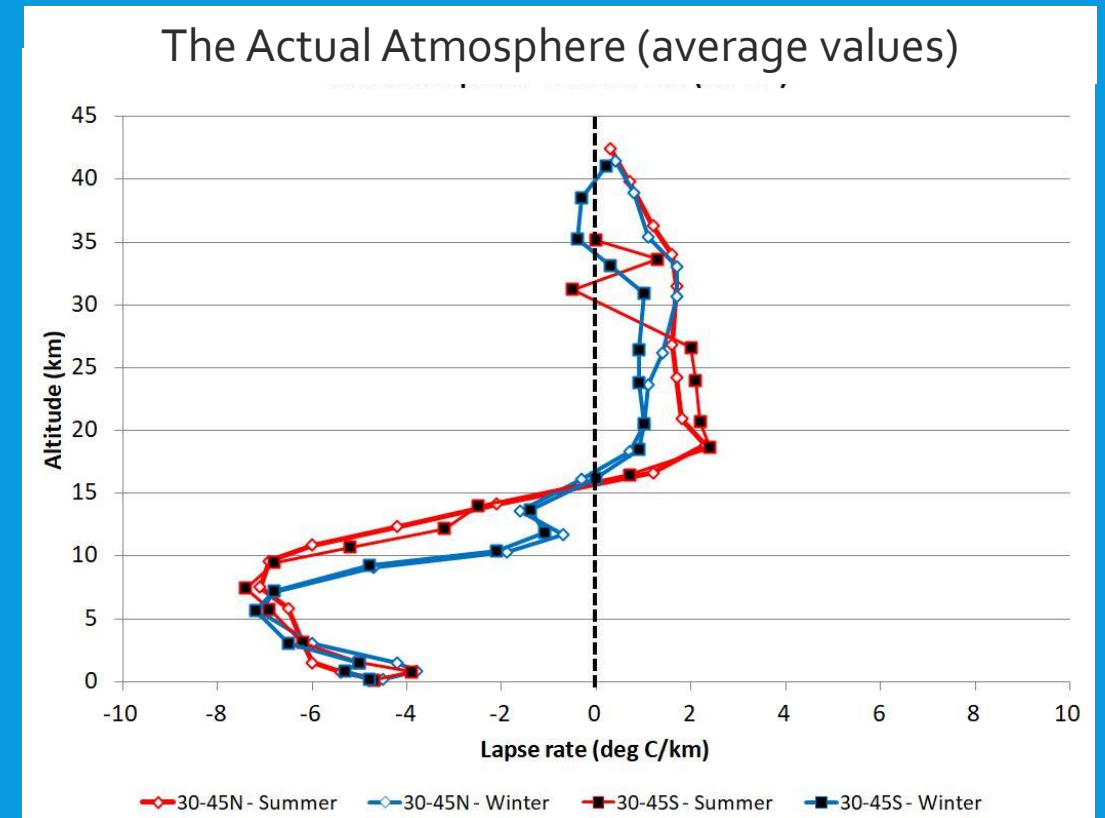
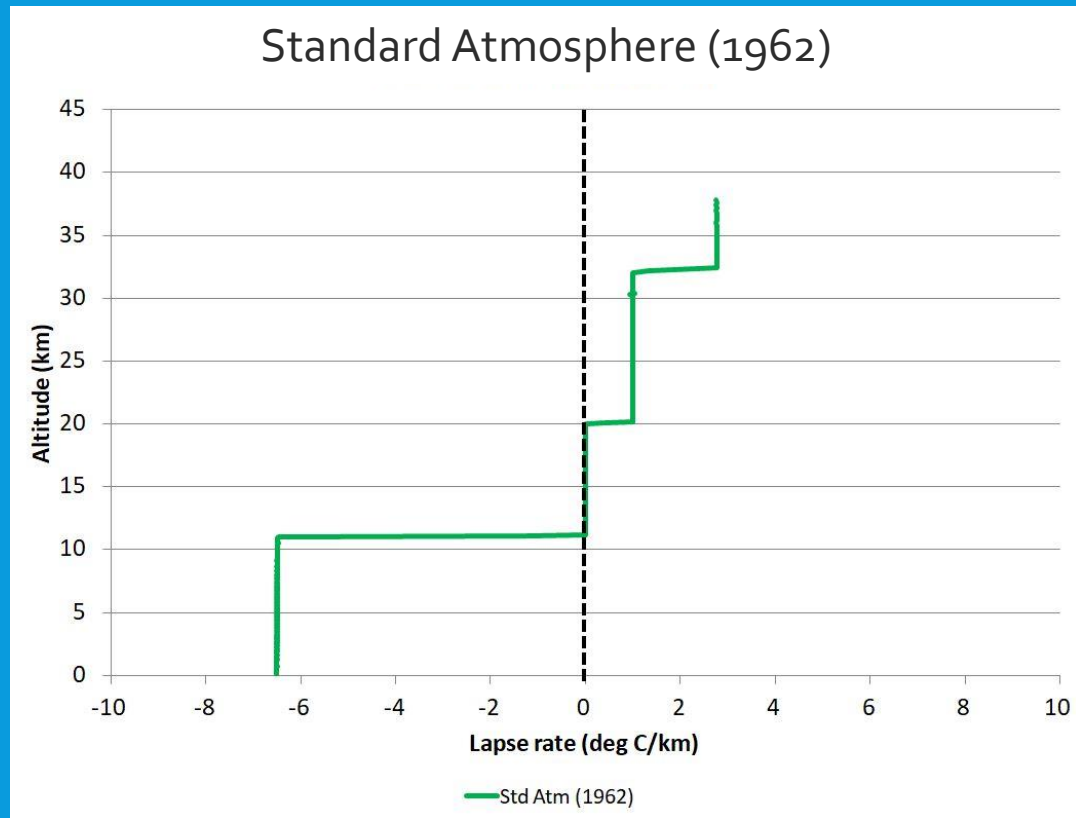
# 3. OUR LATEST FINDINGS (A SNEAK PREVIEW)

(a) The Lapse Rate

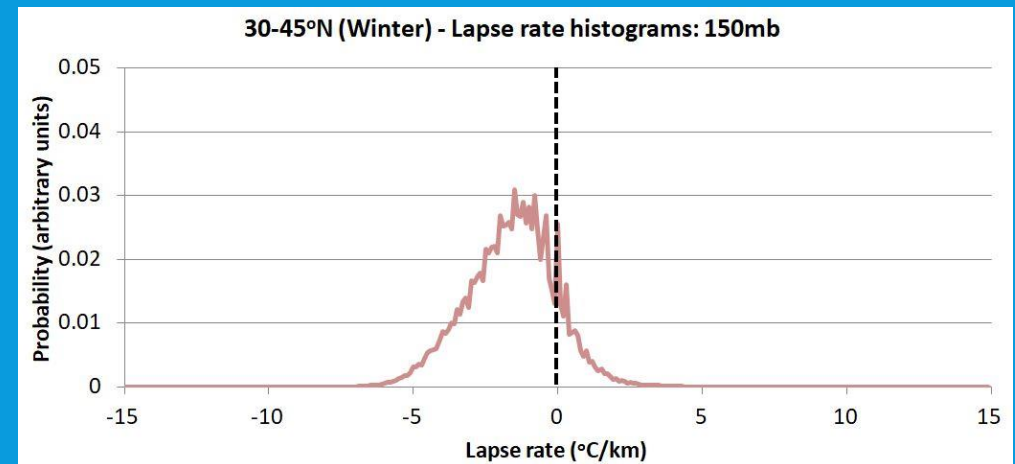
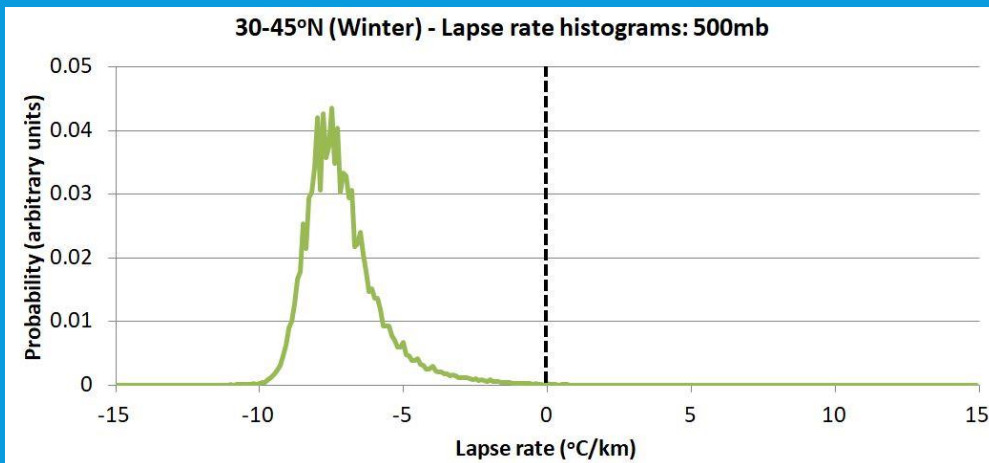
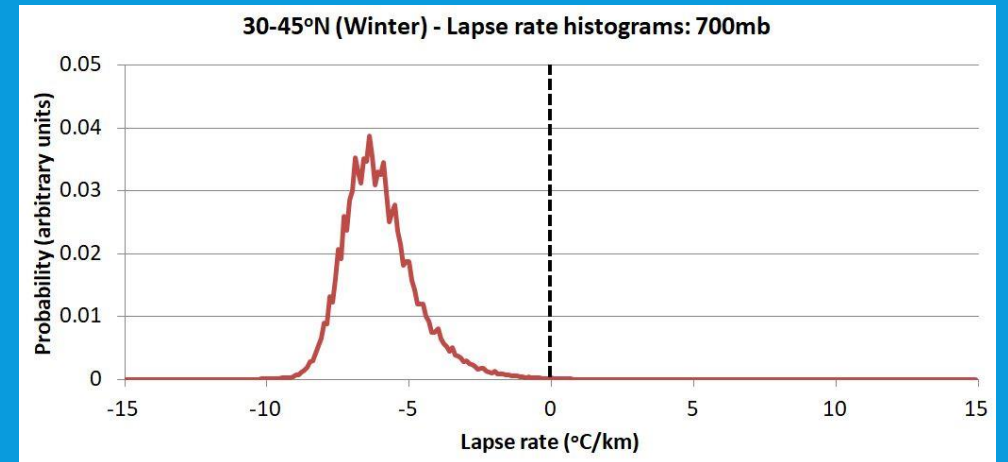
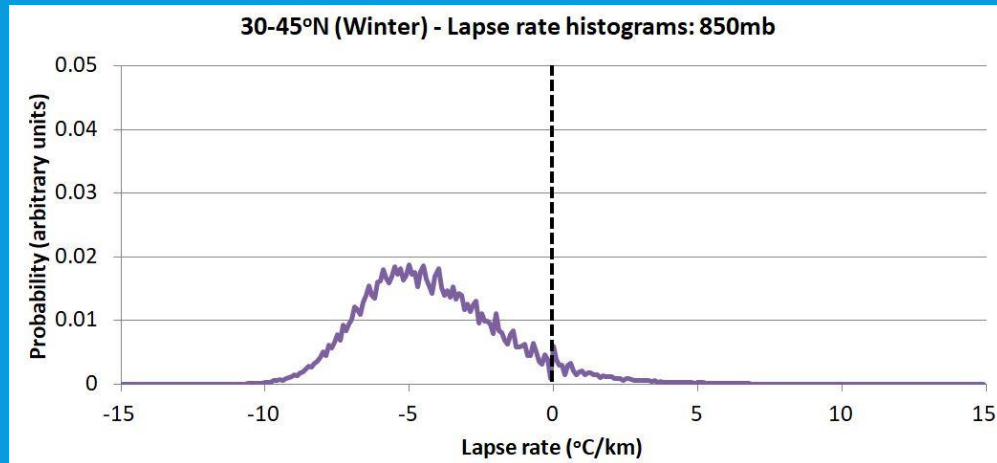
(b) Day/night temperature variability

(c) Climate variability in terms of the phase change

# THE LAPSE RATE: THEORY VS. OBSERVATIONS



# THE LAPSE RATE: NOT CONSTANT – NEED TO USE HISTOGRAMS



# THE LAPSE RATE: PROBLEMS WITH CURRENT MODELS

- Manabe & Strickler's "convective adjustment" assumed that the lapse rate in the troposphere was exactly  $-6.5^{\circ}\text{C}/\text{km}$
- The average lapse rate is about that, but there is a lot of variability
- A climate model lapse rate paradox:
  - The models assume a constant lapse rate in the troposphere, so any "greenhouse forcing" gets propagated down to ground.*
  - But, the "greenhouse forcing" implicitly assumes that each model grid box is thermodynamically isolated from the ones above it*

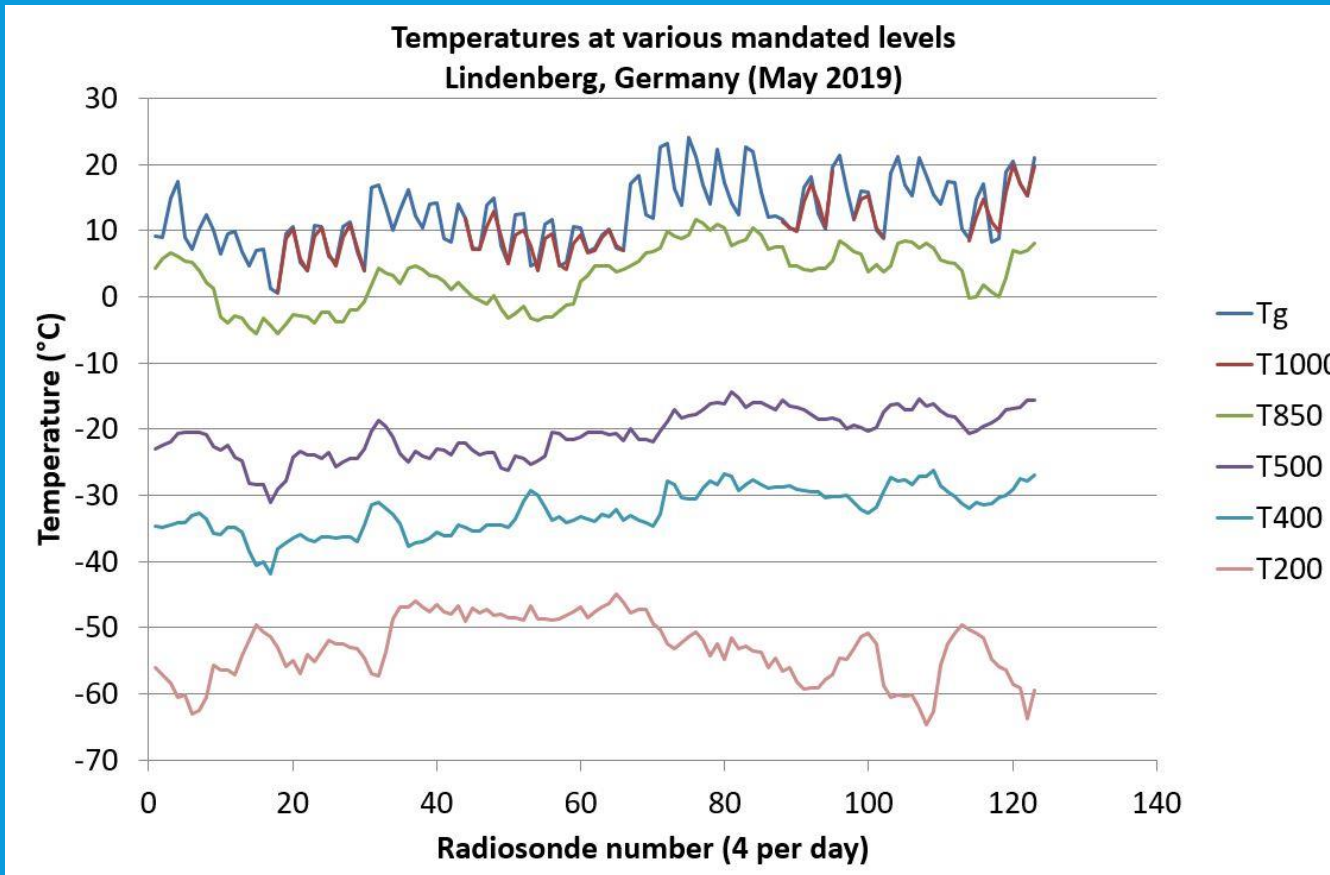
# 3. OUR LATEST FINDINGS (A SNEAK PREVIEW)

(a) The Lapse Rate

(b) Day/night temperature variability

(c) Climate variability in terms of the phase change

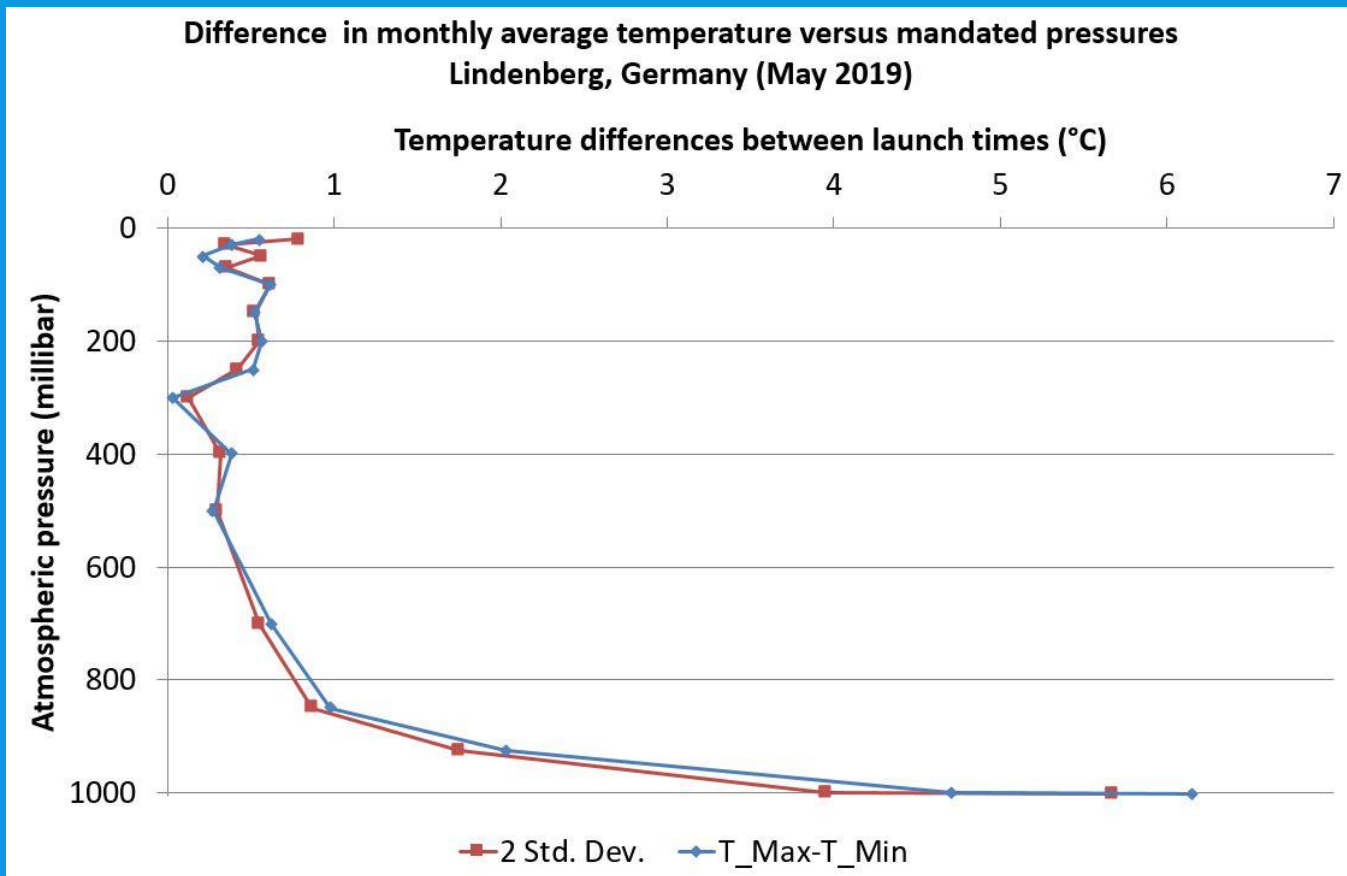
# “NIGHT AND DAY, YOU ARE THE ONE”



## Case study: Lindenberg, Germany

- Launches 4 balloons/day
- Temperature trends in troposphere are correlated to those at ground
- Ground trends are slightly anti-correlated to tropopause trends
- Night vs. day temperature variations at ground disappear

# NIGHT VS DAY



- The average difference between night and day was 6°C for May 2019 at the ground
- But, above 800 hPa (or millibar), the night/day difference is less than 1°C

# 3. OUR LATEST FINDINGS (A SNEAK PREVIEW)

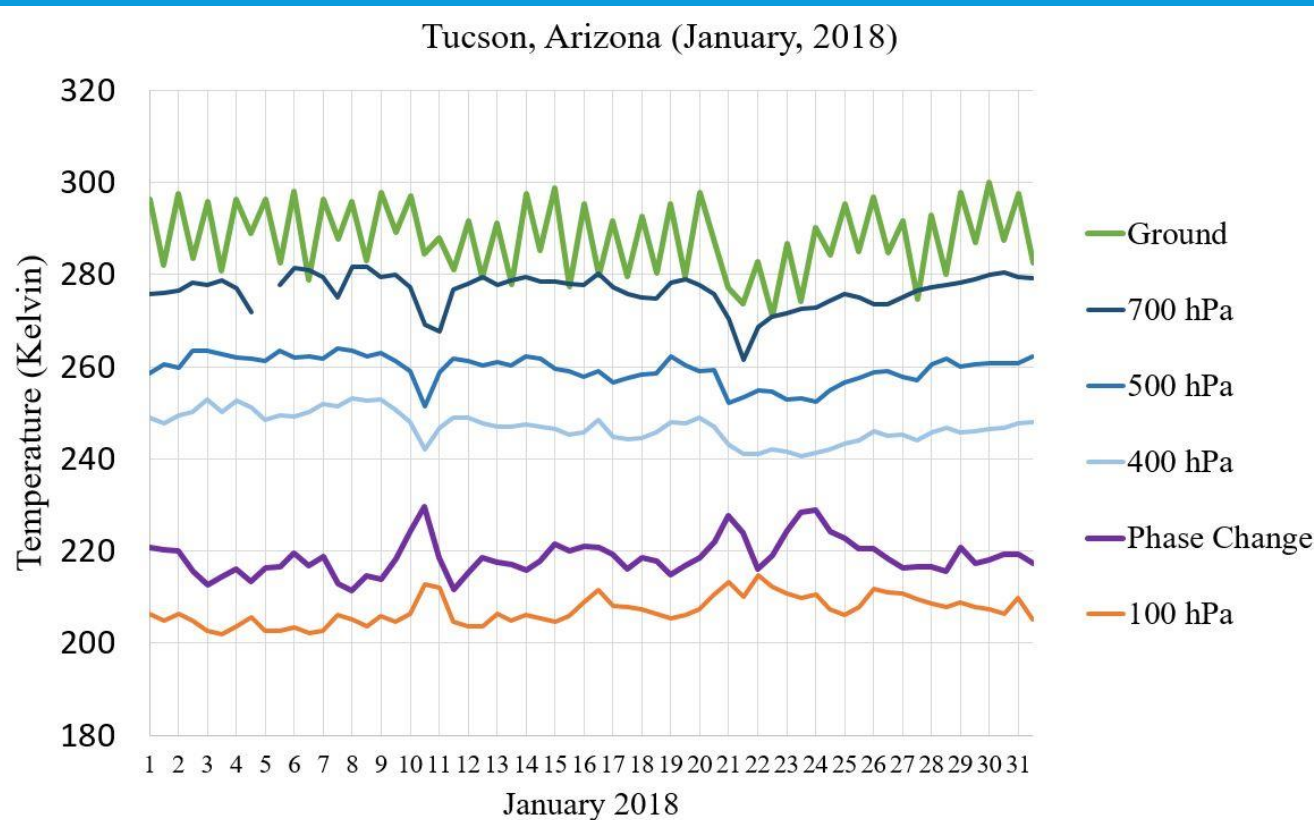
(a) The Lapse Rate

(b) Day/night temperature variability

(c) Climate variability in terms of the phase change

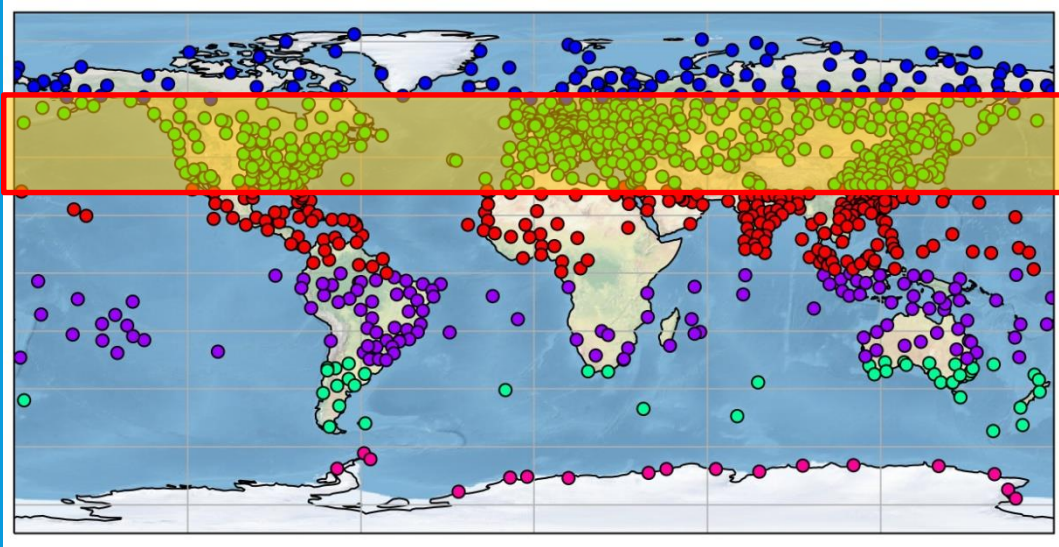


# TEMPERATURE CHANGES AT EACH LEVEL



- Temperatures at each level are different
- But, temperature changes are similar
- We will calculate the correlation between the temperature changes at each mandated level ( $T_m$ ) and those at ground ( $T_g$ ) or the phase change ( $T_p$ )
- Correlation,  $r$ 
  - $r = -1$  (anti-correlated)
  - $r = 0$  (no correlation)
  - $r = 1$  (exactly correlated)

# TEASER: RESULTS FOR ZONE 4 (30-60°N)



Analysing all stations:

- With elevation <1km
- With 30 years of data (1989-2018)
- Sorted into 6 latitudinal zones

1989-2018 analysis periods

Zone 4 = 471 stations

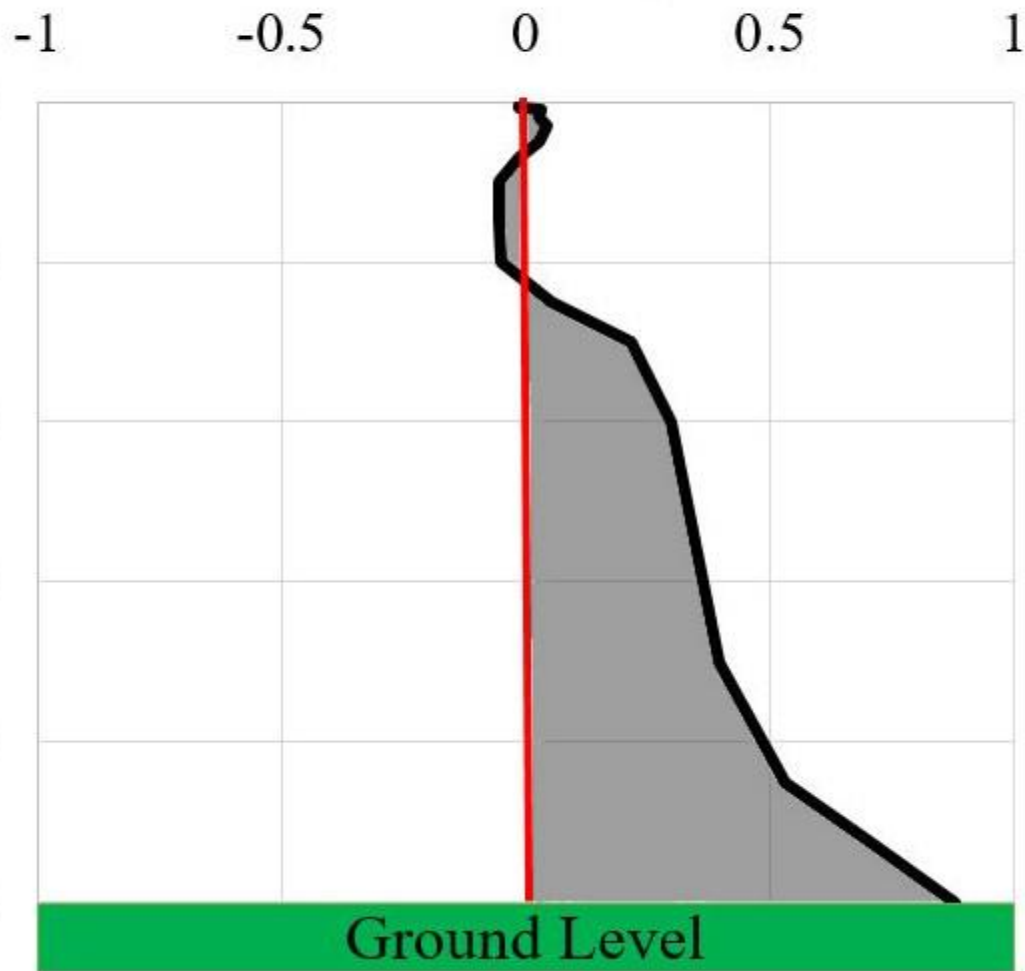
~10,000,000 usable balloons

Results sorted according to Local time zones

# Zone 4, January 1989-2018, Night-time (0-5h LST)

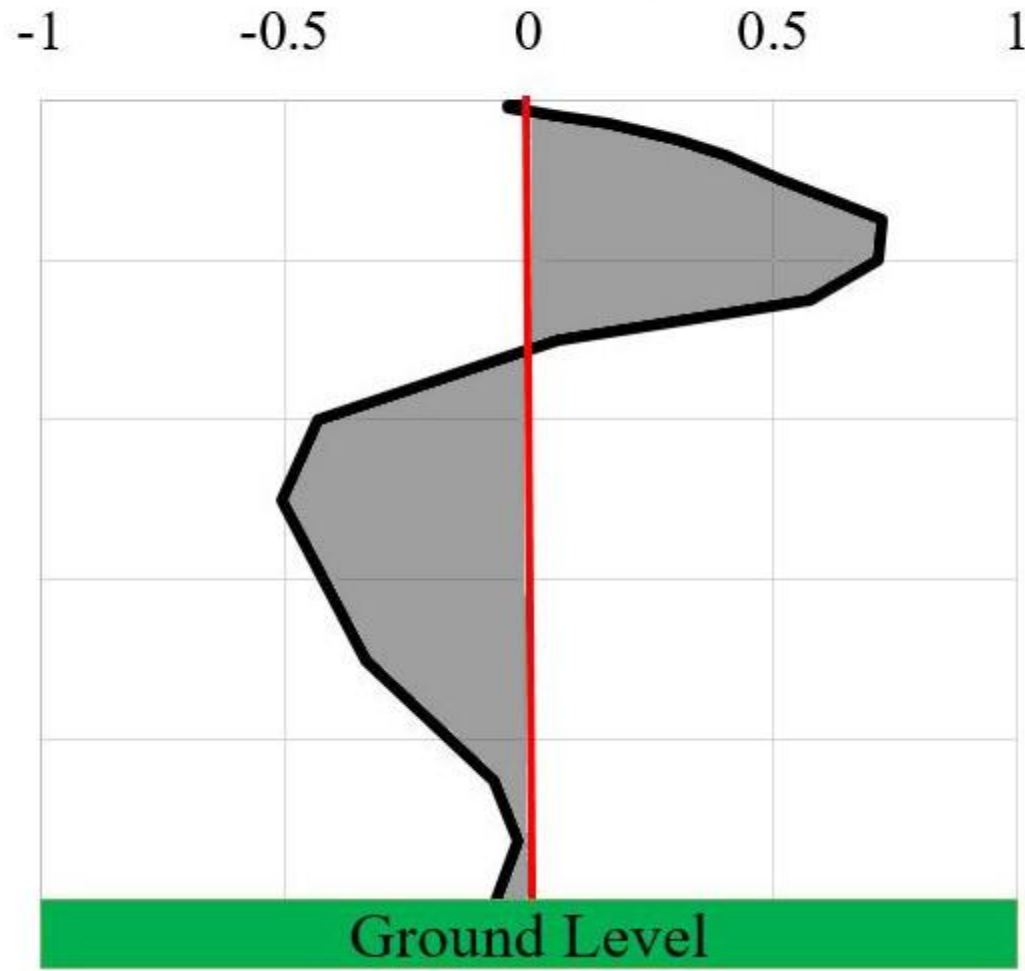
$T_g$  vs.  $T_m$

*Correlation,  $r$*

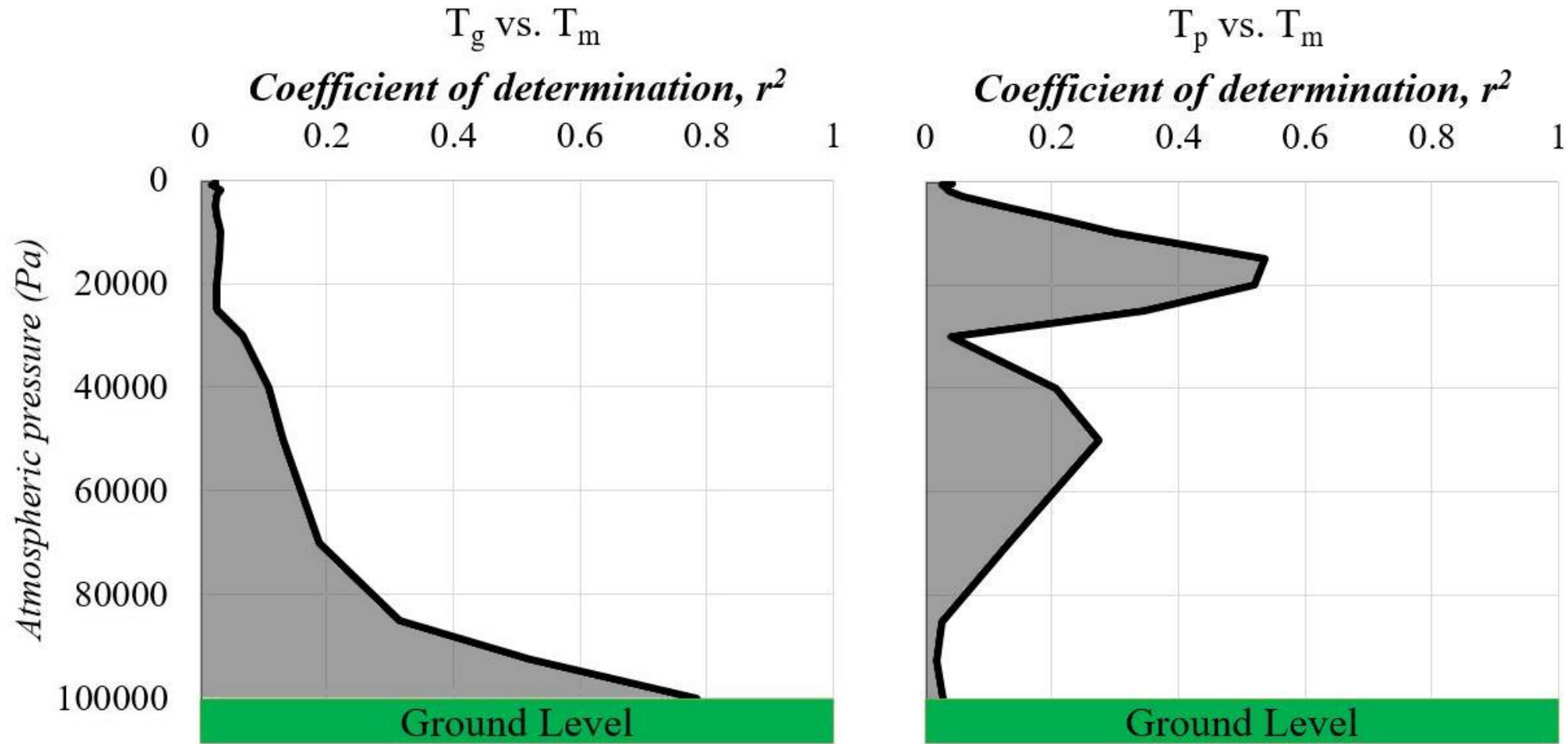


$T_p$  vs.  $T_m$

*Correlation,  $r$*



## Zone 4, January 1989-2018, Night-time (0-5h LST)



- The correlation with  $T_g$  (ground T) falls off rapidly with height
- The correlation with  $T_p$  (phase change T) is better for most of the atmosphere – except near ground, i.e., the “boundary layer”

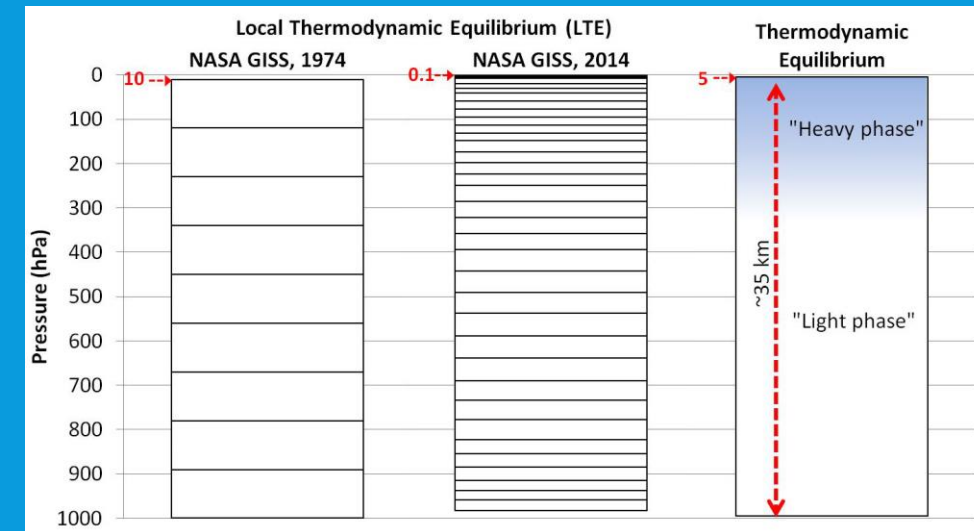
# CONCLUSIONS AND IMPLICATIONS

# OUR PROPOSED APPROACH TO CLIMATE MODELLING AND WEATHER FORECASTING

## Top changes needed for climate modelling

1. Recognise the existence of the two phases  
(*whatever the nature of the "heavy phase" transpires to be!*)
2. Stop assuming each 1km vertical layer is "thermodynamically isolated" from the others
3. Use molar density ( $D$ ) calculations in our analysis
4. Use  $T_p$  vs.  $T_m$  correlations as well as  $T_g$  vs.  $T_m$
5. Stop assuming lapse rate is constant

*Schematic comparing the current approach (left and middle) to our new approach (right)*



# SUMMARY FOR POLICYMAKERS

- The IPCC was wrong to conclude recent climate changes were due to greenhouse gases. Computer models they were relying on were based on flawed early 20<sup>th</sup> century science. “Carbon mitigation” should no longer be considered a priority.
- The current climate model temperature projections are worthless for the same reason. Policies considering climate change should be primarily based on observed historical climate variability instead
- But, this does not mean we should be polluting the planet! We should continually be fighting to reduce water and air pollution, and protecting natural ecosystems.
- Negative environmental impacts of all energy sources – including renewables – should be monitored

ANY QUESTIONS?



# THE “PRIMITIVE EQUATIONS”

- Approximations for describing the mass flow of a fluid, e.g., air or water
- First written down by Prof. Vilhelm Bjerknes (1862-1951)
- Key component of climate models
- Includes Bernouli’s principle and the Navier-Stokes equations
- Since 2000, the Clay Mathematics Institute has offered \$1 million to anybody that can mathematically prove the Navier-Stokes equations

$$PV + \frac{1}{2}mv^2 + mgh + \text{frictional energy} + \text{rotational energy} = \text{constant}$$

# BALLOON DATA AVAILABLE

There are several gridded and averaged datasets that use balloon data, e.g., HadAT, RATPAC and RICH

But, for raw individual balloon data we use two sources:

**1. University of Wyoming's "Atmospheric Soundings" website.**

Great for exploratory research. Easy to download a few balloons and look at in Excel.

<http://weather.uwyo.edu/upperair/sounding.html>

**2. NOAA's Integrated Global Radiosonde Archive (IGRA).**

Better for more systematic research. All balloons back to the early 20<sup>th</sup> century. Suitable for analysing with computer scripts. 20 Gigabytes (20 Gb) <https://www.ncdc.noaa.gov/data-access/weather-balloon/integrated-global-radiosonde-archive>



# IMPLICATIONS FOR OUR UNDERSTANDING OF THE ATMOSPHERE AND CLIMATE

George Simpson's assessment (1930s):

- Radiative processes can't explain the temperature profile in the troposphere
- So, it's probably dominated by convective processes
- Radiative processes "probably" are involved in the tropopause/stratosphere, though

Approach of current climate models (since 1960s):

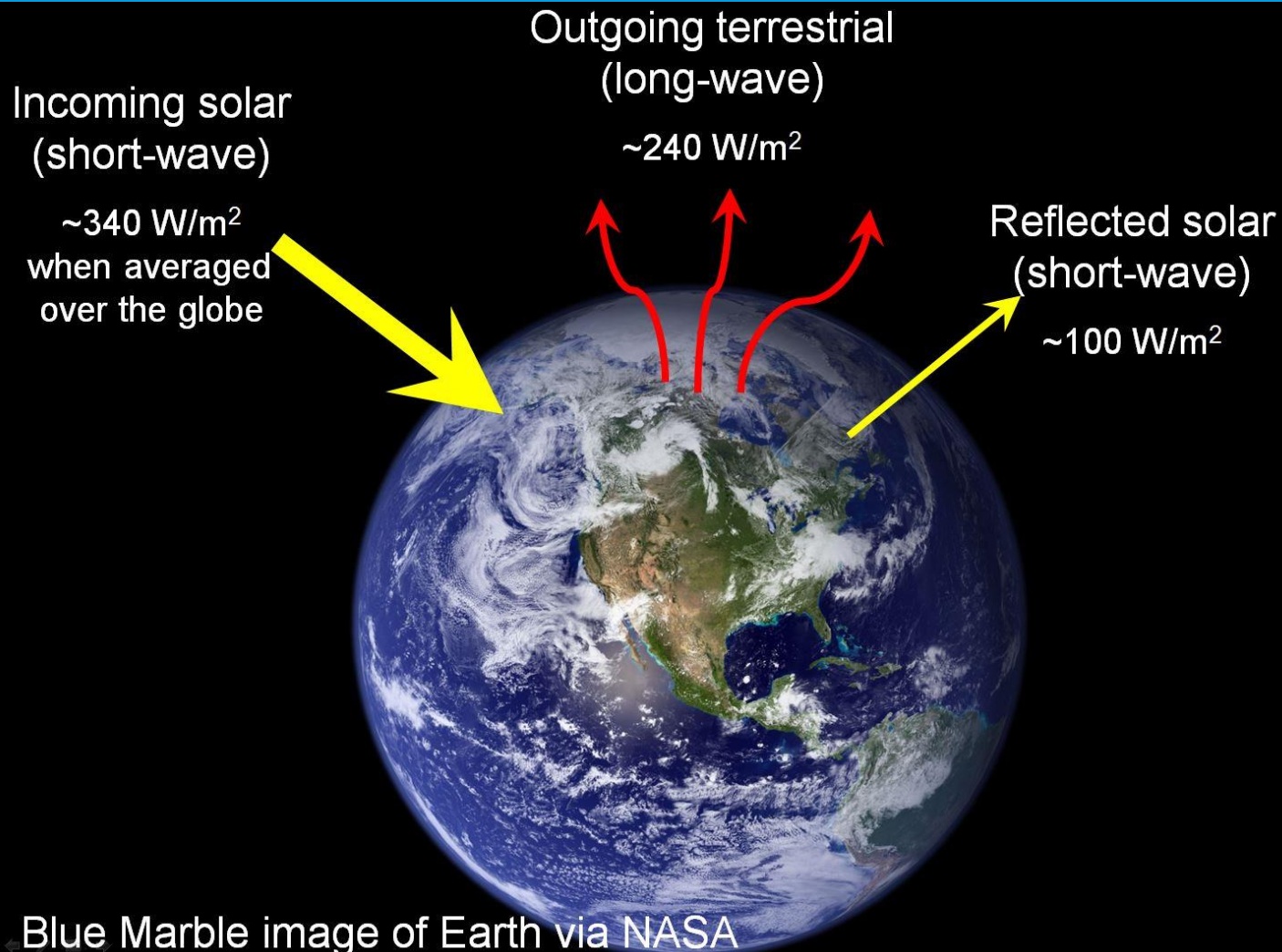
- Assume radiation is the primary energy transmission method (*following Elsasser, 1942*)
- Apply "convective adjustment" to make the tropospheric temperature profile look more realistic (*following Manabe & Strickler, 1964*)

Our new approach (present):

- Radiation is only one of the mechanisms for energy transmission
- Continually check our models against observations

# A QUICK RADIATIVE PHYSICS PRIMER:

## 1) EARTH'S ENERGY BUDGET

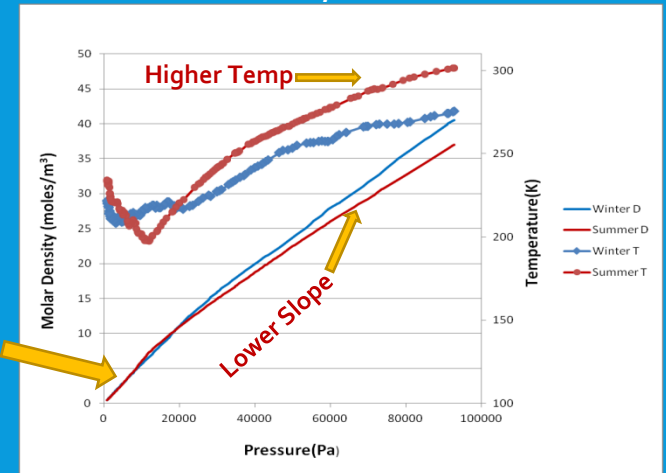


- The Earth is continuously heated by energy radiating from the Sun ("sunlight")
- The Earth is continuously cooling because it is surrounded by Space ( $T = 3\text{K}$  or  $-270^{\circ}\text{C}$  or  $-458^{\circ}\text{F}$ )
- Incoming radiation is mostly ultraviolet and visible light (because Sun is hotter)
- Outgoing radiation is mostly infrared "light"

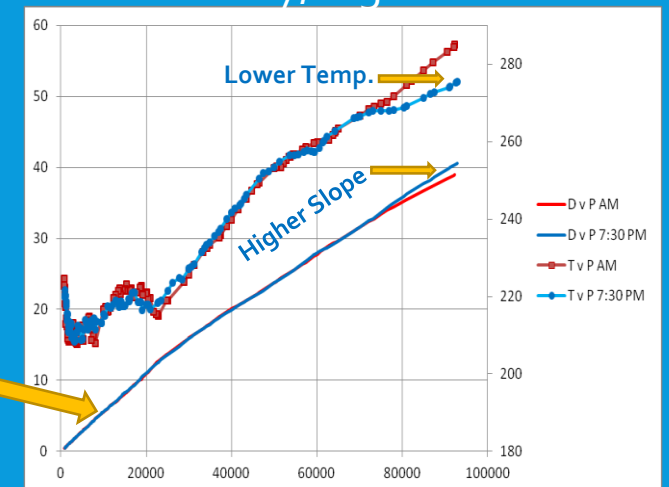
# IN SUMMARY

- 1) The Sun heats the troposphere more in the Summer than in the Winter.
- 2) The Sun heats the boundary layer during the Day but not at Night.
- 3) In both cases heating the air causes the air to be less compressible, as you would expect from Charles Law.
- 4) The Tropopause is colder in the Summer than in the Winter
- 5) At the Tropopause the air becomes more compressible

Summer /Winter



Day/Night



# KITES & TOWERS: A BIT ABOVE GROUND



*“Franklin's Experiment, June 1752. Demonstrating the identity of Lightning and Electricity, from which he invented the Lightning Rod.” (Currier & Ives, 1892)*

- Kites were one of the earliest ways of measuring the atmosphere above the ground
- Benjamin Franklin's famous 1752 experiment during a thunderstorm
- Another method would be to take measurements from tall towers, but until the 20<sup>th</sup> century, this only got you a few hundred metres up!
- e.g., Leaning Tower of Pisa = 56m; Eiffel Tower = 324m

# ROCKET-SONDES

- In 1955, US Navy converted old missile rockets like the *Loki* into meteorological rockets
- Flight-time is 5-20 minutes
- Takes at least 3 months to prepare
- Covers the region 40-120km (24-72 miles). This is just **above** where the balloons burst



*Prof. James Van Allen holding a Loki instrumented "Rockoon".  
Credit: NASA JPL*

# AIRCRAFT MEASUREMENTS



*A WC-130J Hercules plane, 53rd Weather Reconnaissance Squadron Hurricane Hunters. Credit: United States Air Force/Tech. Sgt. James B. Pritchett (2007)*

- Some measurements are also made using weather monitoring aircraft.
- Particularly useful in monitoring hurricanes
- However, mostly used for studying lower troposphere (up to about 10km/6 miles)



# A QUICK RADIATIVE PHYSICS PRIMER:

## 2) ABSORPTION AND EMISSION

- Heat energy can be transmitted by:
  - a) Conduction
  - b) Convection
  - c) Radiation
- In terms of radiation, in 1860s, John Tyndall showed that O<sub>2</sub> and N<sub>2</sub> are basically transparent to infrared light, but H<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub> (and ozone) are infrared-active
- Albert Einstein won the 1921 Nobel Prize in physics for his studies on radiation. Part of this work showed that different molecules absorb and emit light at fixed “quantised” energies. Led to quantum mechanics
- If a molecule, e.g., CO<sub>2</sub>, absorbs at an infrared frequency, it can also emit at that

# CONCLUSIONS

- 1) The neglect of through air mechanical energy has led to the hypothesis that the atmosphere is only in local thermodynamic equilibrium i.e. conduction convection and radiation cannot transmit energy fast enough to maintain thermodynamic equilibrium with altitude . This was a mistake.
- 2) If the atmosphere can transmit energy quickly enough to restore thermodynamic equilibrium, our results say that it can, then as Einstein showed in his 1919 paper the rate of absorption of radiation by IR active gases is equal to their rate of emission i.e. IR active gases ( so called greenhouse gases) do not trap or store energy for systems in thermodynamic equilibrium .
- 3) However greenhouse gases do absorb and emit radiation and can also absorb and loose energy due to collision with other gases . But as can also be shown from Einstein's 1919 work, that where a thermal gradient exists, due to the photo induced emission component of Einstein's equation the net effect of greenhouse gases is to increase the flow of IR radiation from hot to cold and not the other way round.
- 4) Einstein's 1919 work and our balloon work shows that increasing the concentrations of the so called greenhouse gases does not cause global warming.